

nShield Security World

# CodeSafe v13.3 User Guide (Windows)

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# 1. Introduction

CodeSafe is a runtime on the Entrust nShield HSM that allows third-party developers to run their own code within the secure boundary of the module. Using the CodeSafe Developer Kit, developers write their own CodeSafe Apps, cross-compile them and package them to run on the HSM. While on the HSM, the CodeSafe App is segregated from the actual keys loaded onto the module: even the keys the App uses. This means that CodeSafe can be used without affecting the FIPS 140 validation of the module it runs on.

Where the HSMs provide security controls on key usage, CodeSafe provides control over application code. Depending on the runtime used, you're either sending nCore commands to the HSM, or designing your own protocol to send data and commands back and forth.

The CodeSafe™ Developer Kit includes the Secure Execution Engine (SEE) technology. The CodeSafe product comprises a suite of cross-compilers and support tools that allow you to develop SEE machines.

With CodeSafe, you can build and deploy Trusted Agents to perform application-specific security functions on your behalf on unattended servers, or in unprotected environments where the operation of the system is outside of your direct control. Examples of Trusted Agents include digital meters, authentication agents, time-stamps, audit loggers, digital sig nature agents and custom encryption processes.

Traditionally, HSMs have protected cryptographic keys within a defined security boundary; SEE allows you to extend that security boundary to include code that utilizes those protected keys. The code itself can be signed and encrypted to provide additional protection.



This manual applies to both the **nShield Solo XC** and to the **nShield Solo PCIe**.

# 1.1. Read this guide if ...

Read this guide if you are writing and running SEE applications in C with a SEE-Ready HSM.

This guide:

- Introduces the concept of the Secure Execution Engine (SEE)
- Explains how to use the example SEE machines provided on the installation media
- Describes how to write your own SEE applications in C using the CodeSafe Developer Kit
- Describes how to run your secure SEE applications using a SEE-Ready HSM

· Describes how to obtain export certificates for SEE applications, if required

This guide assumes that you are familiar with the concept of Security World, as described in the *User Guide*. It also assumes that you are familiar with the following documentation:

- The *Cryptographic API Integration Guide*, which describes the use of hardware security modules with third-party software products
- The *nCore Developer Tutorial*, which explains how to write applications using a hardware security module
- The nCore API Documentation (supplied as HTML), which describes the nCore C API.



Concepts and terminology introduced in the *Cryptographic API Integra tion Guide, nCore Developer Tutorial,* and *nCore API Documentation* are not explained in this guide.

## 1.2. Model numbers

Model numbering conventions are used to distinguish different nShield hardware security devices. In the table below, *n* represents any single digit integer.

Model number	Used for
NH2047	nShield Connect 6000
NH2040	nShield Connect 1500
NH2033	nShield Connect 500
NH2068	nShield Connect 6000+
NH2061	nShield Connect 1500+
NH2054	nShield Connect 500+
NH2075-B	nShield Connect XC Base
NH2075-M	nShield Connect XC Medium
NH2075-H	nShield Connect XC High
NH2079-B	nShield 5c Base
NH2079-M	nShield 5c Medium
NH2079-H	nShield 5c High
NH2082	nShield Connect XC SCAP
NH2089-B	nShield Connect XC Base - Serial Console

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Model number	Used for
NH2089-M	nShield Connect XC Mid - Serial Console
NH2089-H	nShield Connect XC High - Serial Console
NH3003-B	nShield Connect CLX Base - Serial Console
NH3003-M	nShield Connect CLX Mid - Serial Console
NH3003-H	nShield Connect CLX High - Serial Console
nC2021E-000, NCE2023E-000	nToken PCIe
nC3nnnE-nnn, nC4nnnE-nnn	nShield Solo PCIe
nC30n5E- <i>nnn</i> , nC40n5E- <i>nnn</i>	nShield Solo XC PCIe
nC30nnU-10, nC40nnU-10	nShield Edge
NC5536E-B	nShield 5s Base
NC5536E-M	nShield 5s Medium
NC5536E-H	nShield 5s High

## 1.3. Security World Software

The default locations for Security World Software and program data directories on Englishlanguage systems are summarized in the following table:

Directory name	Environment variable	Windows Server 2016 or later
nShield Installation	NFAST_HOME	C:\Program Files\nCipher\nfast
Key Management Data	NFAST_KMDATA	C:\ProgramData\nCipher\Key Management Data
Dynamic Feature Cer tificates	NFAST_CERTDIR	C:\ProgramData\nCipher\Feature Certifi- cates
Static Feature Certifi		%NFAST_KMDATA%\hsm-esn\features
cates		C:\ProgramData\nCipher\Key Management Data
Log Files	NFAST_LOGDIR	C:\ProgramData\nCipher\Log Files
User Log Files	NFAST_USER_LOGDIR	C:\Users\ <user>\nshieldlogs</user>
Remote Static Fea- ture Certificates		%NFAST_KMDATA%\hsm-ESN\features

Directory name	Environment variable	Windows Server 2016 or later
Remote Static Fea- ture Certificates		<pre>%NFAST_KMDATA%\hsm-ESN\features</pre>



By default, the Windows C:\ProgramData\ directory is a hidden directory. To see this directory and its contents, you must enable the display of hidden files and directories in the view settings of the Folder Options.



Dynamic feature certificates must be stored in the directory stated above. The directory shown for static feature certificates is an example location. You can store those certificates in any directory and provide the appropriate path when using the Feature Enable Tool. However, you must not store static feature certificates in the dynamic features certificates directory.

The absolute paths to the Security World Software installation directory and program data directories are stored in the indicated nShield environment variables at the time of installation If you are unsure of the location of any of these directories, check the path set in the environment variable.

The instructions in this guide refer to the locations of the software installation and program data directories by their names (for example, Key Management Data) or nShield environment variable names enclosed with percent signs (for example, %NFAST\_KMDATA%). NFAST\_KM-DATA cannot be a symbolic link.

If the software has been installed into a non-default location, ensure that the associated nShield environment variables are re-set with the correct paths for your installation.



With previous versions of Security World Software, the Key Management Data directory was located by default in C:\nfast\kmdata. The Fea ture Certificates directory was located by default in C:\nfast\fem, and the Log Files directory was located by default in C:\nfast|log.

## 1.3.1. Utility help options

Unless noted, all the executable utilities provided in the **bin** subdirectory of your nShield installation have the following standard help options:

- -h|--help displays help for the utility
- -v|--version displays the version number of the utility
- -u|--usage displays a brief usage summary for the utility.

## 1.4. Requirements

To write and run a SEE C application on the HSM, you need:

• A SEE-Ready hardware security module



To determine whether your HSM is SEE-Ready, refer to the product data sheet for your HSM.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

- A Feature Enable smart card for activating the SEE capabilities of your HSM
- The CodeSafe Developer Kit (supplied on this installation media)
- An appropriate GCC compiler (supplied on this installation media) for the target HSM.

You must have installed your SEE-Ready HSM (as instructed in the *Installation Guide*) and the necessary Security World for nShield for the CodeSafe Developer Kit (as instructed in the *User Guide*). You must install at least the following software component bundles included on the installation media:

- hwsp Hardware Support
- ctls Core Tools
- csd CodeSafe Developer
- gccsrc Prebuilt PowerPC GCC for Codesafe/C

When you have installed and configured your SEE-Ready HSM, to make full use of SEE, you must create a Security World by using one of the following tools:

- The new-world utility
- KeySafe
- The front panel (nShield Connects only).

For detailed information about creating Security Worlds, see the User Guide.

## 1.5. Further information

This guide forms one part of the information and support provided by Entrust.

The nCore API Documentation is supplied as HTML files installed in the following locations:

- API reference for host: %NFAST\_HOME%\document\ncore\html\index.html
- API reference for SEE: %NFAST\_HOME%\document\csddoc\html\index.html



We recommend that you monitor the Announcements & Security Notices section on Entrust nShield Support, https://nshieldsupport.entrust.com, where any announcement of Security advisories will be made.

## 1.6. Security advisories

If Entrust becomes aware of a security issue affecting nShield HSMs, Entrust will publish a security advisory to customers. The security advisory will describe the issue and provide rec ommended actions. In some circumstances the advisory may recommend you upgrade the nShield firmware and or image file. In this situation you will need to re-present a quorum of administrator smart cards to the HSM to reload a Security World. As such, deployment and maintenance of your HSMs should consider the procedures and actions required to upgrade devices in the field.



The Remote Administration feature supports remote firmware upgrade of nShield HSMs, and remote ACS card presentation.

We recommend that you monitor the Announcements & Security Notices section on Entrust nShield, https://nshieldsupport.entrust.com, where any announcement of nShield Security Advisories will be made.

## 1.7. Contacting Entrust nShield Support

To obtain support for your product, contact Entrust nShield Support, https://nshieldsupport.entrust.com.

# 2. About the Secure Execution Engine SEE

The *Secure Execution Engine* (SEE) enables application code to run within the secure environment of a SEE-Ready HSM.



To use SEE, you must order and enable it as described in the *User Guide*. You must order the developer and user environments separately. SEE machines cannot be loaded on HSMs on which SEE is not enabled.

The CodeSafe Developer Kit includes the following:

- The CodeSafe Developer Libraries
- A built GCC compiler, plus source and makefile to customize your own version, if required
- The CodeSafe Utilities (described in Utilities):
  - tct2 (the Trusted Code Tool)
  - ° elftool
  - o loadsee-setup
  - loadmache (for use with SEElib)
  - ° hsc\_loadseemachine
  - seessl-migrate.py
  - a set of host utilities (for use with the Solo XC glibc-based/Solo PCIe bsdlib
     -based SEE machines) that enable the standard IO and socket connections:
    - see-sock-serv
    - see-stdoe-serv
    - see-stdioe-serv
    - see-stdioesock-serv.

## 2.1. Why use the Secure Execution Engine?

The main uses of cryptography are:

- Integrity
- Confidentiality
- Authentication

Using an HSM to protect your cryptographic keys provides all these advantages. Your keys are only ever available in unencrypted form when they are loaded into the HSM: when key blobs are stored on the host, their integrity is protected by a Message Authentication Code

(MAC). Access to the keys is controlled by using a Security World or an Operator Card Set (OCS). For additional information about the OCS, see the *User Guide*.

However, traditionally, the code that uses the keys remains on the server. This means that the code is open to attack. It is possible that the code could be modified in such a way as to leak important information or compromise your business rules. For example, it could fail to enforce such rules as "the books must balance" or "traders shall balance their positions by the close of trading".

By implementing a solution with the SEE, you not only protect your cryptographic keys but also extend the security boundary to include your security critical code and data.

Using the techniques of code signing, data wrapping, and secure storage, the SEE enables you to maintain the confidentiality and integrity of application code and data and to bind them together so that only code in which you have confidence has access to confidential data.

## 2.1.1. Code integrity

In many secure applications, the primary concern is for the code to execute the correct sequence of operations and to not do anything else, such as leak information or key data. You can use the supplied Trusted Code Tool (tct2) to sign the HSM-side code and initializa tion data (if required) that make up a SEE machine. Application authors can use signatures to delegate authority to use key material and other resources.

## 2.1.2. Code confidentiality

When you use the SEE, the code that runs on an HSM can be stored in an encrypted format. The encryption key can be either a Triple Data Encryption Standard (Triple DES) or Advanced Encryption Standard (AES) key protected by either a Security World or an OCS.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

The Access Control List (ACL) entry, UseAsLoaderKey, enables a key to be used to decrypt SEE objects on the HSM but that does not allow you to use it for standard decryption

where the answer is returned to the host. This ensures that the code itself is not available "in the clear" outside of the HSM/SEE and; therefore, that any intellectual property embodied in the code is protected.

To load encrypted code, the user must first load the encryption key. Therefore, if the encryp tion key is protected by an OCS, only users with sufficient smart cards from that OCS can load the code. Because this SEE confidentiality key does not have decryption permissions (only the UseAsLoaderKey ACL entry), from a security standpoint, it is not essential that it be protected by an OCS.



HSM-protected SEE confidentiality keys can be useful in situations where the server or HSM is unexpectedly reset, because, in such a case, the SEE machine can then be reloaded without user intervention.

## 2.1.3. Data confidentiality

There are two main issues regarding data confidentiality:

- Transient confidentiality of data in the running system
- Long-term confidentiality of data when the code is not loaded.

The SEE protects the program's information in the running system by enabling the program mer to determine the interface by which data can come in and out of the system and then rigorously enforce that interface.

Long-term confidentiality is preserved by using the non-volatile memory on the HSM. The SEE program can access this storage by using nCore API commands. Small quantities of highly sensitive information can be stored directly in the nonvolatile random access memory (NVRAM). When the amount of information to be stored exceeds the capacity of the NVRAM, data can be stored in an encrypted blob with a much smaller key stored in the NVRAM. This functionality allows the amount of secure storage to be limited only by the capacity of the host. For more information, see the *nCore Developer Tutorial*.

## 2.1.4. Data integrity

Confidential data is of little use if it can be changed by an attacker. Data stored in the HSM's NVRAM could only be altered if the Access Control List (ACL) were to allow this to happen or if the physical security of the HSM were compromised. When a large volume of data is made into a blob, a hash of that blob can be stored in the NVRAM so that changes can be detected.

Another option for maintaining data that is not likely to change (such as root CA keys) is to

place it in the **application initialization space** and then use code integrity techniques to pro tect the **application initialization space**.

#### 2.1.5. Authentication and access control

A key feature of the SEE is the way that it can tie the integrity of the code to access control of the resources that the code uses.

The key-management architecture controls access to objects such as keys by means of ACLs. These lists specify sets of operations and verification keys that are used to check the credentials authorizing these operations.

With SEE, you can create keys that can only be used to encrypt or sign SEE machines (the SEE HSM-side code and, if required, its userdata). Encrypted application code is effectively bound to the encryption key, thereby ensuring that it can only be loaded onto an HSM on which you have already loaded the key. This functionality effectively gives you OCS protection on application code.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

SEE also extends the authorization credentials to include signatures on code. This simple extension turns out to be very powerful. When a body of code issues a command to use a resource that is controlled by an ACL, it may present a certificate indicating that the signatures on the code should be examined by the ACL checking system. If the signature on the code verifies with one of the keys listed in the ACL, the operations delegated to that key can be carried out in that command.

Therefore, this extension of the authorization credentials means that you can create keys that can only be used by the SEE-resident code. These keys can be protected by the Security World or by OCSs.

The SEE code has access to the HSM's NVRAM. Files stored in the HSM's non-volatile mem ory also have ACLs. These ACLs describe not only who can access the file but what changes can be made to the file. For example, this feature enables you to create secure counters that you know can never be zeroed or that you know can be zeroed only by a trusted application running in the SEE.

## 2.2. How SEE works



A hardware security module maintains strict separation between the nShield core functions and the user code.

The application starts with the code for a SEE machine stored in a file on the host. A SEE machine is a binary executable of a type appropriate for the HSM. It communicates with the nShield core by means of the **interprocess communication** (**IPC**) for Solo XC and by means of the **software interrupt** (**SWI**) interface for Solo PCIe. Applications may be written in C and compiled to form the SEE machine itself. Alternatively, the SEE machine may consist of a language interpreter and the HSM code supplied as a script or byte code by means of **userdata**. For more information, see SEE and userdata

If a separate host-side program is required, you can write the host-side code in C, using the nCore API. Alternatively, you can use the language of your choice. Example utilities written in Java are provided in the component jhsee in the directory %NFAST\_HOME%\java\examples.

These example utilities provide equivalent functionality to the C examples of similar names. You can adapt them as required. See the supplied Javadocs for full information about the Java example utilities.

The SEE machine can be signed, encrypted, or both, with the **Trusted Code Tool (tct2)**. For more information about this command-line utility, see Utilities.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

The first step is to load the SEE machine onto the HSM. The hardserver software, supplied on this installation media, automatically loads the SEE machine whenever the HSM is reset, provided that:

• The HSM is SEE-Ready



To determine whether your HSM is SEE-Ready, refer to the product data sheet for your HSM.

- The HSM sets the enquiry level 4 HasSEE flag
- A suitable machine image file is configured

• The load\_seemachine section of the configuration file is configured to enable the loading of SEE machines on startup.



You can perform this configuration with the loadsee-setup commandline utility. See Utilities.

For development purposes, you can also load SEE machines manually by running the loadmache command-line utility or, optionally, you can load SEE machines that require support from a host-side see-\*-serv utility by specifying the -M option when you run the utility. See Utilities.

## 2.2.1. Code specifics

To use the functions provided by the SEE machine, the host application creates a SEE World, supplying the initialization data, which includes the HSM resident portion of the appli cation code, initialization flags and any other SEE World initialization information required. The functions provided by the HSM-resident code can then be accessed by the SEE machine on command from the host-side portion of the application. The SEE World is a private work space and has a handle, an M\_KeyID. As with other identifiers, this handle is associ ated with a ClientID. A host application can only access a SEEWorld on the connection that created the SEEWorld or on connections that have the same ClientID.

The CreateSEEWorld command takes a byte block called the SEE user data. This block can be used to pass initialization data when a SEE machine is started. This file also carries the signatures for the SEEWorld.



Refer to the *nCore CodeSafe API Documentation* for detailed information about the **CreateSEEWorld** command.

## 2.2.2. Security

When the SEE machine has been initialized, the host application can call the functions that the SEE machine provides. These calls are sent using the nCore API command SEEJob.

For example, if you write code to implement a custom algorithm, the host application no longer calls the nCore API Encrypt command. Instead, it calls the encrypt function of the SEE machine. The algorithm in the SEE machine then asks the core for the key, uses the key to encrypt the message, and returns the result. This is explained in detail for both the Solo XC and the Solo PCIe in Designing SEE machines and SEE-ready HSMs.

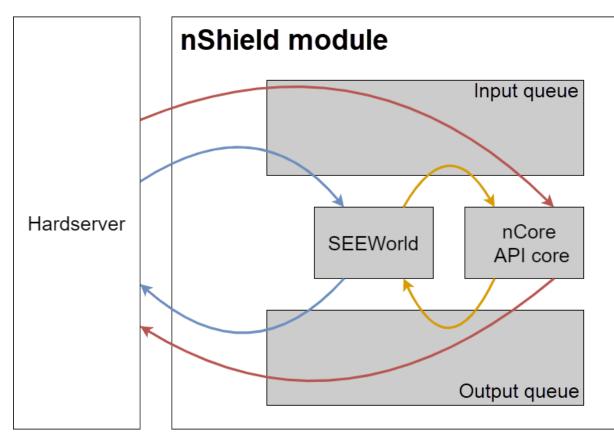
The SEE machine can then make calls into the nShield core with the standard nCore API. The replies are returned directly to the SEE machine without ever leaving the protection of the HSM.



The SEE machine can access keys, or other objects that are protected by the HSM, only by making nCore API calls to the nShield core. HSMside SEE code has the same privileges and access to the cryptographic functionality of the HSM as that given to the host-side programs using the nCore API. However, it is possible to create SEE application keys that can be used only by particular SEE applications and not by the host.

#### 2.2.3. Internals

CodeSafe uses two command queues; The following diagram gives an overview of how they function. The hardserver sends commands to the input queue. The input queue looks at the commands and directs them to either the nCore API core or to the SEEWorld.





In this release you can only create a single SEEWorld for each HSM at any one time.

The nCore API core takes commands from the input queue, processes them in turn, and places them on the output queue. These commands may have come from the server or from the SEEWorld.

The output queue receives the completed jobs from the core. It determines whether the command was issued by the SEEWorld or the hardserver and sends the result to the appropri ate place.

While any command sent to the SEEWorld may cause a number of calls to the nCore API core (and these calls circulate within the HSM), a given command only ever produces a single reply that is returned to the server. After the SEEWorld has completed the job, it returns a reply. The core returns this reply to the hardserver and on to the application; this is the reply to the SEEJob command, handled in exactly the same manner as for any other nCore API command.

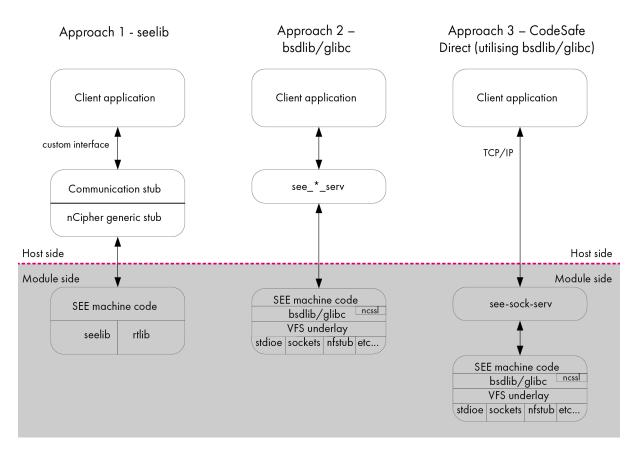
The SEEJob reply is returned with Status\_OK provided that the SEE machine returns a reply to the nShield core. The return of this kind of reply does not mean that the command itself was completed successfully in the SEE machine, only that communication between the core and the SEE machine was completed successfully. The SEE machine returns its own errors (if any) in the reply.

The application running in the SEEWorld does not have direct access to the user interface. Therefore, all interaction with the user must be performed by the host application. In some cases, especially when loading tokens that are protected by multiple smart cards, it can be useful to have the host application load an object and then pass control to the application in the Status\_OK. You cannot pass the ObjectID because this is specific to the ClientID. Therefore, to pass control to the application in the Status\_OK, you must use key tickets.

Key tickets were introduced to the nCore API specifically for SEE, although they can also be used to pass keys between different clients on the host. The client (or SEE application) that creates a key asks for a ticket for the key. It passes the ticket to the other client, which redeems the ticket for an **ObjectID**. There is only ever one copy of the object, and all commands have to comply with the ACL.

# 2.3. SEE system architecture

There are different architectural strategies that you can use when designing a CodeSafe SEE system, distinguished by the library they utilize:



Before designing your CodeSafe SEE system, decide which architecture best suits your requirements:

• For Solo PCIe:

**bsdlib**: This architecture allows the use of standard TCP sockets and a standard BSD C library in CodeSafe, making it possible to communicate with a SEE machine using a generic approach. A design using this architecture is well suited for SEE machines that implement applications such as Web servers and proxies.

bsdlib provides a familiar programming environment compared to SEElib. SEElib requires additional work on the host application to talk to SEE, whereas bsdlib uses standard socket and standard IO interfaces.



If you are designing a CodeSafe Direct system, you must use the **bsdlib** architecture. The **SEElib** library is not supported for use with CodeSafe Direct.



If you are designing a CodeSafe SEE system using the **bsdlib** library, you can use headers as normal for a Unix-based system (for example, **stdio.h**, **stdlib.h**, **pthread.h**).

• For Solo XC:

**glibc**: This architecture allows the use of TCP sockets and a high performance GNU C library in CodeSafe. This makes it possible to communicate with a SEE machine using a generic approach.

**glibc** can only be used if you are using an nShield Solo XC module and supports ISO C, POSIX, and System V standards.

A design using this architecture is well suited for SEE machines that implement applica tions such as Web servers and proxies.



If you are designing a CodeSafe Direct system, you must use the gblic architecture. The SEElib library is *not* supported for use with CodeSafe Direct.



If you are designing a CodeSafe SEE system using the glibc library, you can use headers as normal for a Unix-based system (for example, stdio.h, stdlib.h, pthread.h).

 SEE1ib: A design using this legacy architecture is well suited to protecting custom cryp tography within a SEE machine. The A3A8 example program provides a simple demonstration of how to achieve this; see Designing SEE machines and SEE-ready HSMs for additional information.



If you are designing a CodeSafe SEE system using the SEElib library, you can use the header file seelib.h, which contains wrapper functions for the software interrupts, in addition to a limited subset of the standard C library. See SEElib functions for additional information.

Unless you have a specific reason to use the SEElib architecture, Entrust recommend using the glibc/bsdlib architecture, as it provides a more familiar standards-based programming environment using standard socket and standard IO interfaces. Note that SEElib typically requires additional work on the host application to interface to the SEE code. This is not required when using the standards-based glibc/bsdlib approach.

## 2.4. SEE and byte code

All SEE machines must be compiled so they can run on the HSM. Additionally, SEE machines that use the SEE1ib architecture must use the IPC/SWI to communicate with the nShield core. (IPC Interface for the Solo XC and the SWI interface for Solo PCIe.) Because these requirements make writing native SEE machines difficult, SEE supports the concept of native SEE machines that are byte code interpreters.

Using a byte code interpreter has several advantages. Not only does it reduce development effort, but each interpreter can load several different byte code applications, each running in their own SEEWorld. The byte code for the application is passed in the userdata with the CreateSEEWorld command.

To prevent attackers from replacing a good SEE machine with a malicious one, the certificate for the byte code can specify that the SEE machine must be signed with a specific key. This is done by including the hash of this key in the certificate for the byte block. This certificate is created automatically by the Trusted Code Tool (see tct2). You supply the hash of the key used to sign the SEE machine when you sign your application. The Trusted Code Tool uses keys protected by an OCS for signing operations.

# 2.5. SEE and userdata

## 2.5.1. What is userdata?

A userdata file can contain any data that is useful to the SEE machine. For example, you can use a CPIO archive to supply many different data files in a single directory structure (examples are provided in Designing SEE machines and SEE-ready HSMs.



All SEE machines built with glibc/bsdlib must be provided with a valid ASCII-format CPIO archive. This archive forms the base of the file system available to your SEE machine. Even if your SEE machine does not use this file system, you must still create and supply it with dummy user data as a place-holder.

## 2.5.2. Creating userdata suitable for loading into the HSM

You can create a userdata file suitable for loading into the HSM by turning it into a SAR file with the tct2 command-line utility. Signing the userdata file in this way offers improved security.

# 2.6. SEE and Security Worlds

Within a Security World, the following actions may be configured to require authorization from the nShield Security Officer Key ( $K_{NSO}$ ), or a key with authority delegated from the  $K_{NSO}$ :

Allocation and forced freeing of nonvolatile memory

- Setting the real-time clock
- Enabling the run-time debugging options.

Each of these features can be enabled individually.

At Security World creation time, certificates may be created delegating authority from  $K_{NSO}$  to keys protected by logical tokens which are split amongst the Administrator Card Set (ACS) in the usual way, but may require a different K/N threshold to reassemble. For example, you may wish to require that only one of five Administrator Cards be presented to set the real-time clock on an HSM, but three of them to replace the ACS.

The tools that create these certificates are:

- KeySafe (version 2 and later)
- The nShield CSP Wizard
- The new-world command-line utility
- The nShield Connect front panel.

For more information about these tools, see the User Guide.



A Security World created using some older tools does not have any of these delegation certificates to support nonvolatile memory and realtime clock operations or to allow debugging of SEE applications. Therefore, such operations would require full K<sub>NSO</sub> authorization.

To sign or encrypt the HSM-side code, the signing and encryption keys must belong to the Security World to which the HSM belongs.

To test code outside a Security World, you can use the **initunit** command-line utility to remove the HSM from the Security World. In this case you cannot sign or encrypt your code, and the code cannot access keys protected by the Security World.



If you use the **initunit** command-line utility to initialize the HSM, any user can set the clock and create or free NVRAM files. This means that any user can free an existing file and allocate another file with the same name but with different contents or with a different ACL. Most security policies forbid this.

# 3. Designing SEE machines and SEE-ready HSMs

This manual addresses SEE for both the Solo XC and the Solo PCIe.

For Solo XC, see Writing SEE machines - Solo XC

For Solo PCIe, see Writing SEE machines - Solo PCIe

# 3.1. Writing SEE machines - Solo XC

This chapter describes how to write a SEE machine for use on SEE-Ready HSMs.

An SEE machine is an executable binary file of a type appropriate for the HSM that communicates with the nShield core (which runs in kernel mode) using a defined set of software interrupts. These interrupts, and their wrapper functions, provide a run-time environment that includes memory and thread management as well as an interface for accepting and returning jobs and calling nCore API commands.

C source code is compiled using one of the GCC cross-compilers supplied with the Code-Safe Developer Kit. For details of required compiler options; see Example SEE machines and the makefiles supplied with the examples.

The compiled code can then be signed, packed, and encrypted by using the Trusted Code Tool (tct2 utility) to produce a secure archive; see Utilities.



In CodeSafe versions prior to 13.3, the Solo XC only supports SEE machines smaller than 70 MB. From 13.3 onwards, the Solo XC can support SEE machines up to 800 MB.

#### 3.1.1. Designing the glibc architecture

The GNU C library glibc is supplied together with libpthreads, librt and a system call underlay for use with Codesafe SEE development.

A rich set of C function calls is available to use in SEE machine development. Native support for Unix-based system calls is provided, only restricted by a whitelist of the system calls (SEE Machines Whitelist) allowed in the SEE environment.

A subset of the Unix-based system calls, implemented in terms of the inter-process commu nication interface (IPC), allows access to the cryptographic HSM kernel. The provided system calls include a virtual file system and associated set of input and output devices with

which you interact in the standard manner.

The virtual file system is supported as an extension to the file system.

Also provided are some link-time plug-ins that extend the virtual file system to provide addi tional capabilities:

- hoststdioe.o: stdin, stdout, and stderr facility hooks; seestream\_stdio(7see)
- hoststdoe.o: stdout and stderr facility hooks; seestream\_stdio(7see)
- hostinetsocks.o: TCP socket facility hooks; seestream\_inet(7see)
- hoststdioeinetsocks.o: TCP socket facility and stdin, stdout, and stderr facility hooks; seestream\_inet(7see)seestream\_stdio(7see)



The link-time plug-in vulnerability.o is provided for the purposes of debugging (see Vulnerability test harness). Entrust recommends that you do not link vulnerability.o into a production SEE machine.

#### 3.1.2. Designing the SEElib architecture

This section describes how to design SEE machines using the SEElib architecture. This kind of architecture requires host-side software to create the SEE World and communicate with the HSM.

To start the SEE machine running with a particular SEE userdata, the host application calls the nCore API command CreateSEEWorld. This command creates a SEE World using data previously loaded into the HSM with the LoadBuffer command from a buffer created with the CreateBuffer command. See the *nCore API Documentation* (supplied as HTML) for information about the nCore API commands.

You can also use or adapt the supplied example Java class SEEWorld to initialize the SEE machine.

When the host application calls CreateSEEWorld, the HSM allocates memory for the SEE World and sets up its input and output job queues. It then runs the SEE machine's main() function.

The SEE machine's main() function must:

- Call <u>SEElib\_init()</u> before any other SEE library function to initialize the SEE library and to check that the HSM is running the expected version of the library
- If the machine accepts userdata:
  - call SEElib\_GetUserDataLen to determine the length of the byte block that was passed with CreateSEEWorld

- call SEElib\_ReadUserData to load the byte block
- ° determine whether the byte block is valid
- ° initialize any required structures
- Start at least one thread which receives and processes commands (this thread must call SEElib\_AwaitJob)



The SEElib\_StartProcessorThreads function can be used for this purpose.

• Call SEElib\_InitComplete and return a status.

The status passed to SEElib\_InitComplete is returned to the calling application in the reply to CreateSEEWorld The application can determine the status values, with one exception: if the machine fails before calling SEElib\_InitComplete(), the CreateSEEWorld command returns a value of 1 (SEEInitStatus\_MachineFailed) in this field. You should therefore avoid choosing the value 1 to indicate successful initialization.

When the application receives the reply to CreateSEEWorld with Status\_OK and an acceptable initstatus, it can start to submit jobs with the nCore API command SEEJob.

You can also use or adapt the supplied example Java class **SEEJob** to submit jobs to the SEE machine.

The SEEJob command takes a byte block, which is passed to the SEElib\_AwaitJob function without being interpreted in any way. It is up to the host application to assemble this byte block and the SEE machine to interpret it.

After the job has been processed, assemble the reply into a byte block and call SEElib\_ReturnJob to return it using the nShield core.

The nShield core assembles this byte block into a reply and returns it to the host application. Provided that the job is returned before the command times out, the reply has the status OK. The SEE machine must include any necessary status information within the byte block it returns. The calling application must remember to check this status as well as the status of the SEEJob nCore API function and the transport call, for example NFastApp\_Transact().

The SEE machine can call nCore API functions with SEElib\_Transact or SEElib\_MarshalSend Command and SEElib\_GetUnmarshalResponse. It may submit these as part of its initialization, before it calls SEElib\_InitComplete(). However, if it does not call SEElib\_InitComplete() within 30 seconds of start-up, the CreateSEEWorld command returns SEEInitStatus\_MachineFailed. For this reason, you should not perform (for example) lengthy key generation operations during initialization. SEElib\_Transact has syntax equivalent to the NFastApp\_Transact function in the C generic stub. It takes a command structure and returns a reply structure. SEElib\_MarshalSendCommand takes a command structure and submits it. SEElib\_GetUnmarshalResponse reads a response from a buffer and returns a reply structure.



SEElib\_StartTransactListener must be called successfully before you use SEElib\_Transact to communicate with the nShield core.

## 3.1.3. SEE machines for new algorithms

In addition to being able to perform basic cryptographic operations, any SEE machine that implements an algorithm must also be able to:

- Generate keys
- Import keys
- Store keys as key blobs.

The SEE machine can use the nCore API functions GenerateRandom and GeneratePrime to acquire random numbers and random prime numbers from the HSM's hardware random number generator.

The SEE machine can perform its own multiprecision arithmetic. Otherwise, it can use the nCore API BignumOp command to perform multiprecision arithmetic and the ModExp and Mod-ExpCrt commands to perform modular exponentiation.

If you are using keys as session keys, there is no requirement for them ever to be placed in the nShield core. The only time that you need to transfer a key to the core is if you need to create a key blob for long-term storage. However, if you need to keep track of several keys, you may want to make use of the nShield core's object store rather than having to create a similar structure in your own code.

For an example of how See machines can implement a non-standard algorithm, see A3A8 example.

#### 3.1.3.1. Key type

The SEE machine stores keys using the random key type. This is a plain byte block with no structure.

If the key contains several values, for example, exponent and modulus, the SEE machine must implement its own routines for marshalling and unmarshalling the byte block into the correct structure.

#### Chapter 3. Designing SEE machines and SEE-ready HSMs



SEE machines using standard algorithms do not use the random key type. Instead, they use standard nCore key types.

#### 3.1.3.2. ACL

The ACL needs to be constructed so that the SEE machine and only the SEE machine can access the key. To transfer a key from the nShield core to the SEE machine, the key must have the ExportAsPlain flag set in its ACL. The permission group with ExportAsPlain must be protected by a certifier so that this operation can only be performed by the SEE machine.

Although one obvious solution is to use the key that was used to sign the SEE machine,  $K_{Integ}$ , as the certifier, using  $K_{Integ}$  in this way means that whoever signed the SEE machine could potentially access any key for this algorithm. A better solution is to add an extra signa ture to the SEE machine by using a second key,  $K_{Auth}$  The  $K_{Integ}$  signature proves that the code has not changed since it was signed. The  $K_{Auth}$  signature is then used to control access to keys.

You can use the generatekey command-line utility to generates keys for use as  $K_{Auth}$  and  $K_{Integ}$  by specifying the seeinteg application as a key generation parameter.

The ACL must also have the correct MakeBlob permissions. If you want to use the standard Security World tools for key management and recovery, the host application can use these tools to create the ACL.



SEE machines using standard algorithms generally do not need to get the key as plain text in the SEE machine.

#### 3.1.3.3. Storage

For long-term storage, the key needs to be encapsulated in a key blob that is protected by the Security World or an OCS. To provide OCS replacement and recovery, you may also require additional key blobs protected by other card sets.

You could write a function where your SEE machine calls MakeBlob and returns the blob to the host. Alternatively, you could write a method that returns a key ticket and have the host application create the key blobs.

If you are using a Security World, the host application can use **nfkm** library calls to create and store the key blobs.

#### 3.1.3.4. Loading stored keys

In general, it is easier for the host application to manage tokens, because it has direct access to the user interface and can prompt the user to insert cards and enter passphrases.

When the token has been loaded, the host application can load the key and pass a key ticket to the SEE machine. The SEE machine can then redeem the key ticket for a KeyID and use this to access the key. If you have several keys that are protected by a token, it usually makes sense to pass a ticket for the KeyID of the logical token, rather than passing tickets for each key.

You should also pass in a ticket for the logical token if the host application that loads the token exits afterwards. When it exits, it destroys the logical token's ID, which invalidates all loaded keys that were using it. Passing the logical token's ID in to the SEE machine prevents its destruction when the application exits.

#### 3.1.3.5. Run-time library

We supply a customized C run-time library (librtusr.a) and a corresponding set of header files (in the include-see\module\rtlib subdirectory) for programs operating in the SEE envi ronment. This library contains most commonly used ANSI C functions, with the addition of the following proprietary extensions:

- Thread creation and control functions documented in sys\threads.h
- Thread synchronization primitives (events, semaphores, spin-locks), also documented in sys\threads.h

However, the librtusr.a library excludes:

- Any file or directory access functions
- User input functions (for example, stdin and scanf())
- Floating-point math library functions (in math.h), although basic floating-point types and arithmetic are supported
- Time/date and locale-specific functions (time.h, locale.h).



Multiplexing is not available with the rtlib/SEElib runtime library.

Access to the raw (IPC) interface between the SEE machine and the kernel is by means of the SWIcall() function, documented in sys\swis.h. The comments in this file are provided mainly for information, because all useful SWIs have C wrapper functions presented in other header files.

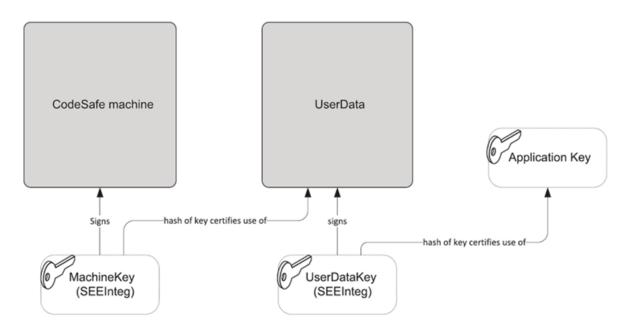
See SEElib functions for reference information about glibc functions.

#### 3.1.4. Signing userdata for additional security

Signing userdata files can help increase the security of CodeSafe SEE applications. Both types of SEE machine architecture, using glibc and using SEElib, can take advantage of the security benefits offered by signing userdata files.

For example, if your SEE machine is intended to perform some cryptography functions using a given key, it would be advantageous to prevent that key from being accessed by any unauthorized SEE machines. This can be achieved by signing the userdata file for your SEE machine.

The following figure provides an overview diagram of the process of signing a SEE machine's userdata file.



The following sequence, in which an original SEE machine is represented by machine.elf and an original userdata file is represented by userdata.bin, demonstrates the process of signing a SEE machine's userdata file:

1. Create the key K<sub>seemach</sub> of type seeinteg to sign the SEE machine by running a command similar to:

generatekey seeinteg plainname=seemach ...

2. Create the key  $K_{userdata}$  of type seeinteg to sign the userdata by running a command sim ilar to:

generatekey seeinteg plainname=userdata ...

3. Run the generatekey command-line utility to create a key  $K_{crypto}$  (the key with which your SEE machine is to perform its cryptography functions), specifying  $K_{userdata}$  for its seeintegname:

```
generatekey simple plainname=crypto --seeintegname=userdata ...
```



This example assumes  $K_{crypto}$  is being created as a Triple DES key.

4. Run the tct2 command-line utility to sign the userdata file for your SEE machine with the key  $K_{userdata}$ , specifying  $K_{seemach}$  as the SEE machine key:

tct2 --sign --key=userdata --machine-key-ident=seemach --infile=userdata.bin --outfile=userdata.sar



For information about the tct2 command-line utility, see tct2.

5. Run the tct2 command-line utility to sign the SEE machine with the key  $K_{\text{seemach}}$ :

```
tct2 --sign --key=seemach -- machine-type=PowerPCELF --is-machine --infile=machine.elf
--outfile=machine.sar
```

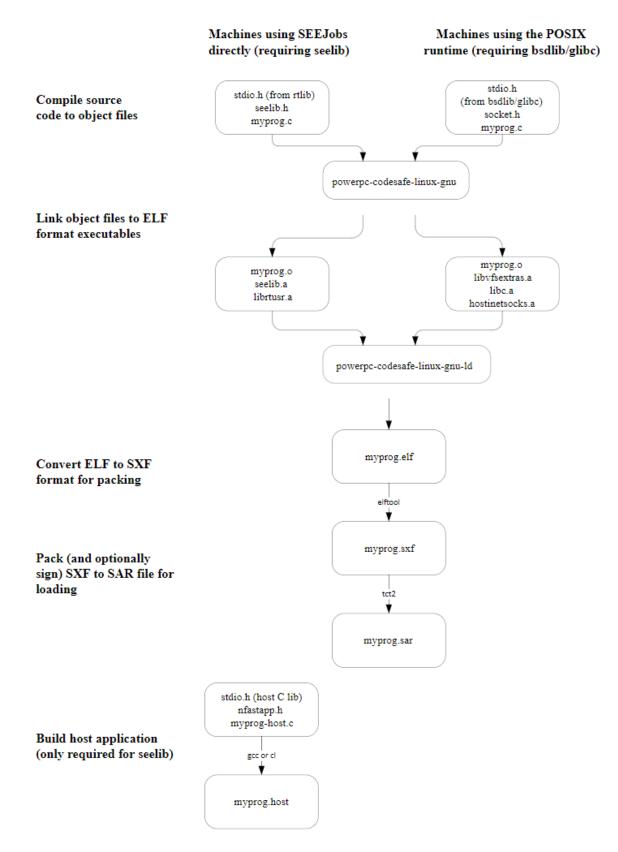
The result of the process demonstrated in this sequence of steps is that no SEE machine can use the key  $K_{crypto}$  unless at least one of the following conditions is met:

- It has been signed by the correct  $K_{\mbox{\tiny seemach}}$  and is used in conjunction with the correct  $\mbox{userdata}$  file
- You make use of the key recovery feature (see the User Guide).

#### 3.1.5. Building your SEE machine and host-side application

The following steps provide an overview of the process you follow to use your application with SEE:

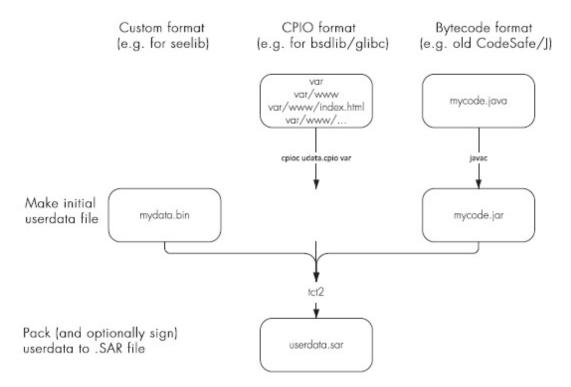
- 1. If you want to sign or encrypt your application, generate code-signing and confidential ity keys as applicable.
- 2. Compile and link the host application's source files using the native compiler on the host. See the diagram in the following step.
- 3. Compile and link the SEE machine source using the GCC cross compiler. See the follow ing diagram.



- 4. If required, use the Trusted Code Tool (tct2) to sign the SEE machine with the codesigning keys. See Utilities for additional information.
- 5. Use the Trusted Code Tool (tct2) to pack the HSM files and create a SAR file. You must pack the binary file even if signatures are not required. See Utilities for additional

information.

6. Use the Trusted Code Tool (tct2) to pack (and, if required, sign with the code-signing keys) the userdata file and create a SAR file. You must pack the userdata file even if sig natures are not required (unless you use one of the see-\*-serv host utilities with the --userdata-raw option. See Utilities for additional information.

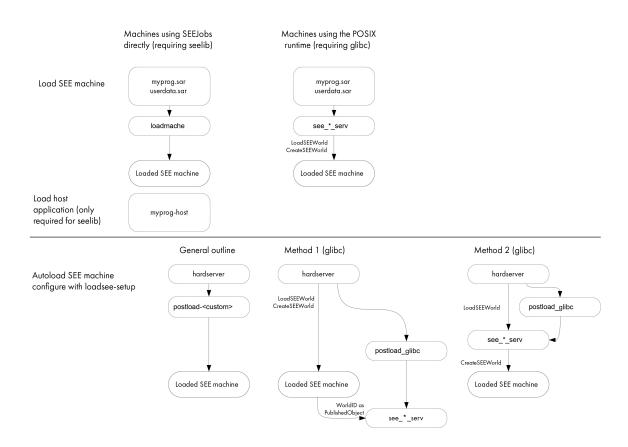


- 7. If required, use the Trusted Code Tool (tct2) to encrypt the userdata file, using the con fidentiality key.
- 8. Place the userdata SAR file and the host application in an appropriate location to be used at runtime.
- 9. For SEE machines using the SEElib architecture, userdata file can either be either loaded automatically or can be loaded by running the loadmache command-line utility.

For SEE machines that require support from a host-side see-\*-serv utility, the host util ity loads the userdata file automatically.

The following diagram shows these different methods for loading a SEE machine.

#### Chapter 3. Designing SEE machines and SEE-ready HSMs



For more information, see Automatically loading a SEE machine.

# 3.2. Writing SEE machines - Solo PCIe

This chapter describes how to write an SEE machine for use on SEE-Ready HSMs.

An SEE machine is an executable binary file of a type appropriate for the HSM that communicates with the nShield core (which runs in kernel mode) using a defined set of software interrupts. These interrupts, and their wrapper functions, provide a run-time environment that includes memory and thread management as well as an interface for accepting and returning jobs and calling nCore API commands.

C source code is compiled using one of the GCC cross-compilers supplied with the Code-Safe Developer Kit. For details of required compiler options, see Example SEE machines and the Makefiles supplied with the examples.

The compiled code can then be signed, packed, and encrypted by using the Trusted Code Tool (tct2 utility) to produce a secure archive; see Utilities.

## 3.2.1. Designing the bsdlib architecture

We supply the FreeBSD C library **bsdlib** and system call underlay for use with CodeSafe

SEE development.

Internally, **bsdlib** has three portions:

- A set of C library functions taken from the 4.6.x FreeBSD distribution
- A pthreads implementation that allows SEE machines built with the bsdlib architecture to be both multithreading and multiplexing (select(2) and poll(2) are available for mul tiplexing)
- A subset of the Unix-based system calls, both usable directly and used by the FreeBSD C library, implemented in terms of the SWI to the cryptographic HSM kernel. The provided subset of system calls includes a virtual file system and associated set of input and output devices with which you interact in the standard FreeBSD manner.

Also provided are some link-time plug-ins that extend the virtual file system to provide addi tional capabilities:

- hoststdioe.o: stdin, stdout, and stderr facility hooks; seestream\_stdio(7see)
- hoststdoe.o: stdout and stderr facility hooks; seestream\_stdio(7see)
- hostinetsocks.o: TCP socket facility hooks; seestream\_inet(7see)
- coredevrandom.o: \dev\random facility hooks; fs\_random(7see)
- procfs.o: \proc file system that provides features and flags; fs\_proc(7see)
- getrtctime.o: uses the HSM's secure RTC to call settimeofday when the machine starts up; time(7see)



The link-time plug-in vulnerability.o is provided for the purposes of debugging (see Vulnerability test harness). Entrust recommends that you do *not* link vulnerability.o into a production SEE machine.

#### 3.2.1.1. Host-side utilities for SEE machines using bsdlib

SEE machines that require the standard I/O streams or INET domain sockets must be serviced by an appropriate host-side utility. Without the host-side utility, SEE machine operations requiring any of these streams are blocked until the appropriate service becomes avail able.

We supply 4 different host-side utilities, all of which have the capability to:

- Load the SAR file for the SEE machine
- Load the mandatory userdata file
- Provide different combinations of socket and I/O streams

These utilities are described below:

- see-sock-serv: Use this utility if your SEE machine requires only sockets, and if you
  want to make use of the CodeSafe Direct feature. (See Configuring the nShield Connect to use CodeSafe Direct for more information about CodeSafe Direct.)
- see-stdoe-serv: Use this utility if your SEE only requires standard output and error streams.
- see-stdioe-serv: Use this utility if your SEE machine requires only standard input, output, and error streams.
- **see-stdioesock-serv**: Use this utility if your SEE machine requires sockets in addition to standard input, output, and error streams.

Ensure that you select the appropriate utility for your SEE machine, because running a host-side utility with more provisions than the SEE machine was linked against causes the SEE machine to abort.

Generate the mandatory userdata file with the cpioc utility. This utility takes a collection of files and packs them up into an archive file that the SEE machine can use. To generate a userdata file, run a command of the form:

cpioc userdata.cpio <MyFile1> <MyFile2> <MyFile3> [...]

In this command, *<MyFile1>*, *<MyFile2>*, and *<MyFile3>* represent the files being packed into the userdata.cpio file that is generated by the command. You can specify as many files as appropriate. You can also specify one or more directories; the command automatically packs their contents (including any subdirectories) into the generated userdata.cpio file.

Typically, you run the host-side utilities with a command of the form:

see-\*-serv --machine <MySEEMachine>.sar --userdata-raw <MyUserdataFile>

If the userdata file is signed (see Signing userdata for additional security), then run these host-side utilities with a command of the form:

```
see-*-serv --machine <MySEEMachine>.sar --userdata-sar <MyUserdataFile>.sar
```



Although you cannot load an encrypted SEE machine with the see-\*serv utilities, you can use them to start and service a previously loaded encrypted SEE machine.

For more detailed information and examples of how to apply these utilities, see Examples for bsdlib library and see-\*-serv utilities.

# 3.2.2. Designing for the SEElib architecture

This section describes how to design SEE machines using the SEElib architecture. This kind of architecture requires host-side software to create the SEE World and communicate with the HSM.

To start the SEE machine running with a particular SEE userdata, the host application calls the nCore API command CreateSEEWorld. This command creates an SEE World using data previously loaded into the HSM with the LoadBuffer command from a buffer created with the CreateBuffer command. See the *nCore API Documentation* (supplied as HTML) for information about the nCore API commands.

You can also use or adapt the supplied example Java class SEEWorld to initialize the SEE machine.

When the host application calls CreateSEEWorld, the HSM allocates memory for the SEE World and sets up its input and output job queues. It then runs the SEE machine's main() function.

The SEE machine's main() function must:

- Call SEElib\_init() before any other SEE library function to initialize the SEE library and to check that the HSM is running the expected version of the library
- If the machine accepts userdata:
  - call SEElib\_GetUserDataLen to determine the length of the byte block that was passed with CreateSEEWorld
  - call SEElib\_ReadUserData to load the byte block
  - ° determine whether the byte block is valid
  - ° initialize any required structures
- Start at least one thread which receives and processes commands (this thread must call SEElib\_AwaitJob)



The SEElib\_StartProcessorThreads function can be used for this purpose.

• Call SEElib\_InitComplete and return a status.

The status passed to SEElib\_InitComplete is returned to the calling application in the reply to CreateSEEWorld. The application can determine the status values, with one exception: if the machine fails before calling SEElib\_InitComplete(), the CreateSEEWorld command returns a value of 1 (SEEInitStatus\_MachineFailed) in this field. You should therefore avoid choosing the value 1 to indicate successful initialization

When the application receives the reply to CreateSEEWorld with Status\_OK and an acceptable initstatus, it can start to submit jobs with the nCore API command SEEJob.

You can also use or adapt the supplied example Java class **SEEJob** to submit jobs to the SEE machine.

The SEEJob command takes a byte block, which is passed to the SEElib\_AwaitJob function without being interpreted in any way. It is up to the host application to assemble this byte block and the SEE machine to interpret it.

After the job has been processed, assemble the reply into a byte block and call SEElib\_ReturnJob to return it using the nShield core.

The nShield core assembles this byte block into a reply and returns it to the host application. Provided that the job is returned before the command times out, the reply has the status OK. The SEE machine must include any necessary status information within the byte block it returns. The calling application must remember to check this status as well as the status of the SEEJob nCore API function and the transport call, for example NFastApp\_Transact().

The SEE machine can call nCore API functions with SEElib\_Transact or SEElib\_MarshalSend Command and SEElib\_GetUnmarshalResponse. It may submit these as part of its initialization, before it calls SEElib\_InitComplete(). However, if it does not call SEElib\_InitComplete() within 30 seconds of start-up, the CreateSEEWorld command returns SEEInitStatus\_MachineFailed. For this reason, you should not perform (for example) lengthy key generation operations during initialization.

SEElib\_Transact has syntax equivalent to the NFastApp\_Transact function in the C generic stub. It takes a command structure and returns a reply structure. SEElib\_MarshalSendCommand takes a command structure and submits it. SEElib\_GetUnmarshalResponse reads a response from a buffer and returns a reply structure.



SEElib\_StartTransactListener must be called successfully before you use SEElib\_Transact to communicate with the nShield core.

# 3.2.3. SEE machines for new algorithms

In addition to being able to perform basic cryptographic operations, any SEE machine that implements an algorithm must also be able to:

- Generate keys
- Import keys
- Store keys as key blobs.

The SEE machine can use the nCore API functions GenerateRandom and GeneratePrime to acquire random numbers and random prime numbers from the HSM's hardware random number generator.

The SEE machine can perform its own multiprecision arithmetic. Otherwise, it can use the nCore API BignumOp command to perform multiprecision arithmetic and the ModExp and Mod-ExpCrt commands to perform modular exponentiation.

If you are using keys as session keys, there is no requirement for them ever to be placed in the nShield core. The only time that you need to transfer a key to the core is if you need to create a key blob for long-term storage. However, if you need to keep track of several keys, you may want to make use of the nShield core's object store rather than having to create a similar structure in your own code.

For an example of how an SEE machine can implement a non-standard algorithm, see A3A8 example.

# 3.2.3.1. Key type

The SEE machine stores keys using the random key type. This is a plain byte block with no structure.

If the key contains several values, for example, exponent and modulus, the SEE machine must implement its own routines for marshalling and unmarshalling the byte block into the correct structure.



SEE machines using standard algorithms do not use the random key type. Instead, they use standard nCore key types.

# 3.2.3.2. ACL

The ACL needs to be constructed so that the SEE machine and only the SEE machine can access the key. To transfer a key from the nShield core to the SEE machine, the key must have the ExportAsPlain flag set in its ACL. The permission group with ExportAsPlain must be protected by a certifier so that this operation can only be performed by the SEE machine.

Although one obvious solution is to use the key that was used to sign the SEE machine,  $K_{Integ}$ , as the certifier, using  $K_{Integ}$  in this way means that whoever signed the SEE machine could potentially access any key for this algorithm. A better solution is to add an extra signa ture to the SEE machine by using a second key,  $K_{Auth}$ . The  $K_{Integ}$  signature proves that the code has not changed since it was signed. The  $K_{Auth}$  signature is then used to control access

to keys.

You can use the generatekey command-line utility to generates keys for use as  $K_{Auth}$  and  $K_{Integ}$  by specifying the seeinteg application as a key generation parameter.

The ACL must also have the correct MakeBlob permissions. If you want to use the standard Security World tools for key management and recovery, the host application can use these tools to create the ACL.



SEE machines using standard algorithms generally do not need to get the key as plain text in the SEE machine.

# 3.2.3.3. Storage

For long-term storage, the key needs to be encapsulated in a key blob that is protected by the Security World or an OCS. To provide OCS replacement and recovery, you may also require additional key blobs protected by other card sets.

You could write a function where your SEE machine calls MakeBlob and returns the blob to the host. Alternatively, you could write a method that returns a key ticket and have the host application create the key blobs.

If you are using a Security World, the host application can use nfkm library calls to create and store the key blobs.

# 3.2.3.4. Loading stored keys

In general, it is easier for the host application to manage tokens, because it has direct access to the user interface and can prompt the user to insert cards and enter passphrases.

When the token has been loaded, the host application can load the key and pass a key ticket to the SEE machine. The SEE machine can then redeem the key ticket for a KeyID and use this to access the key. If you have several keys that are protected by a token, it usually makes sense to pass a ticket for the KeyID of the logical token, rather than passing tickets for each key.

You should also pass in a ticket for the logical token if the host application that loads the token exits afterwards. When it exits, it destroys the logical token's ID, which invalidates all loaded keys that were using it. Passing the logical token's ID in to the SEE machine prevents its destruction when the application exits.

# 3.2.3.5. Run-time library

We supply a customized C run-time library (librtusr.a) and a corresponding set of header files (in the include-see\module\rtlib subdirectory) for programs operating in the SEE envi ronment. This library contains most commonly used ANSI C functions, with the addition of the following proprietary extensions:

- Thread creation and control functions documented in sys\threads.h
- Thread synchronization primitives (events, semaphores, spin-locks), also documented in sys\threads.h

However, the library excludes:

- Any file or directory access functions
- User input functions (for example, stdin and scanf())
- Floating-point math library functions (in math.h), although basic floating-point types and arithmetic are supported
- Time/date and locale-specific functions (time.h, locale.h).



Multiplexing is not available with the rtlib/SEElib runtime library.

Access to the raw (SWI) interface between the SEE machine and the kernel is by means of the SWIcall() function, documented in sys\swis.h. The comments in this file are provided mainly for information, because all useful SWIs have C wrapper functions presented in other header files.

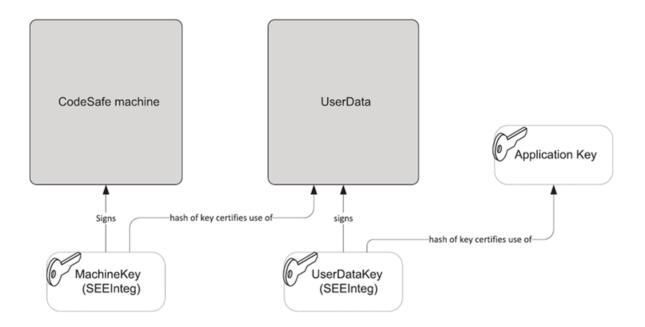
See SEElib functions for reference information about bsdlib functions.

# 3.2.4. Signing userdata for additional security

Signing userdata files can help increase the security of CodeSafe SEE applications. Both types of SEE machine architecture, using bsdlib and using SEElib, can take advantage of the security benefits offered by signing userdata files.

For example, if your SEE machine is intended to perform some cryptography functions using a given key, it would be advantageous to prevent that key from being accessed by any unauthorized SEE machines. This can be achieved by signing the userdata file for your SEE machine.

The following diagram illustrates the process of signing an SEE machine's userdata file.



The following sequence, in which an original SEE machine is represented by machine.sxf and an original userdata file is represented by userdata.bin, demonstrates the process of signing an SEE machine's userdata file:

1. Create the key K<sub>seemach</sub> of type seeinteg to sign the SEE machine by running a command similar to:

generatekey seeinteg plainname=seemach ...

2. Create the key K<sub>userdata</sub> of type seeinteg to sign the userdata by running a command sim ilar to:

generatekey seeinteg plainname=userdata ...

3. Run the generatekey command-line utility to create a key  $K_{crypto}$  (the key with which your SEE machine is to perform its cryptography functions), specifying  $K_{userdata}$  for its seeintegname:

generatekey simple plainname=crypto --seeintegname=userdata ...



This example assumes  $K_{crypto}$  is being created as a Triple DES key.

4. Run the tct2 command-line utility to sign the userdata file for your SEE machine with the key  $K_{userdata}$ , specifying  $K_{seemach}$  as the SEE machine key:

tct2 --sign --key=userdata --machine-key-ident=seemach --infile=userdata.bin --outfile=userdata.sar



For information about the tct2 command-line utility, see tct2.

5. Run the tct2 command-line utility to sign the SEE machine with the key  $K_{\text{seemach}}$ :

tct2 --sign --key=seemach -- machine-type=PowerPCSXF--is-machine --infile=machine.sxf --outfile=machine.sar

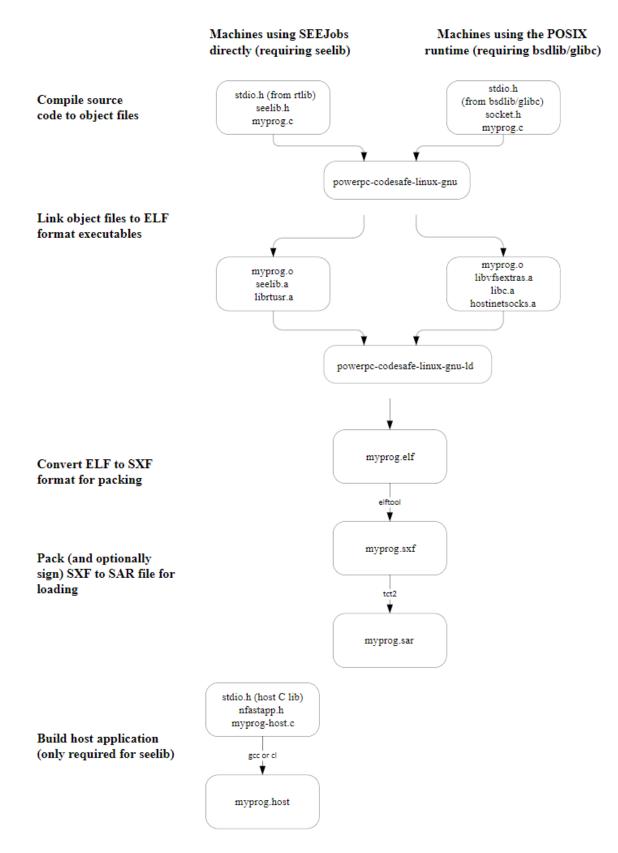
The result of the process demonstrated in this sequence of steps is that no SEE machine can use the key  $K_{crypto}$  unless at least one of the following conditions is met:

- It has been signed by the correct  $K_{\mbox{\tiny seemach}}$  and is used in conjunction with the correct  $\mbox{\tiny userdata}$  file
- You make use of the key recovery feature (see the User Guide).

# 3.2.5. Building your SEE machine and host-side application

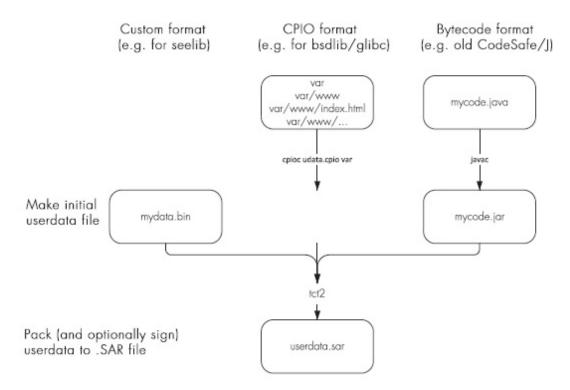
The following steps provide an overview of the process you follow to use your application with SEE:

- 1. If you want to sign or encrypt your application, generate code-signing and confidential ity keys as applicable.
- 2. Compile and link the host application's source files using the native compiler on the host. See the diagram in the following step.
- 3. Compile and link the SEE machine source using the GCC cross compiler. See the follow ing diagram.



- 4. Run the elftool command-line utility to convert the compiled SEE machine to SXF for mat. See Utilities for additional information.
- 5. If required, use the Trusted Code Tool (tct2) to sign the SEE machine with the codesigning keys. See Utilities for additional information.

- 6. Use the Trusted Code Tool (tct2) to pack the HSM files and create a SAR file. See Utilities for additional information. You must pack the binary file even if signatures are not required.
- 7. Use the Trusted Code Tool (tct2) to pack (and, if required, sign with the code-signing keys) the userdata file and create a SAR file. You must pack the userdata file even if sig natures are not required (unless you use one of the see-\*-serv host utilities with the --userdata-raw option.

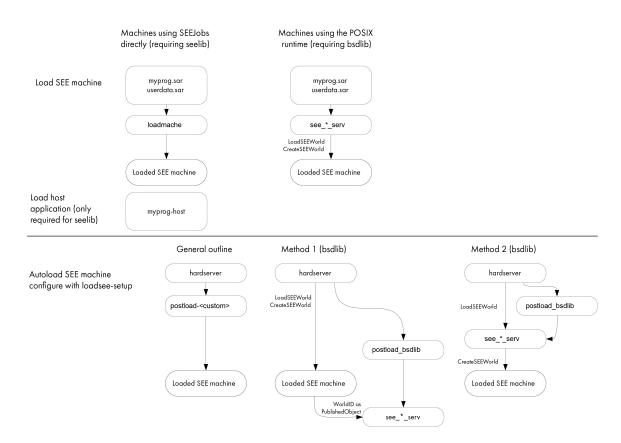


- 8. If required, use the Trusted Code Tool (tct2) to encrypt the userdata file, using the con fidentiality key. See Utilities for additional information.
- 9. Place the userdata SAR file and the host application in an appropriate location to be used at runtime.
- 10. For SEE machines using the SEElib architecture, userdata file can either be either loaded automatically or can be loaded by running the loadmache command-line utility.

For SEE machines that require support from a host-side see-\*-serv utility, the host util ity loads the userdata file automatically.

The following diagram shows these different methods for loading an SEE machine.

#### Chapter 3. Designing SEE machines and SEE-ready HSMs



For more information, see Automatically loading an SEE machine.

# 4. Example SEE machines

This chapter documents the example SEE machines.

The supplied C examples consist of the source files and associated Cmake files that will allow you to build the examples. To run the compiled examples correctly, you must have the latest version of the Security World for nShield and the latest HSM firmware.



The latest versions of both the Security World for nShield and HSM firmware are supplied on the installation media.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

# 4.1. Configure Windows Build Environment

The build environment requires that the following tools be already installed:

- CMake for Windows, minimum version 3.9.
- Visual Studio 2017 Build Tools.
- Ninja build system for Windows.

Each example is supplied with CMake files for each HSM architecture and Windows host environment.

The specifics of building the C code for the HSM architectures and the Windows host environment are described in the next sections.

In order to build the examples, you must use the Visual Studio Developer Command Prompt. This command prompt needs to be initialized to use the 64-bit compilation tools in the following manner:

- 1. Open a Windows command prompt, using **Run as Administrator**.
- Navigate to the Visual Studio Build Tools installation directory. The default location for this is C:\Program Files (x86)\Microsoft Visual Studio\2017\BuildTools\VC\Auxiliary\Build.

- 3. At the command prompt, execute the initialization batch file, vcvars64.bat. The batch file sets the required environment variables for using the 64-bit compilation tools.
- 4. At this point you may wish to enter the PowerShell environment. This can be done by executing the powershell command at the command prompt.

i

If you exit (close) the initialized Windows command prompt (or PowerShell), then these initialization steps must be repeated when you open a new command prompt in order to build the examples.



For information on the different library paths necessary to perform a 64-bit build of your own code, see the *nCore API Documentation* (supplied as HTML).



We strongly recommend that you familiarize yourself with the process of building the example programs supplied on the CodeSafe installation media before you attempt to adapt the makefiles to any other environments.

# 4.2. Examples for bsdlib library

This section is only relevant when using an **nShield Solo+** or an **nShield Connect+**. If you are using an **nShield Solo XC** or an **nShield Connect XC**, see Examples for glibc library.

In default CodeSafe installations, the following C examples are supplied in directories under the path **%NFAST\_HOME%\c\csd\examples**:

Location	Description
bsdsee\helloworld.c	This example is a simple, introductory test program.
bsdsee\see-random.c	This example demonstrates basic usage of the generic stub within SEE.
bsdsee\see-enquiry.c	This example demonstrates host code running within SEE with no large modifica- tions.
bsdsee\tcp-proxy.c	This example is a multithreaded TCP-TCP proxy that forwards all connections on port 8080 to 127.0.0.1:80.

If the nShield Connect is configured to use see-sock-serv directly, any supplied bsdlib examples that use see-sock-serv can be run directly on the nShield Connect, rather than via a client machine.

The examples here show how to run a SEE machine from a command line. Alternatively, if you wish to run a SEE machine directly, please see Deploying SEE Machines.



If you are running see-sock-serv directly on an nShield Connect, port numbers in the examples should be modified to bind to ports within the range 8000-8999.

All supplied examples for **bsdlib**, both standard and SSL-related, require one of the **see-\*serv** host-side utilities. For more information about these utilities, see <u>see-\*-serv</u> utilities.

# 4.2.1. Building the HSM-side code

1. Create a directory in your **Documents** location to contain the platform examples. For example, create a directory called **buildBSDmod**, and enter this directory. For example:



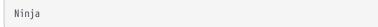
2. Configure the module examples build using the command:

cmake -G "Ninja" -DCMAKE\_TOOLCHAIN\_FILE=<path to BSD tool chain> <path to BSD SEE examples>

Using the default locations for the tool chain and the BSD SEE examples the command would be:

cmake -G "Ninja" -DCMAKE\_TOOLCHAIN\_FILE="C:\Program Files\nCipher\nfast\c\csd\cmake\codesafe-linux-solobsdsee.cmake" "C:\Program Files\nCipher\nfast\c\csd\examples"

3. Build the module examples using the command:



This results in the creation of a directory, **bsdsee**, which contains all the compiled exam ples. The build process will create two files per example, one with a **.sxf** suffix and another with an **.elf** suffix.

# 4.2.2. Helloworld example

This example source code is a simple example of an SEE machine written in C. It is *not* intended to be the basis for any real world applications. It is intended only to demonstrate how to write SEE machines in C and the use of an appropriate host utility to handle output to stdout and stderr.

### 4.2.2.1. Packing the SEE machine

Use the tct2 command-line utility to convert the SXF (Secure eXecution Format) file into a SAR (Secure or SEE ARchive) file as follows:

tct2 --pack --infile=helloworld.sxf --outfile=helloworld.sar

You can also choose to set options in this command that sign or encrypt the file. For more information, see tct2.

### 4.2.2.2. Creating a userdata file



All SEE machines built with the **bsdlib** C library must be provided with a valid ASCII-format CPIO archive. This archive forms the base of the file system available to your SEE machine. You can use the **cpioc** command-utility that we provide to create CPIO archives of the correct type.



Although the helloworld example does not use its file system, you must still create and supply it with dummy userdata as a place-holder.

Create a dummy userdata file as follows:

```
>echo dummy > dummy
> cpioc userdata.cpio dummy
F dummy
Written 'userdata.cpio': 1 files, 0 directories, 0 errors
>
```

#### 4.2.2.2.1. Running the example

To run the helloworld example on a PowerPC-based SEE machine, use the following commands:

```
> see-stdoe-serv --machine helloworld.sar --userdata-raw userdata.cpio
nC SEE bsdlib entering main
Hello world!
^C
>
```



If you are using an nShield Connect, you must also set the --no-feature-check option when running the see-stdoe-serv utility.

Before rerunning this example, run the following command to clear all HSMs:

nopclearfail --clear --all

# 4.2.3. SEE-Random example

This example shows basic usage of the generic stub from within SEE. It requests 128 bytes of random material from the HSM and prints the result in hexadecimal.

Before running or rerunning this example, run the following command to clear all HSMs:

```
nopclearfail --clear --all
```

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).

#### 4.2.3.1. Packing the SEE machine

Use the tct2 command-line utility to convert the SXF (Secure eXecution Format) file into a SAR (Secure or SEE ARchive) file:

```
tct2 --pack --machie-type=PowerPCELF --infile=see-random.sxf --outfile=see-random.sar
```

For additional security, you can also set options in this command to sign or encrypt the file. For more information, see tct2.

### 4.2.3.2. Running the example

To run the SEE-Random example on a PowerPC-based SEE machine, use the following commands:

```
> see-stdoe-serv --machine see-random.sar --userdata-raw userdata.cpio
nC SEE bsdlib entering main
52 D1 C4 73 28 49 79 62 CD E6 64 14 1C 3B E1 B2 70 3D 6B D5 DF DE CE 7F 47 50 70 06 B6
C0 52 7F 19 3A 0A 7D E4 73 83 D8 EB F4 E5 82 F3 53 38 45 2A E3 08 49 1A 58 77 35 5F 5C
7C D9 7B 57 4A A9 C4 F4 67 C7 30 91 4A CA 0C 15 1F A7 F2 E1 2B 61 E2 3A CE EF BD FF ED
49 07 68 7B 76 D2 AC 8B 98 AA 02 FD 30 01 68 60 49 4C 0F 7E 23 7F AC EC B5 6A DE 0B CD
45 72 89 96 DD E2 96 C2 B8 7B 97 AA
^C
```



If you are using an nShield Connect, you must also set the --no-feature-check option when running the see-stdoe-serv utility.

# 4.2.4. SEE-Enquiry example

This example shows how to cross-compile example code, originally written for use from the host environment, to be run within the SEE without any substantial modifications.

Before running or rerunning this example, run the following command to clear all HSMs:

```
nopclearfail --clear --all
```

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).



This example code is based on enquiry.c provided elsewhere in the soft ware distribution.

#### 4.2.4.1. Packing the SEE machine

Use the tct2 command-line utility to convert the SXF (Secure eXecution Format) file into a SAR (Secure or SEE ARchive) file:

```
tct2 --pack --machine-type=PowerPCELF --infile=see-enquiry.sxf --outfile=see-enquiry.sar
```

For additional security, you can also set options in this command to sign or encrypt the file. For more information, see tct2.

# 4.2.4.2. Running the example

To run the SEE-Enquiry example on a PowerPC-based SEE machine, use the following commands:

```
>see-stdoe-serv --machine see-enquiry.sar --userdata-raw userdata.cpio
nC SEE bsdlib entering main
Server:
enquiry reply flags none
enquiry reply level Six
                     1BD7-DE7B-A370
serial number
                     operational
mode
version
                     2.38.7
speed index
                     4240
rec. queue
                     35..152
[etc]^C
>
```



If you are using an nShield Connect, you must also set the --no-feature-check option when running the see-stdoe-serv utility.

# 4.2.5. TCP proxy example

The TCP proxy example demonstrates how to set up a conduit between the local host and a destination IP address.

Before running or rerunning this example, run the following command to clear all HSMs:

```
nopclearfail --clear --all
```

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).

The default destination address is declared in the source code file tcp-proxy.c as follows:

#define BACKEND\_ADDR "127.0.0.1"

For the TCP proxy example to work correctly, you must change this default destination address. You can replace the default address with the IP address of any valid website.

By default, the example TCP proxy code sets the front end port to 8080 and the back end port to 80. The remainder of this example assumes the use of these values, but you can change them as necessary.

#### 4.2.5.1. Re-building the HSM-side code

If the file tcp-proxy.c has been modified as described in TCP proxy example, then the exam ple needs to be rebuilt in order for the changes to take effect. The example can be rebuilt by executing the cmake build command from within the appropriate directory as described in Examples for bsdlib library for example:

```
> cd C:\Users\<USER-NAME>\Documents\buildBSDMod
```

> Ninja



If the example has been rebuilt, before continuing ensure that you are working in the directory that contains the compiled examples. In this example, the directory path would be:

> C:\Users\<USER-NAME>\Documents\buildBSDMod\bsdsee\

# 4.2.5.2. Packing the SEE machine

Use the tct2 command-line utility to convert the SXF (Secure eXecution Format) file into a

SAR (Secure or SEE ARchive) file:

tct2 --pack --machine-type=PowerPCELF --infile=tcp-proxy.sxf --outfile=tcp-proxy.sar

For additional security, you can also set options in this command to sign or encrypt the file. For more information, see tct2.

# 4.2.5.3. Running the example

Run the example on a PowerPC-based SEE machine as follows:

see-sock-serv --trace --machine tcp-proxy.sar --userdata-raw userdata.cpio

You can check that the example is working correctly by entering the URL <a href="http://local-host:8080/">http://local-host:8080/</a> into any Web browser. If the example is working correctly, the browser displays the Web page at the address specified in the <a href="tep-proxy.c">tep-proxy.c</a> file.

# 4.3. Examples for glibc library

This section is only relevant when using an **nShield Solo XC** or an **nShield Connect XC**. If you are using an **nShield Solo+** or an **nShield Connect+**, see Examples for bsdlib library.

In default CodeSafe installations, the following C examples are supplied in directories under the path %NFAST\_HOME%\c\csd\examples:

Location	Description
glibsee\helloworld.c	This example is a simple, introductory test program.
glibsee\see-random.c	This example demonstrates basic usage of the generic stub within SEE.
glibsee\see-enquiry.c	This example demonstrates host code running within SEE with no large modifica- tions.
glibsee\tcp-proxy.c	This example is a multithreaded TCP-TCP proxy that forwards all connections on port 8080 to 127.0.0.1:80.

If the nShield Connect is configured to use see-sock-serv directly, any supplied glibc exam ples that use see-sock-serv can be run directly on the nShield Connect, rather than via a client machine.

The examples here show how to run a SEE machine from a command line. Alternatively, if you wish to run a SEE machine directly, please see Deploying SEE Machines.



If you are running see-sock-serv directly on an nShield Connect, port numbers in the examples should be modified to bind to ports within the range 8000-8999.

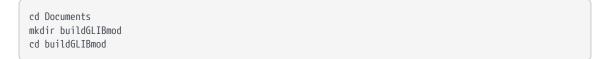
All supplied examples for glibc, both standard and SSL-related, require one of the see-\*serv host-side utilities. For more information about these utilities, see see-\*-serve utilities.



The SEE machine type must be specified as --machine-type=PowerPCELF when running the tct2 tool.

# 4.3.1. Building the HSM-side code

1. Create a directory in your home location to contain the platform examples. For example, to create and enter a directory called **buildGLIBmod**:



2. Configure the module examples build using the command:

```
cmake -G "Ninja" -DCMAKE_TOOLCHAIN_FILE=<path to GLIB tool chain> <path to GLIB SEE examples>
```

Using the default locations for the tool chain and the GLIB SEE examples, the command would be:

```
cmake -G "Ninja" -DCMAKE_TOOLCHAIN_FILE="C:\Program Files\nCipher\nfast\c\csd\cmake\codesafe-linux-xc-
glibsee.cmake" "C:\Program Files\nCipher\nfast\c\csd\examples"
```

3. Build the module examples using the command:



This results in the creation of a directory, glibsee, which contains all the compiled examples. The build process will create a file for each example, with an .elf suffix.

# 4.3.2. Helloworld example

This example source code is a simple example of an SEE machine written in C. It is *not* intended to be the basis for any real world applications. It is intended only to demonstrate how to write SEE machines in C and the use of an appropriate host utility to handle output

to stdout and stderr.

#### 4.3.2.1. Packing the SEE machine

Use the tct2 command-line utility to convert the ELF (Executable and Linkable Format) file into a SAR (Secure or SEE ARchive) file as follows:

tct2 --pack --machine-type=PowerPCELF --infile=helloworld.elf --outfile=helloworld.sar

For additional security, you can also choose to set options in this command that sign or encrypt the file. For more information, see tct2.

#### 4.3.2.2. Creating a userdata file



All SEE machines built with the glibc C library must be provided with a valid ASCII-format CPIO archive. This archive forms the base of the file system available to your SEE machine. You can use the cpioc command-utility that we provide to create CPIO archives of the correct type.



Although the helloworld example does not use its file system, you must still create and supply it with dummy userdata as a place-holder.

Create a dummy userdata file as follows:

```
>echo dummy > dummy
> cpioc userdata.cpio dummy
F dummy
Written 'userdata.cpio': 1 files, 0 directories, 0 errors
>
```

#### 4.3.2.3. Running the example

To run the **helloworld** example on a PowerPC-based SEE machine, use the following commands:

```
> see-stdoe-serv --machine helloworld.sar --userdata-raw userdata.cpio
nC SEE glibc entering main
Hello world!
^C
>
```



If you are using a nShield Connect, you must also set the --no-feature-

check option when running the see-stdoe-serv utility.

Before rerunning this example, run the following command to clear all HSMs:

nopclearfail --clear --all

# 4.3.3. SEE-Random example

This example shows basic usage of the generic stub from within SEE. It requests 128 bytes of random material from the HSM and prints the result in hexadecimal.

Before running or rerunning this example, run the following command to clear all HSMs:

nopclearfail --clear --all

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).

#### 4.3.3.1. Packing the SEE machine

Use the tct2 command-line utility to convert the ELF (Executable and Linkable Format) file into a SAR (Secure or SEE ARchive) file:

tct2 --pack --machine-type=PowerPCELF --infile=see-random.elf --outfile=see-random.sar

For additional security, you can also set options in this command to sign or encrypt the file. For more information, see tct2.

#### 4.3.3.2. Running the example

To run the SEE-Random example on a PowerPC-based SEE machine, use the following commands:

```
> see-stdoe-serv --machine see-random.sar --userdata-raw userdata.cpio
nC SEE glibc entering main
52 D1 C4 73 28 49 79 62 CD E6 64 14 1C 3B E1 B2 70 3D 6B D5 DF DE CE 7F 47 50 70 06 B6
C0 52 7F 19 3A 0A 7D E4 73 83 D8 EB F4 E5 82 F3 53 38 45 2A E3 08 49 1A 58 77 35 5F 5C
7C D9 7B 57 4A A9 C4 F4 67 C7 30 91 4A CA 0C 15 1F A7 F2 E1 2B 61 E2 3A CE EF BD FF ED
49 07 68 7B 76 D2 AC 8B 98 AA 02 FD 30 01 68 60 49 4C 0F 7E 23 7F AC EC B5 6A DE 0B CD
45 72 89 96 DD E2 96 C2 B8 7B 97 AA
^C
```



If you are using an nShield Connect, you must also set the --no-feature-check option when running the see-stdoe-serv utility.

# 4.3.4. SEE-Enquiry example

This example shows how to cross-compile example code, originally written for use from the host environment, to be run within the SEE without any substantial modifications.

Before running or rerunning this example, run the following command to clear all HSMs:

nopclearfail --clear --all

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).



This example code is based on **enquiry.c** provided elsewhere in the soft ware distribution.

# 4.3.4.1. Packing the SEE machine

Use the tct2 command-line utility to convert the ELF (Executable and Linkable Format) file into a SAR (Secure or SEE ARchive file):

```
tct2 --pack --machine-type=PowerPCELF --infile=see-enquiry.elf --outfile=see-enquiry.sar
```

For additional security, you can also set options in this command to sign or encrypt the file. For more information, see tct2.

# 4.3.4.2. Running the example

To run the SEE-Enquiry example on a PowerPC-based SEE machine, use the following commands:

```
>see-stdoe-serv --machine see-enquiry.sar --userdata-raw userdata.cpio
nC SEE glibc entering main
Server:
enquiry reply flags none
enquiry reply level Six
                    1BD7-DE7B-A370
serial number
mode
                    operational
version
                    2.38.7
                    4240
speed index
rec.queue
                     35..152
[etc]^C
>
```



If you are using an nShield Connect, you must also set the --no-feature-check option when running the see-stdoe-serv utility.

# 4.3.5. TCP proxy example

The TCP proxy example demonstrates how to set up a conduit between the local host and a destination IP address.

Before running or rerunning this example, run the following command to clear all HSMs:

nopclearfail --clear --all

The following assumes that the user is working in the directory that contains the compiled examples (both SXF and ELF files).

The default destination address is declared in the source code file tcp-proxy.c as follows:

#define BACKEND\_ADDR "127.0.0.1"

For the TCP proxy example to work correctly, you must change this default destination address. You can replace the default address with the IP address of any valid website.

By default, the example TCP proxy code sets the front end port to 8080 and the back end port to 80. The remainder of this example assumes the use of these values, but you can change them as necessary.

# 4.3.5.1. Re-building the HSM-side code

If the file tcp-proxy.c has been modified as described in section TCP proxy example, then the example needs to be rebuilt in order for the changes to be effective. The example can be rebuilt by executing the cmake build command from within the appropriate directory as described in section Examples for glibc library for example:

```
> cd C:\Users\<USER-NAME>\Documents\buildGLIBMod
> Ninja
```



If the example has been rebuilt, before continuing ensure that you are working in the directory that contains the compiled examples. In this example the directory path would be:

> C:\Users\<USER-NAME>\Documents\buildGLIBMod\glibsee\

### 4.3.5.2. Packing the SEE machine

Use the tct2 command-line utility to convert the ELF (Executable and Linkable Format) file into a SAR (Secure or SEE ARchive) file:

tct2 --pack --machine-type=PowerPCELF --infile=tcp-proxy.elf --outfile=tcp-proxy.sar

You can also set options in this command to sign or encrypt the file. For more information, see tct2.

### 4.3.5.3. Running the example

Run the example on a PowerPC-based SEE machine as follows:

```
see-sock-serv --trace --machine tcp-proxy.sar --userdata-raw userdata.cpio
```

You can check that the example is working correctly by entering the URL http://localhost:8080/ into any browser. If the example is working correctly, the browser displays the website at the address specified in the tcp-proxy.c file.

# 4.4. Examples for SEElib

In default CodeSafe installations, the following C examples are supplied in directories under the path:

Location	Description
csee\hello\	This example source code demonstrates a simple SEE machine in C and how you can use it from a C program on the host.
csee\a3a8\	This example code demonstrates how to write an SEE machine in C code and how to use it from a C program on the host.
csee\nvram\	This example shows the simple use of NVRAM in an SEE machine written in C.
csee\rtc\	This example demonstrates the use of an SEE machine written in C that imple- ments a very simple timestamp service.
csee\tickets\	This example provides an API demonstration showing how an SEE machine can be written in C.
csee\benchmark\	This example implements a very simple utility that uses an SEE machine written in C to time stamp requests to benchmark the speed of response to requests.

# %NFAST\_HOME%\c\csd\examples\csee

We also supply a Java version of the HelloWorld example. This consists of the source files for host-side applications that you can run with the example SEE machines written in C (or any other SEE machines written in any language) in order to understand how simple SEE machines work, see About the Java example.

The nvram, rtc, and benchmark C examples can extract debugging information from the SEE trace buffer in all Security Worlds. If the Security World has restricted or authorized-only access to SEE debugging, the example prompts the user for the number of Administrator Cards required to gain authorization. Therefore, to avoid unnecessary exposure of the Administrator Cards, do not try to run these examples on an HSM in a production Security World. Debugging information from the trace buffer is not available for the A3A8 or tickets C examples.

# 4.4.1. Building Windows host examples

1. Create a directory in your **Documents** location to contain the host examples. For example, create a directory called **host**, and enter this directory:

cd Documents mkdir host cd host

2. Configure the host platform examples using the command:

```
cmake -G "Ninja" -DCMAKE_C_COMPILER=cl -DCMAKE_CXX_COMPILER=cl "C:\Program
Files\nCipher\nfast\c\csd\examples"
```

3. Build the host examples using the command:

> ninja

This results in the creation of a directory, csee, which contains a subdirectory for each of the examples.

Each example's subdirectory contains a directory, **module**, which contains the complied module code.

# 4.4.2. Building Solo SEE module examples

1. Create a directory in your **Documents** location to contain the module examples. For example, create a directory, **buildSoloMod**, and enter this directory.

cd Documents mkdir buildSoloMod cd buildSoloMod

2. Configure the module examples build using the command:

cmake -G "Ninja" -DCMAKE\_TOOLCHAIN\_FILE="C:\Program Files\nCipher\nfast\c\csd\cmake\codesafe-linux-solocsee.cmake" "C:\Program Files\nCipher\nfast\c\csd\examples"

3. Build the modules using the command:

> Ninja

This will result in the creation of a directory, **csee**, which contains a subdirectory for each of the examples. Each example's subdirectory contains a directory, **module**, which contains the compiled module code.

# 4.4.3. Building Solo XC SEE module examples

Create a directory in your Documents location to contain the module platform examples.
 For example, create a directory, buildXCmod, and enter this directory:

cd Documents mkdir buildXCmod cd buildXCmod

2. Configure the module examples build using the command:

cmake -G "Ninja" -DCMAKE\_TOOLCHAIN\_FILE="C:\Program Files\nCipher\nfast\c\csd\cmake\codesafe-linux-xccsee.cmake" "C:\Program Files\nCipher\nfast\c\csd\examples"

3. Build the module examples using the command:



This will result in the creation of a directory, csee, which contains a subdirectory for each of the examples Each example's subdirectory contains a directory, module, which contains the compiled module code.

# 4.4.4. Example: Hello-World

This example source code demonstrates a simple SEE machine in C and how you can use it

from a C program on the host. The SEE machine examines the characters in the SEE job passed to it and replaces each lowercase alphabetic character with the corresponding uppercase character, returning the result as the SEE job reply. Additionally, if the SEE World is created with a userdata file, any characters found in the userdata file are replaced in the input SEE job with the character X.



The Hello-World example is *not* intended to be the basis for any real world applications. It is intended only to demonstrate how to write SEE machines in C and host-side use of an SEE machine by code written in C.



There is also an example of the host-side code written in Java, supplied in the nCipherKM-SEE-Examples.jar found in the %NFAST\_HOME%\java\examples directory.

# 4.4.4.1. Signing, packing, and loading the SEE machine

1. Generate a key with which to sign the SEE machine:

```
$ generatekey -m 1 seeinteg
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (RSA, DSA, ECDSA, KCDSA) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
plainname: Key name? [] > hellomachine
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                              generate
application Application
                                              seeinteg
protect Protected by
                                              token
              Slot to read cards from
                                              0
slot
recovery Key recovery
                                              yes
verify
              Verify security of key
                                              ves
               Key type
                                              RSA
type
                                              2048
size
               Key size
pubexp
               Public exponent for RSA
                                              key (hex)
plainname
               Key name
                                              hellomachine
               Blob in NVRAM (needs ACS)
nvram
                                              по
Loading `dev-ocs':
 Module 1: 0 cards of 1 read
 Module 1 slot 0: 'dev-ocs' #1
 Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_seeinteg_hellomachine
```

2. Change to the module directory.

For nShield Solo:

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\hello\module

#### For nShield Solo XC:

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\hello\module

3. Use the tct2 command line utility to convert the file into a SAR file.

#### For **nShield Solo**:

Convert the hello.sxf file to a SAR file:

```
> tct2 -m 1 --sign-and-pack --is-machine -i .\hello.sxf --machine-type=PowerPCSXF -o hello.sar -k
hellomachine
Signing machine as `PowerPCSXF'.
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: `dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

#### For **nShield Solo XC**:

Convert the hello.elf file to a SAR file:

```
> tct2 -m1 --sign-and-pack --is-machine -i .\hello.elf --machine-type=PowerPCELF -o hello.sar -k
hellomachine
Signing machine as `PowerPCELF'.
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: `dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

B

For more information about this command, see tct2.

4. Load the SEE machine into the HSM by running the command:

> loadmache -m 1 hello.sar



This example describes how to load the SEE machine by running the loadmache command-line utility. In a production environment, you can choose to configure the load\_seemachine section of the host or client configuration file so that an SEE machine is loaded automatically. See Automatically loading an SEE machine.

### 4.4.4.2. Preparing example userdata

You do not need to create real userdata for this example. Instead, you can simply pack a small text file with tct2 and pass the packed file to the SEE machine to serve as userdata.

However, you can also choose to create and sign a real userdata file in the same way as for the A3A8 example; see A3A8 example



When you run the Hello-World example, because the characters in the userdata you supply are converted from lower case to replaced by the character X in the output file, including a new line sequence in the userdata can produce unexpected results.

# 4.4.4.3. Running the example

To run the C example change to the host application directory by running the command:

cd C:\Users\<USER-NAME>\Documents\hostside\csee\hello\hostside

Pack the desired user data in the SAR file suitable for loading onto the HSM. Optionally, you could use the Trusted Code Tool (tct2) to create a signed and packed SAR file for this step.

# 4.4.4. Usage

The hello example program has the following arguments:

```
hello <FILENAME> [<USERDATA>.sar]
```

#### FILENAME

This parameter is the name of the input file that contains the source string.

### **USERDATA**

This optional parameter is the name of a file that contains letters to be replaced by the ASCII character X in the output file.

#### 4.4.4.4.1. What the code actually does

The host-side C code performs the following tasks:

- 1. It prompts the user to supply a file name and an optional USERDATA file.
- 2. It sends the string in the file, converted if necessary to standard output.

The HSM-side code awaits jobs from the host and performs the following:

- 1. It transforms the contents of the input file, capitalizing all input and replacing any characters that appear in the optional USERDATA file with an ASCII character X.
- 2. It sends the result as output.

# 4.4.5. A3A8 example

This example code demonstrates how to write an SEE machine in C code and how to use it from a C program on the host.



The A3A8 example is *not* intended to be the basis for any real world appli cations. The algorithm used, known as ACOMP128, has been shown to be insecure and is not appropriate for production use. It is used here only to demonstrate the implementation of an algorithm in an SEE appli cation, not to endorse it in any way.



This example does not support debugging when the SEE debug level is set to Generate Authorization Key.

The SEE machine is used to process data with the A3/A8 algorithm in conjunction with a Triple-DES key as follows:

- 1. Data comes in the form of a sequence of 16-byte input values.
- 2. These values are split into two 8-byte halves that are each Triple-DES ECB decrypted with the master key and reassembled to give a 16-byte key.
- 3. Then a 16-byte random value is generated and, along with the 16-byte key, is fed into the A3/A8 algorithm to produce a 12-byte output value.
- 4. The output from the HSM consists of a sequence of 28-byte blocks comprising the ran dom value and the output value.



There is also an example of the host-side code written in Java, supplied in the nCipherKM-SEE-Examples.jar found in the %NFAST\_HOME%\java\examples directory.

# 4.4.5.1. Signing, packing, and loading the SEE machine

To sign, pack, and load the SEE machine:

1. Generate a key with which to sign the SEE machine:

```
>generatekey -m 1 seeinteg
```

```
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (RSA, DSA, ECDSA, KCDSA) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
>
plainname: Key name? [] > a3a8machine
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                               generate
application Application
                                               seeinteg
protect Protected by
                                               token
slot
             Slot to read cards from
                                               0
recovery
             Key recovery
                                               yes
             Verify security of key
verify
                                               ves
type
             Key type
                                               RSA
size
             Key size
                                               2048
pubexp
             Public exponent for RSA key (hex)
                                               a3a8machine
plainname
             Key name
             Blob in NVRAM (needs ACS)
nvram
                                               по
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_seeinteg_a3a8machine
```

2. Change to the directory by running the command:

#### For nShield Solo

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\a3a8\module

# For nShield Solo XC

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\a3a8\module

3. Use the tc2 command line utility to convert the file into a SAR file.

#### For nShield Solo

Convert the a3a8mach.sxf file into a SAR file.

```
> tct2 -m 1 --sign-and-pack --is-machine -i a3a8mach.sxf --machine-type=PowerPCSXF -o a3a8mach.sar -k
a3a8machine
Signing machine as `PowerPCSXF'.
Loading `ocs-dev':
Module 1: 0 cards of 1 read
Module 1 slot 0: `ocs-dev' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

# For nShield Solo XC

Convert the a3a8mach.elf file into a SAR file.

```
> tct2 -m 1 --sign-and-pack --is-machine -i a3a8mach.elf --machine-type=PowerPCELF -o a3a8mach.sar -k
a3a8machine
Signing machine as 'PowerPCELF'.
Loading 'ocs-dev':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'ocs-dev' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

4. Load the SEE machine into the HSM by running the command:

#### > loadmache -m 1 a3a8mach.sar



This example describes how to load the SEE machine by running the **loadmache** command-line utility. In a production environment, you can choose to configure the **load\_seemachine** section of the host or client configuration file so that an SEE machine is loaded automatically. See Automatically loading an SEE machine

If you need to update this process, ensure you also update the Windows process above.

#### 4.4.5.2. Creating and signing userdata

To create and sign the userdata file:

1. Change to the host-side code directory by running the command:

```
cd C:\Users\<USER-NAME>\Documents\hostside\csee\a3a8\hostside
```

2. Generate a key with which to sign a dummy **userdata** file for the example by running the command:

```
>generatekey -m 1 seeinteg
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (RSA, DSA, ECDSA, KCDSA) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
>
plainname: Key name? [] > a3a8userdata
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform generate
application Application seeinteg
```

#### Chapter 4. Example SEE machines

protect	Protected by	token	
slot	Slot to read cards from	0	
recovery	Key recovery	yes	
verify	Verify security of key	yes	
type	Key type	RSA	
size	Key size	2048	
pubexp	Public exponent for RSA key (hex)		
plainname	Key name	a3a8userdata	
nvram	Blob in NVRAM (needs ACS)	no	
Loading `dev-ocs': Module 1: 0 cards of 1 read Module 1 slot 0: `dev-ocs' #1 Module 1 slot 0:- passphrase supplied - reading card Card reading complete.			
Key successfully generated. Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_seeinteg_a3a8userdata			

- 3. Create a dummy userdata file. Because the A3/A8 algorithm does not use the initialization data, the dummy userdata need contain only one arbitrary character to use as user data.
- 4. Use the tct2 command-line utility to sign and pack a dummy userdata file for the exam ple:

### For nShield Solo

```
> tct2 --sign-and-pack --machine-type=PowerPCSXF --infile=a3a8userdata --outfile=a3a8userdata.sar --machine
-keyident=a3a8machine -k a3a8userdata
No module specified, using 1
Signing machine as 'PowerPCSXF'.
```

# For **nShield Solo XC**:

```
> tct2 --sign-and-pack --machine-type=PowerPCELF --infile=a3a8userdata --outfile=a3a8userdata.sar --machine
-keyident=a3a8machine -k a3a8userdata
No module specified, using 1
Signing machine as 'PowerPCELF'.
```



For more information about this command, see tct2

#### 4.4.5.2.1. Running and testing the example

The a3test example application takes the following arguments:

a3test [-m <MODULEID>] <USERDATA>.sar

#### -m <MODULEID>

This option specifies the ModuleID of the HSM to use.

### <USERDATA>.sar

This parameter specifies a userdata file (packed as a SAR) to use.

Thus, you can run the a3test program created in this example with a command of the form:

```
> a3test -m 1 a3a8userdata.sar
```

The a3test example then processes data for approximately 20 seconds. If the example program runs successfully, its final output is of the form:

```
Getting Sarfile info (400 bytes)....
Creating world: init status was 0 (OK)
Making Master Key:
Get ticket.....
Sending ticket to SEEWorld:
181000 triples, 21 sec
Releasing context
Thank you for watching. The end.
```

If the output from a3test takes any other form, this indicates an error. In case of an error, use the enquiry command-line utility to check:

- Whether the correct firmware is installed
- Whether the correct server is running
- Whether the HSM is in the operational state.

# 4.4.5.3. NVRAM example

The NVRAM example shows the simple use of NVRAM in an SEE machine written in C. It uses a file in NVRAM as a sort of postage meter. The contents of the file are interpreted as a little-endian integer that determines how many 'stamps' can be issued. Each time the host program is invoked, it requests one or more stamps from the machine, and the NVRAM counter is decreased accordingly.

The NVRAM example is *not* intended to be the basis for any real world applications. It is intended only to demonstrate how to write SEE machines in C that access the HSM's NVRAM.

#### 4.4.5.3.1. Signing, packing, and loading the SEE machine

To sign, pack, and load the SEE machine:

1. Generate a key with which to sign the SEE machine:

```
> generatekey seeinteg
module: Module to use? (1, 2) [1] >
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (RSA, DSA) [RSA] >
size: Key size? (bits, minimum 1024) [1024] >
OPTIONAL: pubexp: Public exponent for RSA key (in hex)? []
>
plainname: Key name? [] > nvrammachine
key generation parameters:
operation Operation to perform
                                                      generate
application Application
                                                      seeinteg
module Module to use
                                                      1
protect Protected by
                                                      token
slot Slot to read cards from
recovery Key recovery
verify Verify security of key
                                                      0
                                                      yes
verify verify
Key type
                                                      ves
                                                      RSA
size
              Key size
                                                      1024
pubexp
plainname
              Public exponent for RSA key (in hex)
              Key name
                                                      nvrammachine
Key successfully generated.
Path to key: %NFAST_KMDATA%\local\key_seeinteg_nvrammachine
```

2. Change to the directory by running the command:

#### For nShield Solo

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\nvram\module

### For nShield Solo XC

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\nvram\module

3. Use the tct2 command line utility to convert the file.

#### For nShield Solo

Convert the nvram.sxf file into a SAR file.

```
>tct2 -m 1 --sign-and-pack --is-machine -i nvram.sxf --machine-type=PowerPCSXF -o nvram.sar -k nvrammachine
Signing machine as `PowerPCSXF'.
Loading `ocs-dev':
Module 1: 0 cards of 1 read
Module 1 slot 0: `ocs-dev' #1
Module 1 slot 0: - passphrase supplied - reading card
Card reading complete.
```

### For nShield Solo XC

Convert the nvram.elf file into a SAR file.

>tct2 -m 1 --sign-and-pack --is-machine -i nvram.elf --machine-type=PowerPCELF -o nvram.sar -k nvrammachine

Signing machine as 'PowerPCELF'.

Loading `ocs-dev': Module 1: 0 cards of 1 read Module 1 slot 0: `ocs-dev' #1 Module 1 slot 0:- passphrase supplied - reading card Card reading complete.



For more information about this command, see tct2.

4. Load the packed SEE machine into the HSM by running the command:

> loadmache nvram.sar



This example describes how to load the SEE machine by running the loadmache command-line utility. In a production environment, you can choose to configure the load\_seemachine section of the host or client configuration file so that an SEE machine is loaded automatically. For information about configuration files, see the User Guide.

#### 4.4.5.3.2. Creating NVRAM and userdata files

You must now use the setup example application to create:

- An NVRAM file
- A userdata file that contains only the exact name of the specified NVRAM file.

Change to the host-side application directory by running the command:

cd C:\Users\<USER-NAME>\Documents\hostside\csee\nvram\host

Create these files by running the setup command with Administrator privileges:

```
> setup.exe nvramfile 100 nvramuserdata
Please insert the next administrator card and press enter.
Please enter card passphrase:
allocated NVRAM file `nvramfile'.
```

#### 4.4.5.3.3. setup

The setup example application takes the following arguments:

```
setup [-k|--key <APPNAME>,<IDENT>] <nvram-filename> <stamp-count> <userdatafile>
```

## -k|--key <APPNAME>,<IDENT>

This option specifies a signing key identified by *APPNAME* and *IDENT*. Specifying a sign ing key creates an NVRAM file that can only be accessed with authorization from that key (for example, by signing the userdata with the same key). A signing key is optional.

#### <nvram-filename>

This parameter specifies the name of an NVRAM file to create. The name must contain no more than 11 characters.

#### <tampcount>

This parameter specifies the number of stamps to issue.

### <userdatafile>

This parameter specifies the name of the userdata SAR file created when the setup example application is run.

You can also use the **setup** example application to delete an existing NVRAM file. To delete a file, run **setup** with the **--delete** option, as follows:

setup --delete <nvram-filename>

In this case, setup deletes the NVRAM file specified by *nvram-filename*.

#### 4.4.5.3.4. Signing and packing the userdata

Run the Trusted Code Tool (tct2) to sign and pack the created userdata file you created with the setup example application:

```
> tct2 --pack --infile nvramuserdata --outfile nvramuserdata.sar
No module specified, using 1
```



If the NVRAM file created by the setup example application is bound to a key (that is, if you specified the -k|--key option when running setup), use that same key when signing the userdata file with tct2.

#### 4.4.5.3.5. Running and testing the example

Run the nvram example application as follows:

```
> nvram.exe nvramuserdata.sar 50
SEEJob: read 1 bytes...
Stamp Request Accepted.
SEEJob: read 1 bytes...
```

```
Stamp Request Accepted.
SEEJob: read 1 bytes...
.
.
```

#### 4.4.5.3.6. nvram

The nyram example application takes the following arguments:

nvram <userdatafile>.sar [<iterations>]

## <userdatafile>.sar

This parameter specifies the name of the userdata SAR file to use. Normally, this file has been created by the setup example application (its name specified by that utility's userdatafile parameter).

## <iterations>

This parameter specifies an integer that is the amount by which the nvram example appli cation is to decrease its counter (as it issues virtual stamps).

#### 4.4.5.3.7. What the code actually does

The host-side code performs the following tasks in order:

- 1. It allocates an NVRAM file with an access control list that requires the permission of a specified key for reading or writing.
- 2. It requests the name of a file to be loaded as a packed user data block and, optionally, the number of virtual stamps to request.

The HSM-side code awaits jobs from the host and returns a single byte to indicate whether or not a stamp has been issued.

## 4.4.6. Example: RTC

This source code provides an example of an SEE machine written in C that implements a very simple timestamp service.



Your SEE-Ready HSM must have an onboard real-time clock for this example to run correctly, and you must have set the clock using the rtc command-line utility.

The rtc example code is deficient in a number of ways and is not intended to be the basis

for any real world applications. It is intended only to demonstrate some important concepts in writing SEE machines in C to perform time-stamping.

## 4.4.6.1. Signing, packing, and loading the SEE machine

To sign, pack, and load the SEE machine:

1. Generate a key with which to sign the SEE machine:

```
> generatekey -m1 seeinteg
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (RSA, DSA, ECDSA, KCDSA) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
plainname: Key name? [] > rtccode
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                              generate
application Application
                                               seeinteg
protect Protected by
slot Slot to read cards from
                                               token
                                               0
recovery Key recovery
                                               yes
verify
           Verify security of key
                                               yes
                                                RSA
type
             Key type
size
             Key size
                                                2048
pubexp
             Public exponent for RSA key (hex)
plainname
                                                rtccode
             Key name
             Blob in NVRAM (needs ACS)
nvram
                                                по
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_seeinteg_rtccode
```

2. Change to the directory by running the command:

## For **nShield Solo**:

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\rtc\module

## For nShield Solo XC:

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\rtc\module

3. Use the tct2 command-line utility to convert the file into a SAR file.

## For nShield Solo

> tct2 -m 1 --sign-and-pack --is-machine -i rtc.sxf --machine-type=PowerPCSXF -o rtc.sar -k rtccode

```
Signing machine as 'PowerPCSXF'.
Loading 'ocs-dev':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'ocs-dev' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

## For **nShield Solo XC**:

```
> tct2 -m 1 --sign-and-pack --is-machine -i rtc.elf --machine-type=PowerPCELF -o rtc.sar -k rtccode
Signing machine as `PowerPCELF'.
Loading `ocs-dev':
Module 1: 0 cards of 1 read
Module 1 slot 0: `ocs-dev' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
```

4. Load the packed SEE machine into the HSM by running the command:

> loadmache rtc.sar



This example describes how to load the SEE machine by running the loadmache command-line utility. In a production environment, you can choose to configure the load\_seemachine section of the host or client configuration file so that an SEE machine is loaded automatically. For information about configuration files, see the User Guide.

## 4.4.6.1.1. rtc

The rtc example application takes the following arguments:

rtc [-y|--verify <file>] [-a|--userdata <SEEDATA>] <userdatafile> <APPNAME>,<IDENT>

## -y --- verify

This option verifies the returned time-stamp for the file named file.

## -a --- userdata < SEEDATA>

This option specifies use of the file SEEDATA for SEE userdata.

## <userdatafile>

This parameter specifies a userdata file that contains at least one character.

#### <APPNAME>,<IDENT>

These parameters specify the *APPNAME* and *IDENT* of the key for the rtc example application to use.

#### 4.4.6.1.2. Running the example

1. Enter the host-side application directory by running the command:

cd C:\Users\<USER-NAME>\Documents\host\csee\rtc\hostside

2. Create the test userdata file to be time-stamped by running the command:

copy "C:\Program Files\nCipher\nfast\c\csd\examples\csee\rtc\hostside\rtc.c" mytestuserdata

3. Generate an RSA key for the RTC example to use by running the command:

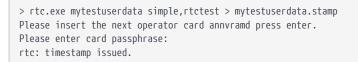
```
> generatekey -m 1 simple
protect: Protected by? (token, module) [token] >
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (AES, DES2, DES3, DH, DHEx, DSA, EC, ECDH, ECDSA, Ed25519,
                HMACRIPEMD160, HMACSHA1, HMACSHA256, HMACSHA384, HMACSHA512,
                HMACTiger, KCDSA, Rijndael, RSA, X25519) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
ident: Key identifier? [] > rtctest
plainname: Key name? [] > rtctest
OPTIONAL: seeintegname: SEE integrity key?
(a3a8machine, a3a8userdata, hellomachine, rtccode) [] >
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                                 generate
application Application
                                                simple
protect
              Protected by
                                                 token
slot
              Slot to read cards from
                                                 0
recovery
              Key recovery
                                                ves
verify
              Verify security of key
                                                ves
              Key type
                                                 RSA
type
                                                 2048
size
              Key size
pubexp
              Public exponent for RSA key (hex)
              Key identifier
                                                 rtctest
 ident
plainname
              Key name
                                                 rtctest
seeintegname SEE integrity key
nvram
              Blob in NVRAM (needs ACS)
                                                по
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
```

Path to key: C:\ProgramData\nCipher\Key Management Data\local\key\_simple\_rtctest



The rtc example application only supports the use of RSA keys.

4. Run the RTC example by executing the following command:



#### 4.4.6.1.3. What the code actually does

The host-side code performs the following tasks in order:

- 1. It sends a session key to the HSM.
- 2. When a time-stamped command is returned, it verifies the time-stamp using the session key.

The HSM-side code performs the following tasks in order:

- 1. It awaits a job from the host.
- 2. It time-stamps the contents of the job and signs the result with the session key.
- 3. It returns the job to the host.

## 4.4.7. Example: Tickets

This example source code is an API demonstration showing how an SEE machine can be written in C.

The **Tickets** example is *not* intended to be the basis for any real world applications. In partic ular, it does not support the loading of keys protected by card sets with the -k option. It is intended to demonstrate:

- How to write SEE machines in C
- Simple, custom-built marshalling and unmarshalling of jobs
- The use of tickets. See Internals for information about key tickets; also, for information about the consumption of single ticket, see Loading stored keys.

## %NFAST\_HOME%\c\csd\examples\csee\tickets\

The C code consists of the following parts:

- In the module directory, the source for the SEE machine:
  - armtickets.c (SEE machine start-up and job-processing threads)
  - usrjobs.c (job processing code)
- In the host directory, source for the host application:
  - hosttickets.c (starts the SEE machine, sends jobs and traces debug).
- The common directory contains a simple header file (common.h) for shared data structures between the HSM and the host code.

Sample makefiles are provided for building the HSM and host-side code (Makefile-host) and can be found in their respective directories.

## 4.4.7.1. Signing, packing, and loading the SEE machine

To sign, pack, and load the SEE machine:

1. Change to the module directory by running the command:

## For **nShield Solo**

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\tickets\module

## For nShield Solo XC

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\tickets\module

2. Use the tc2 command line utility to convert the file into a SAR file.

### For nShield Solo

Convert the armtickets.sxf file into a SAR file.

> tct2 -m 1 --pack --infile armtickets.sxf --outfile armtickets.sar

#### For nShield Solo XC

Convert the armtickets.elf file into a SAR file.

> tct2 -m 1 --pack --infile armtickets.elf --outfile armtickets.sar

3. Load the SEE machine into the HSM by running the command:

```
> loadmache armtickets.sar
```



This example describes how to load the SEE machine by running the loadmache command-line utility. In a production environment, you can choose to configure the load\_seemachine section of the host or client configuration file so that an SEE machine is loaded automatically. For information about configuration files, see the User Guide

4. Generate a key for the example to use by running the command:

```
> generatekey -m 1 simple
protect: Protected by? (token, module) [token] >
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (AES, DES2, DES3, DH, DHEx, DSA, EC, ECDH, ECDSA, Ed25519,
               HMACRIPEMD160, HMACSHA1, HMACSHA256, HMACSHA384, HMACSHA512,
               HMACTiger, KCDSA, Rijndael, RSA, X25519) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
ident: Key identifier? [] > ticketkey
plainname: Key name? [] > ticketkey
OPTIONAL: seeintegname: SEE integrity key?
(a3a8machine, a3a8userdata, hellomachine, rtccode) [] >
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                                generate
application Application
                                                simple
protect Protected by
                                                token
              Slot to read cards from
slot
                                                0
recovery
              Key recovery
                                                yes
verify
              Verify security of key
                                                yes
              Key type
                                                RSA
type
size
              Key size
                                                2048
pubexp
              Public exponent for RSA key (hex)
ident
              Key identifier
                                                ticketkey
plainname
              Key name
                                                ticketkey
seeintegname SEE integrity key
              Blob in NVRAM (needs ACS)
nvram
                                                по
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_simple_ticketkey
```

## 4.4.7.2. hosttickets

The hosttickets example application takes the following arguments:

hosttickets [-f|--file <userdatafile>][-k|--key <APPNAME>,<IDENT>]

## -k|--key <APPNAME>,<IDENT>

These options specify a Security World key.

For the public/private key pair, a Security World key can be specified with the -k option. The specified Security World key must be an RSA key of the type simple that is not tied to an SEE code-signing key. Otherwise, a fresh RSA key pair is generated automatically.

## -f|--file <userdatafile>

These options specify a file for the userdata block.



The option to load a file for the **userdata** block is included only for example purposes.

## 4.4.7.3. Running the example application

1. Change to the host application directory by running the following command:

cd C:\Users\<USER-NAME>\Documents\hostside\csee\tickets\hostside

2. Run the hosttickets example application, specifying the simple key created earlier:

```
> hosttickets.exe -k simple,ticketkey
Enter string to be encrypted (256 characters maximum): lskjfdljsdlfjsdlkj
HostSide> Loading security world key (simple,ticketkey)
Please present the cardset protecting the key:
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
HostSide> Creating World: init status was 0 (OK)
HostSide> Sending ticket for private RSA key to module
HostSide> Generating AES session key and creating blob under public RSA key
HostSide> Sending key blob to module
HostSide> Sending cipher-text to module
HostSide> decrypted cipher text received from SEE machine:
"lskjfdljl<sub>i</sub>}ïë•≕bkj"
HostSide> Thank you for watching. The end.
```

### 4.4.7.4. What the code actually does

The host-side code performs the following tasks in order:

- 1. It prompts the user for a string.
- 2. It acquires an RSA key pair, either freshly created or loaded from the Security World (only HSM protected key pairs are supported).

- 3. It sends a ticket for the private half of the RSA key to the HSM-side code.
- 4. It generates a session key (DES3).
- 5. It encrypts the session key as a blob with the public half of the RSA key.
- 6. It sends the resulting blob to the HSM-side code.
- 7. It encrypts the string with the session key.
- 8. It sends the encrypted string to the HSM-side code.
- 9. It receives the decrypted string back from the HSM.

The HSM-side code awaits jobs from the host and performs the following tasks in order:

- 1. It receives and redeems the ticket for the private RSA key.
- 2. It receives the session key blob and decrypts it with the private RSA key.
- 3. It receives the encrypted string and decrypts it with the session key.
- 4. It sends the decrypted string back to the HSM.

## 4.4.8. Example: Benchmark

This example source code implements a very simple utility that uses an SEE machine written in C to time stamp requests to benchmark the speed of response to requests. You can use it for benchmarking during the development of other SEE machines or adapt it as required.



Your SEE-Ready HSM must have an onboard real-time clock for this example to run correctly, and you must have set the clock using the rtc command-line utility

0

This utility does not accept encrypted user data.

## 4.4.8.1. bm-test

The **bm-test** example application takes the following arguments:

bm-test [-1|--log <LOGFILE>][-a|--userdata <userdatafile>] <APPNAME>,<IDENT>

## -1|--log <LOGFILE>

These options specify a file name to which to write time-stamps. If no log file is specified, no logging occurs.

## -a -- userdata < userdata file>

These options specify a file for an (optional) userdata block.

## <APPNAME>,<IDENT>

These parameters specify the *APPNAME* and *IDENT* of a key that is to be into the SEE machine (and that SEE machine thereafter uses for signing purposes).

This utility does not have the --slot or --debug standard options.

## 4.4.8.2. bm-verify

The bm-verify example application takes the following arguments:

bm-verify <LOGFILE>

The *LOGFILE* parameter specifies the name of the log file created by the **bm-test** example application (specified by that application's -1|-log option).

## 4.4.8.3. Packing and loading the SEE machine

To pack and load the SEE machine:

1. Change to the module directory by running the command:

## For nShield Solo

cd C:\Users\<USER-NAME>\Documents\buildSoloMod\csee\benchmark\module

## For nShield XC

cd C:\Users\<USER-NAME>\Documents\buildXCMod\csee\benchmark\module

2. Use the tct2 command line utility to convert the file into a SAR file.

## For nShield Solo

Convert the **bm-machine.sxf** file into a SAR file.

> tct2 -m 1 --pack --infile bm-machine.sxf --outfile bm-machine.sar

## For nShield XC

Convert the bm-machine.elf file into a SAR file.

> tct2 -m 1 --pack --infile bm-machine.elf --outfile bm-machine.sar

3. Load the SEE machine into the HSM by running the command:

> loadmache bm-machine.sar



This example describes how to load the SEE machine by running the loadmache command-line utility. In a production environment, you can choose to configure the load\_seemachine section of the host or client configuration file so that an SEE machine is loaded automatically. For information about configuration files, see the User Guide.

4. Generate a key for the benchmark application to use by running the command:

```
> generatekey -m 1 simple
protect: Protected by? (token, module) [token] >
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (AES, DES2, DES3, DH, DHEx, DSA, EC, ECDH, ECDSA, Ed25519,
                HMACRIPEMD160, HMACSHA1, HMACSHA256, HMACSHA384, HMACSHA512,
                HMACTiger, KCDSA, Rijndael, RSA, X25519) [RSA] >
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
>
ident: Key identifier? [] > benchmark-test
plainname: Key name? [] > benchmark-test
OPTIONAL: seeintegname: SEE integrity key?
(a3a8machine, a3a8userdata, hellomachine, rtccode) [] >
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] >
key generation parameters:
operation Operation to perform
                                                 generate
application Application
                                                 simple
protect
                                                 token
              Protected by
              Slot to read cards from
slot
                                                 0
гесоvегу
              Key recovery
                                                 yes
verify
              Verify security of key
                                                 yes
type
              Key type
                                                 RSA
size
              Key size
                                                 2048
              Public exponent for RSA key (hex)
pubexp
                                                 benchmark-test
ident
              Key identifier
plainname
              Key name
                                                 benchmark-test
seeintegname SEE integrity key
              Blob in NVRAM (needs ACS)
nvram
                                                 по
Loading `dev-ocs':
Module 1: 0 cards of 1 read
Module 1 slot 0: 'dev-ocs' #1
Module 1 slot 0:- passphrase supplied - reading card
Card reading complete.
Key successfully generated.
Path to key: C:\ProgramData\nCipher\Key Management Data\local\key_simple_benchmark-test
```

## 4.4.8.4. Running the example application

To use the example change to the host application directory by running the command:

cd C:\Users\<USER-NAME>\Documents\host\csee\benchmark\hostside

Run the bm-test example application as follows:

```
> bm-test.exe -l bmtest.log simple benchmark-test
Please insert the next operator card and press enter.
Please enter card passphrase:
1 1152 1152.00
2 2325 1162.50
3 3480 1160.00
4 4676 1169.00
.
.
.
```



The application will run indefinitely, the user must terminate the application manually by using **Ctrl-C**.

Run the bm-verify example application, specifying the log file, bm-test.log, created in the previous step by the bm-test application.

```
> bm-verify.exe bmtest.log
Verified timestamp #1.
Verified timestamp #2.
Verified timestamp #3.
Verified timestamp #4.
Verified timestamp #5.
Verified timestamp #6.
.
.
```

## 4.4.8.5. What the code actually does

The host program performs the following tasks in order:

- 1. It tickets a generated key into the SEE machine.
- 2. The SEE machine uses that key for signing purposes.
- 3. Each request is concatenated with the current time and then signed.
- 4. The signature is concatenated with the time and then returned to the host side.

On the host side, two programs are generated:

• bm-test

• bm-verify.

The bm-test command is used to generate pseudo-random values that are sent to the HSM-side code to be signed. Every second, the total number of completed time-stamp requests is printed, along with the average number completed each second.

The bm-verify command looks for the file specified as *LOGFILE* on the host. From this file, bm-verify extracts the public key and verifies the time-stamp requests until it finds an invalid request or reaches the end of the file.

## 4.4.8.6. About the Java example

We supply a Java version of the HelloWorld example. This consists of the source files for host-side applications that you can run with the example SEE machines written in C (or any other SEE machines written in any language) in order to understand how simple SEE machines work.



For information about the C examples for SEElib, see Examples for SEElib

The Java SEE example files can be found within the nCipherKM-SEE-Examples jar located at %NFAST\_HOME%\java\examples. A common directory is also supplied which contains files that are used by more than one of the examples.

The Java examples have the same options as their equivalent, similarly named C examples.

## 4.4.8.6.1. Supported versions of Java

The following versions of Java have been tested to work with, and are supported by, your nShield Security World Software:

- Java7 (or Java 1.7x)
- Java8 (or Java 1.8x)
- Java11

We recommend that you ensure Java is installed before you install the Security World Software. The Java executable must be on your system path.

If you can do so, please use the latest Java version currently supported by Entrust that is compatible with your requirements. Java versions before those shown are no longer supported. If you are maintaining older Java versions for legacy reasons, and need compatibility with current software, please contact https://www.oracle.com/java/technologies/javase-jdk11-downloads.html for Java downloads.

#### 4.4.8.6.2. HelloWorld.java



The HelloWorld. java example is *not* intended to be the basis for any real world applications. It is intended only to demonstrate host-side use of an SEE machine by code written in Java.

First, ensure you have already built the file hello.sxf as described in Examples for SEElib converted this into the file hello.sar and loaded it into the HSM as described in Signing, packing, and loading the SEE machine.

To build the example:

1. Change to the example directory by running the command:

cd %NFAST\_HOME%\java\examples

2. Extract the example files by running the command:

```
jar xf nCipherKM-SEE-Examples.jar
jar xf ..\classes\nCipherKM-jhsee.jar
```

3. Compile the example using this command:

```
javac -cp "%NFAST_HOME%\java\classes\nCipherKM.jar com\ncipher\see\hostside\*.java"
javac -cp "%NFAST_HOME%\java\classes\nCipherKM.jar ^
com\ncipher\see\hostside\examples\helloworld\HelloWorld.java"
```

## To run the **helloworld** example:

1. Ensure you are in the example's directory by running the command:

cd %NFAST\_HOME%\java\examples

2. Run the example:

```
java -cp "%NFAST_HOME%\java\classes\nCipherKM.jar ^
com\ncipher\see\hostside\examples\helloworld\HelloWorld <FILENAME> [<USERDATA>]"
```

In this example, <FILENAME> is the name of an input file to pass to the SEE machine as an SEE job, and <USERDATA> the name of an (optional) userdata file. The SEE machine transforms the input by replacing all lowercase alphabetic characters in <FILENAME> with their uppercase equivalents and replacing any characters in <FILENAME> that are also found in <USERDATA> (if supplied) with the character X.

# 5. Debugging SEE machines

This chapter provides some guidance on debugging an SEE machine.

# 5.1. Debugging settings and output

To debug an SEE application effectively, you must have:

• Enabled SEE debugging when creating the Security World in which the application is to run.



SEE debugging is enabled when creating a Security World by speci fying the new-world command-line utility's dsee or dseeall features; for more information, see the *User Guide*. We do not recommend specifying the dseeall feature for Security Worlds in a production system.

• Set Cmd\_CreateSEEWorld\_Args\_flags\_EnableDebug when creating the SEE World.



If you try to set the Cmd\_CreateSEEWorld\_Args\_flags\_EnableDebug flag in a Security World that does not allow SEE debugging, the Cre ateSEEWorld command returns AccessDenied. This also occurs if you call CreateSEEWorld in a Security World where SEE debugging is restricted and an appropriate certifier is not present.

## 5.1.1. Debugging authorization

Access to the SEE trace buffer is controlled by the Security World in which the SEE machine runs. Every Security World has exactly one of the following properties:

Restricted SEE debugging

This is the default setting. When SEE debugging is restricted, there is no delegation key from  $K_{\text{NSO}}$  for accessing the SEE trace buffer. All Security Worlds created by software released before the introduction of SEE have restricted SEE debugging. A full quo rum of Administrator Cards is required to access the SEE trace buffer in such Security Worlds.

Authorized SEE debugging

In this case, a delegation key from  $K_{NSO}$  exists to allow access to the SEE trace buffer. A subset of a full quorum of the Administrator Cards is required to access the SEE trace

buffer in such Security Worlds. This delegation key must have been created and the number of cards required to authorize access to the SEE trace buffer must have been specified when the Security World was created.

No access-control SEE debugging

In this case, no authorization of any kind is required for accessing the SEE trace buffer. No cards are required to access the SEE trace buffer in such Security Worlds. This prop erty must have been specified when the Security World was created.

## 5.1.2. Obtaining debugging output

For SEE machines that require support from a host-side see-\*-serv utility, you can run the see-\*-serv utilities with the --trace or --plain-trace option to perform tracing automati-cally.

For SEE machines using the SEElib architecture, the TraceSEEWorld() command can be used to return debugging information. An example of this is provided in the a3a8 host-side example code. See A3A8 example.

Data written to standard output and standard error on the HSM is written to the SEE World's Trace Buffer. The Trace Buffer is a 3000 character circular buffer: if more than 3000 characters are written to it without being retrieved, information is lost on a firstin/first-out basis. The TraceSEEWorld command retrieves the contents of the buffer so that the host can analyze or display them.

If the SEE machine crashes, a SEE register dump is printed to the SEE Trace Buffer for the nShield Solo, but not for the nShield Solo XC.

For example, assume that the HSM code calls the following command:

printf("Hello World!\n");

The string Hello World!\n is pushed into the Trace Buffer. A host-side call to TraceSEEWorld would then return this string and empty the buffer.

If a SEE World is terminated by the HSM (for instance, if its last remaining thread exits or it causes a fatal signal to be raised), a diagnostic message is usually sent to the Trace Buffer to help debug the problem.

## 5.1.2.1. Example Debug

If an illegal access violation (segmentation fault) occurs, the tail of the Trace Buffer looks

similar to this:

```
*** World exits: thread 28 caused CPU exception
DSI exception:
Exception vector 00300h
 r0 =001D9E40h r1 =001D9F38h r2 =00C4E090h r3 =00000008h
  r4 =00000000h r5 =00C00444h r6 =00000000h r7 =001C21B1h
  r8 =00C39CB8h r9 =00000019h r10=40000000h r11=00002000h
  r12=0000000h r13=00D08048h r14=00000000h r15=0000000h
  r16=0000000h r17=0000000h r18=0000000h r19=0000000h
  r20=0000000h r21=0000000h r22=0000000h r23=00C40000h
  r24=FFFC5CD0h r25=00C3A750h r26=00C40000h r27=00C40000h
  r28=0000000h r29=0000000h r30=0000000h r31=00D00000h
  XER=20000000h CR =20000000h LR =00C00444h CTR=00C39B9Ch
  PC =00C00448h MSR=0000F030h
  f2 =000000000000000 f3 =00000000000000000
  f4 =000000000000000 f5 =0000000000000000
  f6 =000000000000000 f7 =00000000000000000
  f8 =00000000000000h f9 =000000000000000h
  f12 =00000000000000 f13 =0000000000000000
  f14 =000000000000000 f15 =0000000000000000
  f16 =000000000000000 f17 =0000000000000000
  f18 =000000000000000 f19 =0000000000000000
  f20 =000000000000000 f21 =00000000000000000
  f22 =000000000000000 f23 =0000000000000000
  f24 =000000000000000 f25 =0000000000000000
  f26 =000000000000000 f27 =0000000000000000
  f28 =000000000000000 f29 =0000000000000000
  f30 =00000000000000 f31 =000000000000000
  FPSCR=00000000h
```

The program counter, which is currently at position 00C00448h in the PowerPC-based compi lation shows where this access occurs.

The following excerpt from the PowerPC based map file created at application link time (by specifying the -map option to the linker) indicates that the problem address is in main.o:

.text 0x00c00000	0x3a0ac
*(.text.stub.text.*.gnu.]	linkonce.t.*)
.text 0x00c00000	0xa5c usermain.o
0x00c00160	main
.text 0x00c00a5c	<pre>0x544 .\lib-ppc-gcc\seelib.a(nfstrerr.o)</pre>
0x00c00a5c	NFast_StrError

To find out which instruction is causing the segmentation fault, calculate the offset into main.o. The formula is:

program\_counter - object\_base\_address

The calculation is as follows:

00C00448h -00C00000 ------0x00448h

Once the location of the problem is located in this way, investigate it as follows:

- 1. Recompile the source with the -g option and no optimization (if you did not originally compile it with these options).
- 2. Run an object dump utility on the object files powerpc-codesafe-linux-gnu-objcopy.

The head of the generated object is now similar to the following for PowerPC based objects:

434: 438: 43c: 440:	38 80 4c c6	03 34 00 08 31 82 00 01	addi li crclr bl	r3,r26,820 r4,8 4*cr1+eq 440 <main+0x2e0></main+0x2e0>
444:	50 00	00 08	li	r3,8
448:		00 00	lwz	r0,0(r3)
44c:	4b ff	fe 74	b	2c0 <main+0x160></main+0x160>
450:	3c 80	00 00	lis	r4,0

From this output is it possible to see that the segmentation fault is caused by an illegal access to the pointer held in R4 (which the register dump showed to be 8000004h, an obviously invalid user mode memory address). The source shows plainly that the instruction at offset 0458h in usermain.o is trying to assign to \*i, but i has not been allocated. The bug can now be fixed and the program rebuilt.

## 5.2. Finding memory leaks with stattree

You can use the **stattree** command-line utility to find memory leaks. Run the command as follows:

> stattree | find "Mem"

For each HSM in the Security World, this command produces output that reports values for the total memory (MemTotal), the memory currently allocated to the kernel (MemAllocKernel), and the memory currently allocated to the loaded SEE machine (MemAllocUser).

If no SEE machine is loaded, the output from this **stattree** command (if there is only one HSM) looks similar to the following:

-MemTotal 1: -MemAllocKernel 1: -MemAllocUser 0

128921600 1355776 0 If an SEE machine is loaded, the output from this stattree command (if there is only one HSM) looks similar to the following:

128921600
1355776
1032192

You can monitor a loaded SEE machine's memory usage by either repeatedly running and checking output from stattree or by writing code to call the nCore statistics APIs directly. In any case, if any reported memory value appears to being growing continuously over time, this probably indicates some kind of memory leak.

# 5.3. Segment addresses for Solo

SEE executables are non-relocatable; that is, they are loaded in memory at the addresses specified in the image. Ensure that you choose these addresses carefully so that they map onto usable RAM and do not overlap with memory being used by the kernel. Typically, this means you must choose an address at the high end of RAM.

Different HSM types have different mappable memory ranges.

- The CodeSafe compiler sets all values for Solo XC and later HSM models.
- You have to set the ranges in the CodeSafe application code if you are developing for Solo +.

The rest of this section describes guidelines for Solo +.

To determine your HSM type, run the **enquiry** command-line utility and check the **SEE** Machine Type output. You can then determine where the mappable memory range starts from this table:

SEE Machine Type	Start of mappable range
PowerPCSXF	0x00400000

These ranges follow the approximately 4MB of RAM reserved for use by the kernel.

You can use the **stattree** command-line utility to find the total length of the mappable range. Run the command:

> stattree | find "MemTotal"

This command produces output that reports values for the total memory (MemTotal) for

each HSM in the Security World.

For Solo +, we recommend the following segment addresses as starting points:

SEE Machine Type	PowerPCSXF
text segment start	0xa00000
data segment start	0x00d00000
Arguments to the linker	-Ttext 0xa00000 -Tdata 0xd00000

For large SEE machines more space may be needed in the text segment, causing a linker error of the following form:

```
powerpc-codesafe-linux-gnu-ld: section .data [00d00000 -> 00d0327f] overlaps section .text [00c00000 -> 00d7bd8b]
powerpc-codesafe-linux-gnu-ld: section .sdata [00d03280 -> 00d035ef] overlaps section .text [00c00000 ->
00d7bd8b]
powerpc-codesafe-linux-gnu-ld: section .sbss [00d035f0 -> 00d036ab] overlaps section .text [00c00000 -> 00d7bd8b]
powerpc-codesafe-linux-gnu-ld: section .bss [00d036b0 -> 00d0854f] overlaps section .text [00c00000 -> 00d7bd8b]
```

To resolve this example error, you could move the data segment start point upward (for example, to 0x00e00000) as necessary to prevent the overlap. Alternatively (or additionally), you could move the text segment start point downward.

## 5.4. Vulnerability test harness

We supply a test harness called vulnerability.o that can be used for debugging SEE machines. It supplies a standard set of command-line arguments and environment variables to the SEE environment, as well as providing the standard stdioe and socket support.



Because the vulnerability.o test harness is insecure, we recommend that you *not* link vulnerability.o into a production SEE machine.

# 5.5. Troubleshooting guide

Symptom	Possible problems	Solution
SEEJob takes a long time then fails with HardwareFailed	The SEE machine has deadlocked or entered an infinite loop which pre- vents the job from returning and causes the SEEJob to trigger the command time-out.	Check the code for possible dead- locks or infinite loops. Non-obvious problems can be debugged by writ- ing progress reports to the Trace Buffer and calling TraceSEEWorld after the job returns Hardware- Failed.

## Chapter 5. Debugging SEE machines

Symptom	Possible problems	Solution
CreateSEEWorld fails with BadMa- chineImage	No SEE machine is loaded.	Load an SEE machine
SEE machine loading fails with Bad- MachineImage	The file being loaded is not a cor- rectly formatted SAR file.	Ensure that the correct SEE machine file is being loaded. Ensure that the SEE machine has been properly processed by the Trusted Code Tool into a SAR file.
	The SEE machine file is corrupted.	Rebuild the SEE machine, or revert to a known good back-up.
	The SEE machine has been com- piled or linked with the wrong options.	SEE machines must be nonexe- cutable, uncompressed, non-relocat able AIFs or SXFs, packaged as SAR files.
CreateSEEWorld fails with Invalid- Certificate	The machine signing hash on user- data signatures does not match any signature hash on the currently loaded machine.	Ensure the correct SEE machine with the correct signatures is loaded.
		Ensure the correct user data is being passed to CreateSEEWorld. Ensure the user data signatures are correct.
SEE machine loading fails with InvalidCertificate.	The SEE machine signatures were created incorrectly.	SEE machine signatures must be created with the machine key speci ficationis-machine. Recreate the SEE machine SAR with correct sig- natures.
The SEE machine crashes, and Trace Buffer output shows raised signal.	Dependent on signal number.	Check stdh.h and signal.h for sig- nal descriptions then check the code to see how that signal could be raised.
AccessDenied from CreateSEEWorld.	SEE World debugging is not avail- able in Security World.	Check the Security World's SEE debugging policy.
	SEE machine is returning AccessDe- nied in SEElib_initComplete.	Check the SEE machine set-up code to see where it might be pass- ing AccessDenied to SEElib_initCom plete, and fix the cause of that, if necessary.

## Chapter 5. Debugging SEE machines

Symptom	Possible problems	Solution
All SEEJobs return with Status_Can- celled.	SEELib transaction listener is not running.	If you are using SEElib_Transact you must call SEElib_StartTrans- actListener before making use of SEElib_Transact.
NoModuleMemory is returned from the CreateSEEWorld command.	Segment addresses clash with ker- nel pages.	Adjust segment positions away from kernel RAM; see Segment addresses for Solo
	Segment addresses overlap.	Adjust segment away from each other; see Segment addresses for Solo
	Segment addresses are not usable RAM.	Adjust segment positions to usable RAM; see Segment addresses for Solo
NoModuleMemory is returned when Ioading a SEE machine.	Userdata has been specified but is not expected.	Exclude the userdata.
	The previous SEE machine has not been cleared	Clear the previous SEE machine; see clearing a SEE machine from the front panel or clearing a SEE machine remotely
Error from link: section .data [hhh hhhhh → hhhhhhh] overlaps sec- tion .text [hhhhhhhh → hhhhh- hhh]	Segment addresses overlap.	Adjust segment away from each other; see Segment addresses for Solo

# 6. Deploying SEE Machines

This chapter discusses the deployment of SEE machines after their development is complete. It includes information about Feature Enabling as this applies to SEE.

Deploying a SEE machine involves the following steps:

- 1. Sign and encrypt the SEE machine. See Signing methods and Encryption.
- 2. Obtain an export certificate for the SEE machine from Entrust and incorporate the certificate in the distribution. See Obtaining and using export certificates.
- 3. Distribute the SEE machine to customers.

# 6.1. About the Feature Enabling Mechanism (FEM)

Entrust provides a Feature Enabling Mechanism (FEM) that controls the software that any given HSM can use. This is used to control access to the SetSEEMachine command that loads the SEE machines.

The **SetSEEMachine** command can be authorized in either of the following ways:

- The GeneralSEE static feature is set with a bit in the EEPROM. If this bit is set, the command can load any SEE machine without further certificates or authorization.
- If the GeneralSEE static feature is not applied, the command requires a dynamic Feature Enabling certificate chain to load a SEE machine.

All CodeSafe *development* environments have the GeneralSEE static feature. However, to deploy an already-developed SEE machine, you require the dynamic Feature Enabling certificate chain.

Customers who require the dynamic certificate chain can load a SEE machine only when the key used to sign the SEE machine is export approved by Entrust through the provision of a signing certificate (an ADDER certificate). See Obtaining and using export certificates.

The SEE machine signing (ADDER) certificate authorizes SetSEEMachine on any HSM, but the dynamic Feature Enabling certificate chain is valid only on the specified HSM.

## 6.2. Obtaining and using export certificates



You must understand and agree to the conditions for exporting SEE machines. Contact Entrust for full details of these conditions.

To obtain an export certificate for a SEE machine:



Users with a Restricted SEE, [SEE(R)], enabled Connect will need to run update world files to pull the ADDER cert onto the Connect file system to load a SEE machine.

1. Generate a signing key and send the hash to Entrust together with a description of the SEE machine.

Entrust approves the SEE machine for export and sends you an ADDER certificate to allow the SEE machine signed by the specified key to run.

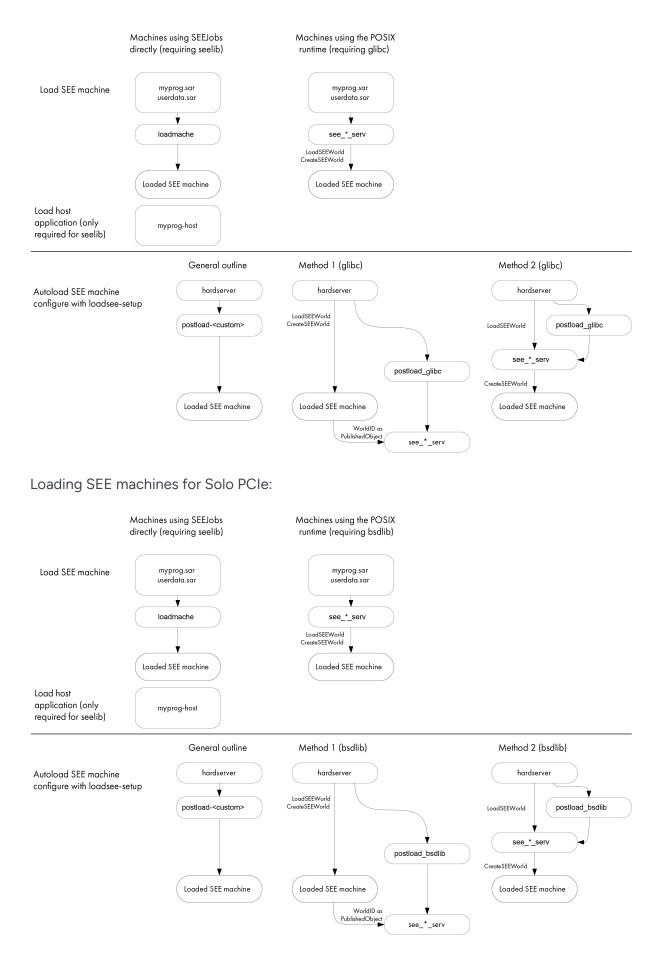
- 2. Sign the SEE machine with the signing key supplied to Entrust and, optionally, encrypt it.
- 3. Develop an installation process that places the certificate in the /opt/nfast/femcerts (Linux systems) or %NFAST\_CERTDIR% (Windows) directory.
- 4. Distribute the signed SEE machine and the certificate to end-users with the appropriate installation instructions.

# 6.3. Automatically loading a SEE machine

The figures below outline different methods for loading a SEE machine.

Loading SEE machines for Solo XC:

#### Chapter 6. Deploying SEE Machines



You can load SEE machines manually by running the **loadmache** command-line utility or, optionally, you can load SEE machines that require support from a host-side see-\*-serv util ity by specifying the -M option when you run the utility.

However, you can also configure the hardserver to load SEE machines automatically whenever the HSM is initialized (that is, when the hardserver starts, or restarts, or after the HSM receives a **ClearUnit** command).

To configure the hardserver to load a SEE machine automatically, you must edit the settings in the host systems hardserver configuration file. Entrust provides the **loadsee-setup** command-line utility to help you set up, display, and remove settings in the hardserver configuration file that control the automatic loading of SEE machines.



For a usage description of the **loadsee-setup** command-line utility, see **Loadsee setup**. For more information about the configuration files, see the *User Guide*.

The **loadsee-setup** utility configures the hardserver settings that specify:

- The name of the SEE machine file to be automatically loaded
- If appropriate, the name of an accompanying userdata file.
- If appropriate (if userdata is specified), the published-object name for the SEE machine
- The name of an appropriate post-load program (to perform setup and initialization tasks for the SEE machine) and any necessary arguments for it (a -m option to specify an HSM is automatically added)

For SEE machines that require support from a host-side see-\*-serv utility, Entrust provides the postload-bsdlib post-load program, which runs the appropriate host utility, in restricted mode, while returning control back to the hardserver. The postload-bsdlib program takes the same arguments as the see-\*-serv host utilities (see see-\*-serv utilities), together with a --provision argument that takes one of the following parameters to specify which utility to run:

- stdoe
- stdioe
- sock
- stdioesock

For SEE machines using the SEElib architecture, it is usually necessary to write a custom post-load program.

## 6.3.1. Automatically loading a glibc/bsdlib SEE machine with userdata

To configure the hardserver configuration file to automatically load a glibc/bsdlib SEE machine and its accompanying userdata file, run a command similar to the following example:

loadsee-setup -m1 -M C:\MySEEMachine.sar -U C:\MyUserdata.sar -p MyPublishedObjectName -P glibc -A "--provision sock -p MyPublishedObjectName"

In this example, MySEEMachine.sar is the SEE Machine (packed as a SAR file), MyUserdata.sar is the userdata (packed as a SAR file), MyPublishedObjectName is the name to use for publishing the KeyID of the started SEE machine, and the glibc/bsdlib parameter specifies use of the postload-bsdlib post-load program.

The sock parameter in this example tells postload-bsdlib to run the see-sock-serv host util ity. If a different host utility were necessary, you would specify the appropriate parameter for the appropriate utility (stdoe, stdioe, or stdioesock).



When running a command of this form, ensure that the parameters spec ifying name of the published object (in this example, MyPublishedObject Name) are the same for both the loadsee-setup utility and the postload-bsdlib program.

For more information about the loadsee-setup command-line utility, see Loadsee setup.

# 6.3.2. Automatically loading a glibc/bsdlib SEE machine without userdata

To configure the hardserver configuration file to automatically load a glibc/bsdlib SEE machine without its accompanying userdata file (which instead is to be loaded by the host utility), run a command similar to the following example:

loadsee-setup -m1 -M C:\MySEEMachine.sar -P glibc -A "--provision sock --userdata-sar C:\MyUserdata.sar"

In this example, MySEEMachine.sar is the SEE Machine (packed as a SAR file) and the glibc /bsdlib parameter specifies use of the postload-bsdlib post-load program.

The sock parameter in this example tells postload-bsdlib to run the see-sock-serv host util ity. If a different host utility were necessary, you would specify the appropriate parameter for the appropriate utility (stdoe, stdioe, or stdioesock).

The MyUserdata.sar parameter in this example, passed to the postload-glibc/postloadbsdlib program, specifies a userdata file (packed as a SAR) that is to be loaded by the host utility.



To specify userdata that has not been packed as a SAR file, use the --userdata-raw option instead of --userdata-sar.



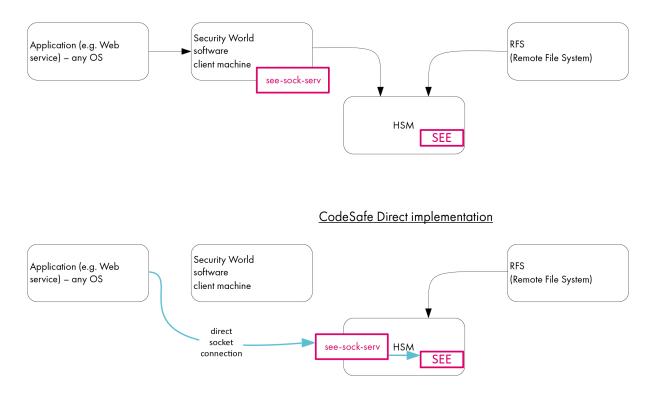
i

To turn on SEE debugging, pass one of the options --trace or --plain -trace as an argument for the post-load program. See also Debugging SEE machines.

The host utility will be run in restricted mode, using the -r option.

# 6.4. Configuring the nShield Connect to use CodeSafe Direct

The CodeSafe client can be any OS platform (including mainframe, Non-Stop or embedded device). The use of CodeSafe Direct eliminates proxy devices, complexity and points of failure.



Implementation without CodeSafe Direct

The nShield Connect can be configured to receive direct socket connections from the SEE machine via see-sock-serv, removing the need for a client machine. You do this by specifying postload\_prog and postload\_args in the load\_seemachine section of the nShield Connect hardserver configuration file, located in NFAST\_KMDATA/hsm-<ESN>, where <ESN> is the Electronic Serial Number of the HSM. (For more information about this section of the configuration file, see the *User Guide*.)



CodeSafe Direct is supported on bsdlib-based/glibc-based SEE machines only: the functionality is not available on SEElib-based machines.

The configuration file can be managed in two ways: via the front panel of the nShield Connect (see Configuring a SEE machine using the front panel), and by using the remote config uration functions to push a config.new file, containing the postload\_prog and postload-\_args settings, to the HSM.



For more information, see Configuring the HSM with configuration files and HSM and client configuration files in the User Guide.

# 6.5. Configuring a SEE machine using the front panel



To use **see-sock-serv** directly, you must create a bsdlib/glibc SEE machine.

Ensure that the SEE machine for the application is in the directory **%NFAST\_HOME%**\custom-seemachines on the remote file system.



If a SEE machine has previously been loaded on a network-attached HSM with a front panel, such as an nShield Connect, press the **Clear** but ton on the front of the HSM before proceeding to the next step. This clears the current SEE machine from memory.

## 6.5.1. Configuring a glibc/bsdlib SEE machine

Select the CodeSafe menu option, and enter the following information when prompted:

- 1. The name of the SEE machine file.
- 2. The name of the userdata file.



For CodeSafe Direct, the userdata file must be packed as a SAR file.

3. The type of custom SEE machine you are using (glibc/bsdlib sockserv). Worldid\_pub name, postload\_prog, and postload\_args will be passed to load\_seemachine. For detailed descriptions of the options in this section, see the *User Guide*.

## 6.5.2. Configuring a SEElib SEE machine

Select the CodeSafe menu option, and enter the following information when prompted:

- 1. The name of the SEE machine file.
- 2. The name of the userdata file, if required.



The userdata file must be packed as a SAR file.

- 3. The type of custom SEE machine you are using (SEE1ib).
- 4. The ID of the SEE World to create.

## 6.6. Remotely loading and updating SEE machines

The SEE remote push facility allows the remote deployment of CodeSafe SEE machines to an nShield Connect, negating the need to physically visit the HSM to load or update the SEE machine. This is achieved by editing the configuration file on the RFS for a specific nShield Connect to specify the new SEE machine, then setting a configuration flag in the config file to true.

Before configuring an HSM to autonomously run a SEE machine and accept updates using the RFS, that HSM must first be set up to accept remotely-pushed configurations; see *Push ing configuration files to the nShield Connect* in the *nShield Connect User Guide*.

For more information about configuring log file storage options, see *Configuring the HSM* with configuration files in the *nShield Connect User Guide*.



Both SEElib and glibc/bsdlib sockserv SEE machines are supported on the nShield Connect.

To configure an nShield Connect to autonomously run a SEE machine and accept updates using the RFS:

- 1. Copy the existing config file to a new file called **config.new**.
- 2. In the load\_seemachine section of the config.new file for the remote HSM, add or amend the following settings:

pull\_rfs=true
machine\_file=mymachinename.sar
userdata=myuserdata.sar
worldid\_pubname=publ\_name



These settings specify the type, name and user data of the SEE machine you wish to load. For more information about each setting,

see load\_seemachine in Hardserver configuration files, in the nShield Connect User Guide.



For CodeSafe Direct, the userdata file must be packed as a SAR file.



The remote HSM will load the new SEE machine in place of any existing SEE machine. If no machine\_file value is set, then pushing the config file will remove any existing machines on the HSM.

3. In the sys\_log section of the config.new file for the remote HSM, add or amend the fol lowing settings:

behaviour=push push\_interval=1



These settings control how and where log messages are written. Using the example above, messages will be written to the system.log and hardserver.log files of the HSM, which are accessible using the remote file system. You may wish to revise the push\_interval to a higher value once the nShield Connect has successfully loaded the new SEE machine.

- 1. Run nopclearfail to clear the HSM, followed by enquiry to check that the HSM is ready.
- 2. Run cfg-pushnethsm to push the new config file to the HSM.



For more information about the **cfg-pushnethsm** utility, see the *User Guide*.

To load a new SEE machine to multiple nShield Connects, we recommend scheduling down time for each HSM, upgrading them on a per HSM basis. Each nShield Connect configuration file is specific to an individual HSM and each configuration file should be updated sepa rately to load the new SEE machine.

# 7. Utilities

Entrust supplies the following SEE-specific nShield command-line utilities, described in this appendix:

- elftool.
- loadmache.
- loadsee-setup.
- hsc\_loadseemachine
- The see-\*-serv host-side utilities:
  - ° see-sock-serv.
  - ° see-stdoe-serv.
  - ° see-stdioe-serv.
  - see-stdioesock-serv.
- seessl-migrate.py.
- tct2 (the Trusted Code Tool)

This appendix also describes the following general nShield command-line utilities:

- nfkmverify
- stattree

For a list of all supplied nShield utilities, see the User Guide.

# 7.1. cpioc

The **cpioc** command-line utility takes a collection of files and packs them up into a **userdata** archive file that the SEE machine can use.

## 7.1.1. Usage

```
cpioc userdata.cpio <MyFile1> <MyFile2> <MyFile3> <[...]>
```

In this command, <MyFile1>, <MyFile2>, and <MyFile3> represent the files being packed into the userdata.cpio file that is generated by the command. You can specify as many files as appropriate.

You can also specify one or more directories; the command automatically packs their contents (including any subdirectories) into the generated userdata.cpio file.

# 7.2. elftool

The **elftool** command-line utility lets you convert ELF format executables into a format suitable for loading as an SEE machine.

## 7.2.1. Usage

elftool [<options>] <infile> [<outfile>]

This utility has the following options:

## -d|--dump-fields

These options dumps (display) all fields in the input file infile as they are read.

## -q|--quiet

These options suppresses informative messages.

## --single-section

This option checks that exactly one of each section type is present in the input file *infile*. If more than one section of a type is present, an error is displayed.

## --aif

This option generates an output file *outfile* in nonexecutable AIF output (ARM only, dep recated).

## --bin

This option generates an output file outfile in raw binary format.

## --sxf

This option generates an output file outfile in nShield SEE Executable Format (SXF).

## -n -- no-output

These options check the input file infile without generating any output.

To view the loadable sections of an ELF file, use the following command:

elftool --dump-fields <infile>

This command displays details of the sections of the file under one of the following categories:

## Read Only

This category includes program code and constant data (either Read or Read+Execute permissions).

## Read/Write

This category includes non-constant data initialized to particular values (Read+Write per missions).

## Zero Init

This category includes non-constant data initialized to zero.

To generate an AIF or SXF format output file correctly, the ELF input file must have the following characteristics:

- The address range for one category of data (for example, Read Only) must not overlap with the address range for another (for example, Read/Write).
- All Zero Init data must come after all Read/Write data in memory (that is, Zero Init data must occupy a higher memory address).

The default options for most linkers ensure that ELF files meet these requirements.

To convert a ELF file into SXF, a format specifically for SEE machines, use the following command:

elftool --sxf <infile> <outfile>

SXF format files can be loaded on all existing SEE-enabled HSMs. This is the preferred format.

To convert a ELF file into binary format, use the following command:

elftool --bin <infile> <outfile>

The output file consists of the **Read Only** data immediately followed by the **Read/Write** data, without a header. This may be useful in applications other than SEE Machine images.

## 7.3. loadmache

The **loadmache** command-line utility supplied with the Secure Execution Engine (SEE) loads an SEE machine into an SEE-enabled HSM. The hardserver can automatically use this utility to load an SEE machines. To use this command, you must be logged in as a user who is permitted to create privileged connections.



SEE machines that require support from a host-side see-\*-serv utility



If your SEE machine requires support from a host-side see-\*-serv utility, you must run one of those utilities as appropriate to serve the SEE machine before its networking or stdioe provisions can work.

## 7.3.1. Usage

```
loadmache [-m|--module=<MODULE>] [-s|--slot=<SLOT>] [-U|--unencrypted] [-e|--encryptionkey=<IDENT>] [-a|--
sighash=<HASH>] [-n|--noprompt] <machine-filename>
```

In this command, the *machine-filename* parameter specifies the path of the SEE machine. If *machine-filename* is not specified, **loadmache** tries to select a machine from the location specified by the NFAST\_SEE\_MACHINEIMAGE\_\* environment variables. See the *User Guide* for more information about environment variables.

## 7.3.1.1. HSM options

## -m --module=<MODULE>

These options specify the hardware security module to use.

## -s|--slot=<SLOT>

These options specify the slot from which to load cards.

## 7.3.1.2. SEE machine loading options

## -a|--sighash=<HASH>

These options specify that the SEE machine is to be signed with a key whose hash is *HASH*.

## -n|--noprompt

These options specify that you are never prompted for missing smart cards.

## -U|--unencrypted

These options specify that the SEE machine is to be unencrypted. This is the default. If set, these options override any previously specified `NFAST\_SEE\_MACHINEENCKEY\_`\* variable. See the *User Guide* for more information about environment variables.

#### -e|--encryptionkey=<IDENT>

These options specify that the SEE machine is to be encrypted with the key identified by *IDENT*. If set, these options override the -U|--unencrypted options.



If neither the -e|--encryptionkey nor the -U|--unencrypted options are specified, a decryption key is used only if the name of a suitable one is found in the location specified by the NFAST\_SEE\_MACHINEENCKEY\_DE-FAULT environment variable. See the *User Guide* for more information about environment variables.

### 7.4. loadsee-setup

The loadsee-setup command-line utility helps you set up, display, or remove settings in the hardserver configuration file that control the automatic loading of SEE machines.



For details about the configuration files, see the User Guide.

You can use **loadsee-setup** for one of three types of action by specifying the appropriate option:

- Specifying the --setup option selects the setup action, used to add a new configuration or replace an existing configuration
- Specifying the --remove option selects the remove action, used to remove an existing configuration (without replacing it)
- Specifying the --display option selects the display action, used to display the configuration of one or all HSMs

#### 7.4.1. Usage

```
loadsee-setup -s|--setup -m <MODULE>
loadsee-setup -r|--remove -m <MODULE>
loadsee-setup -d|--display [-m <MODULE>]
```

#### 7.4.1.1. Action selection

#### -s|--setup

This option selects the setup action, enabling you to set up the hardware configuration file for the HSM specified by -m|--module=<MODULE> to provide automatic loading for the SEE machine specified by -M|--machine=<MACHINE>.sar.

# G

You must always specify the -m|--module=<MODULE> and -M| --machine=<MACHINE>.sar options when using the --setup option. See the comments in the hardserver configuration file for information about the effects of specifying or omitting other options.

#### -r|--remove

This option selects the remove action, enabling you to remove settings that control auto matic SEE machine loading from the hardware configuration file for the HSM specified by -m|--module=<MODULE>

#### -d|--display

This option selects the **display** action, enabling you to display the current configuration of automatic SEE machine loading for the HSM specified by **-m**|**--module**=<**MODULE**> or, if no HSM is specified, all HSMs in the Security World.

#### 7.4.1.2. Setup options

#### -M|--machine=<MACHINE>.sar

This option specifies the SEE machine file (packed as a SAR). You must supply a value for this option when using setup mode.

#### -U|--userdata=<USERDATA>.sar

This option specifies the name of the userdata file (packed as a SAR) to be passed to SEE machine.

#### -k|--key=<IDENT>

This option identifies the seconf key protecting the SEE machine. You must supply this option is the SEE machine is encrypted. Only HSM-protected keys are supported.

#### -S -- sighash=<HASH>

This option identifies the hash of the key that the SEE machine is signed with. You only need to supply this option if the SEE machine is encrypted and you are using a dynamic SEE feature. This option is not required if the SEE machine is not encrypted or if you have the GeneralSEE static feature.

#### -p|--published-object=<NAME>

This option specifies the PublishedObject name to use for publishing the KeyID of the started SEE machine.

#### -P|--postload-prog=<PROGRAM>

This option specifies the post-load program to be run after the SEE machine is loaded.



In most cases, SEE machines using the **bsdlib/glibc** architecture should supply the value **bsdlib/glibc** to specify use of the provided **postload-bsdlib** program.

#### -A|--postload-args="<ARGUMENTS>"

This option specifies an argument string to pass to the post-load program specified by the --postload-prog option. Supply the individual arguments within the double quotation marks, each argument separated from the next by a single space.

#### 7.4.1.3. General options

#### -m --module=<MODULE>

This option specifies the HSM with the hardware configuration file that is to be acted upon by the command. You must supply a value for this option in either setup or remove mode.

#### -c|--configfile=<FILENAME>

This option specifies name of (or path to) the hardserver configuration file to be acted upon by the command. The default is <code>%NFAST\_KMDATA%\config\config</code>.

#### -f|--force

Setting this option allows the command to make configuration changes without prompt ing you.

#### --no-reset

This option prevents resetting HSMs with changed configurations.

#### 7.4.2. Output

#### 7.4.2.1. loadsee-setup --setup

This section provides an example of loadsee-setup used in --setup mode.

When --setup mode is specified, the only other required options are -m|--module=<MODULE> and -M|--machine=<MACHINE>.sar. However, if you supply the -A|--postload-args="<ARGU-MENTS>" option, you must also supply the -P|--postload-prog=<PROGRAM> option.

To set up a hardware configuration file to provide automatic loading for an SEE machine, run a command similar to the following Solo XC example:

If automatic SEE machine loading has already been configured for the specified HSM, load-

see-setup warns you before it is overwritten:

```
Module #1 new SEE configuration saved, new configuration follows:

Module #1:

Machine file:

Userdata file:

WorldID published object:

Postload helper:

Postload args:

--provision stdoe --userdata-sar \tmp\test.cpio.sar

--trace

Clear modules now to reload new configuration? (yes/no): yes
```

You can use the -f|--force option to bypass this warning and overwrite the existing config uration.

After setting up the configuration, **loadsee-setup** resets the affected HSM (unless you specified the **--no-reset** option).

#### 7.4.2.2. loadsee-setup --remove

This section provides an example of loadsee-setup used in --remove mode.

When --remove mode is specified, the only other required option is -m|--module=<MODULE>. This specifies the HSM with the hardserver configuration file that needs the settings for automatic SEE machine loading removed.

To remove settings for automatic SEE machine loading from an HSM's hardware configuration file, run a command similar to the following example:

```
$ loadsee-setup --remove -m1
```

If the HSM specified by -m|--module=<MODULE> does not exist or is not currently configured to automatic SEE machine loading configured, an error is displayed. Otherwise, the current configuration is displayed and loadsee-setup prompts you to continue:

```
Module #1:

Machine file: \tmp\test-helloworld.sar

Userdata file:

WorldID published object:

Postload helper: glibc

Postload args: --provision stdoe --userdata-sar \tmp\test.cpio.sar

--trace

Erase this configuration? (yes/no): yes

Module #1 SEE auto-loading configuration removed.

Clear modules now to reload new configuration? (yes/no): yes
```

You can use the -f|-force option to bypass warnings and remove the existing configuration without being prompted. After removing the configuration, loadsee-setup resets any HSM with a configuration that has changed (unless you specified the --no-reset option). After running loadsee-setup command in --remove mode, no SEE machines are automatically loaded onto the specified HSM.

#### 7.4.3. loadsee-setup --display

This section provides an example of **loadsee-setup** used in **--display** mode.

You are not required to specify any additional options with --remove mode. You can specify the -m|--module=<MODULE> option to display the settings for automatic SEE machine loading in a particular HSM's hardserver configuration file; without specifying this option, loadsee-setup displays the settings for automatic SEE machine loading in the hardserver configura-tion files for any HSM in the Security World for which these settings exist.

To display settings for automatic SEE machine loading for all HSMs, run a command similar to the following example:

```
$ loadsee-setup --display
```

This command produces output similar to the following:



### 7.5. hsc\_loadseemachine

The hsc\_loadseemachine utility enables you to publish an SEE machine. The utility:

- 1. Loads an SEE machine into each HSM configured.
- 2. Publishes a newly created SEE world, if appropriate.

#### 7.5.1. Usage

hsc\_loadseemachine [<options>]

#### 7.5.1.1. Options

#### -m --module

This option specifies the HSM number into which the configuration data must be read. The default value is 0.

The SEE machine can be loaded only if you specify this option. If you do not specify this option, the utility examines the configuration file to check the changes that are made to the load\_seemachine section and then reset any HSM that has had its entry modified. The hardserver loading script then calls hsc\_loadseemachine -m MODULE for each HSM that has been reset.

#### -c|--configfile=<FILENAME>

This option specifies the name of the configuration file that must be read.

### 7.6. nfkmverify

The nfkmverify command-line utility verifies key generation certificates. You can use nfkmverify to confirm how a particular Security World and key are protected. It also returns some information about the Security World and key.

The nfkmverify utility compares the details in the ACL of the key and those of the card set that currently protects the key.

A key that has been recovered to a different card set shows a discrepancy for every respect that the new card set differs from the old one. For example, a key recovered from a 2-of-1 card set to a 1-of-1 card set has a different card-set hash and a different number of cards, so two discrepancies are reported. The discrepancy is between the card set mentioned in the ACL of the key and the card set by which the key is currently protected (that is, the card set mentioned in the key blobs).



A key that has been transferred from another Security World shows discrepancies and fails to be verified. We recommend that you verify keys in their original Security World at their time of generation.

If you must replace your Security World or card set, we recommend that you generate new keys whenever possible. If you must transfer a key, perform key verification immediately before transferring the key; it is not always possible to verify a key after transferring it to a new Security World or changing the card set that protects it.

#### 7.6.1. Usage

nfkmverify [-f|--force] [-v|--verbose] [-U|--unverifiable] [-m|--module=<MODULE>] [appname ident [appname ident

[...]]]

#### 7.6.1.1. Help options

#### -h|--help

This option displays help for nfkmverify.

#### -V|--version

This option displays the version number for nfkmverify.

#### -u|--usage

This option displays a brief usage summary for nfkmverify.

#### 7.6.1.2. Program options

#### -m --module=<MODULE>

This option performs checks with module MODULE.

#### -f|--force

This option forces display of an output report that might be wrong.

#### -U|--unverifiable

This option permits operations to proceed even if the Security World is unverifiable.



If you need the **-U**|**--unverifiable** option, there may be some serious problems with your Security World.

#### -v|--verbose

This option prints full public keys and generation parameters.

#### -C|--certificate

This option checks the original ACL for the key using the key generation certificate. This is the default.

#### -L|--loaded

These options check the ACL of a loaded key instead of the generation certificate.

#### -R --recov

This option checks the ACL of the key loaded from the recovery blob.

#### --allow-dh-unknown-sg-group

This option allows an operation to proceed even if a Diffie-Hellman key is using an unrecognized Sophie-Germain group.

#### 7.6.2. Output

Output returned from nfkmver ify can take a variety of forms, depending on the parameters of the given key generation certificate, Security World, and key concerned. Examples of pos sible output resulting from several different situations are provided below.

Under normal circumstances, issuing a command of the form:

nfkmverify --verbose --unverifiable myapp o20010621a13h25m02

#### returns output of the form:

```
** [Security world] **
   1 Administrator Cards
        (Currently in Module #1 Slot #0: Card #1)
       Cardset recovery ENABLED
       Passphrase recovery disabled
       Strict FIPS 140 level 3 (does not improve security) disabled
       SEE application nonvolatile storage disabled
       real time clock setting disabled
       SEE debugging disabled
       Generating module ESN 0A42-E645-7A75 currently #1 (in same incarnation)
** [Application key myapp o20010621a13h25m02] **
    [Named 'test Thu, 21 Jun 2001 13:25:02 +0100']
        Useable by HOST applications.
        Recovery ENABLED.
        MODULE-ONLY protection
        Type RSAPrivate 1024 bits keygenparams.type= RSAPrivate 2
                .params.rsaprivate.flags= none 0x0000000
                                                        .lenbits= 0x00000400 1024
                                                        .given_e absent
                                                        .nchecks absent
   Generating module ESN 0A42-E645-7A75 currently #1 (in same incarnation)
        nCore hash 23a901f3329aa9e29cd79d3bb7b32d549b725fc3
        public_half.type= RSAPublic 1
                    .data.rsapublic.e= 4 bytes
                                                        00010001
                                                        .n= 128 bytes
        8a6ab219 183de558 48c8379e 840895ff 0ba64bae 392848c6 c0aeb7f9 d10b046d
        4a214b70 4878b518 8e599c69 1cd61db0 bab4f852 425c70f5 b9c000e5 4ceda15f
        c062b5dd 01852380 f70275a1 870a6947 68ef59f0 db5d2e84 d6ae8dc1 7542e94d
        adedece8 cb3c9fb6 98fab8af 52c94137 a76ab7dd 38648134 0df55ca8 2f45e8b7
Verification successful, check details above.
```

Output of the form shown above indicates successful verification of the relevant key gener ation certificate.

The following examples indicate forms of output that could be returned if you try to verify the generation certificate of a key generated in a Security World that was created with an insufficiently up-to-date version of Security World for nShield.

In such a case, issuing a command of the form:

```
nfkmverify --verbose myapp spong
```

#### returns output of the form:

```
PROBLEM: no world generation certificates
PROBLEM: application key myapp spong: no key generation signature
2 issues found, NOT VERIFIED
```

Adding the --unverifiable option to the same command:

```
nfkmverify --verbose --unverifiable myapp spong
```

#### returns output of the form:

```
PROBLEM: application key myapp spong: no key generation signature 1 issues found, NOT VERIFIED
```

Then, also adding the --force option to this same command:

```
nfkmverify --force --verbose --unverifiable myapp spong
```

#### returns output of the form:

```
PROBLEM: application key myapp spong: no key generation signature
PROBLEMS BUT FORCING POSSIBLY-WRONG OUTPUT
** [Security world] **
    UNVERIFIED SECURITY WORLD !
        proceeding anyway as requested
*** [Application key myapp spong] **
    [Not named]
        Useable by HOST applications.
        Recovery ENABLED.
        MODULE-ONLY protection
1 issues found, NOT VERIFIED
```

### 7.7. see-\*-serv utilities

This section describes the usage and available options for the host-side utilities that Entrust supplies to enable standard IO and socket connections for SEE machines using the bsdlib

/glibc architecture:

- see-sock-serv, for SEE machines that require only sockets.
- see-stdoe-serv, for SEE machines that require only standard output and error streams.
- see-stdioe-serv, for SEE machines that require standard input, output, and error streams.



If you are using a nShield Connect, you must set the --no-featurecheck option when running the see-stdoe-serv utility.

• see-stdioesock-serv, for SEE machines that require sockets in addition to standard input, output, and error streams.

Each of the 4 supplied utilities has the capability to:

- · Load the SAR file for the SEE machine
- Load the mandatory userdata file
- Provide a selection of socket and I/O streams

SEE machines that require the standard I/O streams or INET domain sockets must be serviced by one of the described host-side utilities. Without an appropriate host-side utility, SEE machine operations requiring any of these streams are blocked until the appropriate service becomes available.



Ensure that you select the appropriate utility for your SEE machine, because running a host-side utility with more provisions than the SEE machine was linked against causes the SEE machine to abort.

### 7.7.1. Usage

All the **see-\*-serv** host-side utilities take the same arguments:

```
see-sock-serv -p <PUBL-NAME> | -o <KEYID> | -M <MACHINE>.sar
see-stdoe-serv -p <PUBL-NAME> | -o <KEYID> | -M <MACHINE>.sar
see-stdioe-serv -p <PUBL-NAME> | -o <KEYID> | -M <MACHINE>.sar
see-stdioesock-serv -p <PUBL-NAME> | -o <KEYID> | -M <MACHINE>.sar
```

#### -M|--machine=<MACHINE>.sar

This option specifies SEE machine file (packed as a SAR). If you do not specify this option, the SEE machine must have been loaded previously by, for example, running the **loadmache** command-line utility. (See loadmache.)

#### --userdata-sar <USERDATA>.sar

This option specifies the name of the userdata file (packed as a SAR) to be passed to SEE machine.

#### --userdata-raw <USERDATAFILE>

This option specifies the name of an unpacked **userdata** file to be passed to SEE machine.



The raw file is internally made into an unsigned SAR file.

#### -p|--published-object=<NAME>

This option specifies the PublishedObject name to use for publishing the KeyID of the started SEE machine.

#### -o|--object-id=<NAME>

This option specifies the KeyID of the started SEE machine.

#### -m --module=<MODULE>

This option specifies an HSM onto which the SEE machine is to be loaded.



Use the **enquiry** command-line utility to get information about an HSM. For more information, see the *User Guide*.

#### --job-prefix <PREFIX>

This option is for debugging. For the host-side utilities that provide a single service (that is, see-sock-serv, see-stdoe-serv, and see-stdioe-serv), specifying this option forces the service to use the job prefix specified by <PREFIX>.

#### --trace

Specifying this option polls the Security World's trace buffer. The contents are printed to stderr in dark red.



If the configuration of the Security World requires it, you must supply authorization to poll the trace buffer when specifying this option. The see-\*-serv host-utility prompts you to supply authoriza tion if it is required.

#### --plain-trace

This option functions like the --trace option to poll the Security World's trace buffer, but the output from --plain-trace is not surrounded by terminal escape codes.

#### -f|--no-feature-check

Specifying this option suppresses the default behavior of the see-\*-serv host-side utili ties to ensure that the HSM specified by the -m|--module=<MODULE> option has the Has-SEE flag and the GeneralSEE feature before the utility tries to load an SEE machine.



If you are using a nShield Connect, you must set the --no-featurecheck option when running the see-stdoe-serv utility.

#### -r|--restrict

This option only permits userdata and machine-image files from the nc-seemachines or the custom-seemachines subdirectories of the %NFAST\_HOME% directory to be loaded. When userdata is loaded automatically by a privileged account, this option should be specified, for extra security.

#### 7.7.2. Error output from SEE machine with SEElib architecture

You cannot use the see-\*-serv host-side utilities to load SEE machines built with the SEE1ib architecture. If you try to do so, the utility returns a message similar to

FATAL: SeeHostCallProvision\_Init (prefix `nC\HC\sock\INET ') failed: SeeHostcallProvisionFailed

This is the expected behavior caused by the host utility sending SEEJobs that the SEE machine cannot understand or to which it cannot respond correctly.

You can use the **loadmache** command-line utility to manually load SEE machines built with the **SEElib** architecture.

### 7.8. stattree

The stattree utility returns the statistics gathered by the hardserver and HSMs.

#### 7.8.1. Usage

stattree [<node> [<node> [...]]]

#### 7.8.2. Output

Running the stattree utility displays a snapshot of statistics currently available on the host

machine. Statistics are gathered both by the hardserver (relating to the server itself, and its current clients) and by each attached HSM.

Times are listed in seconds. Other numbers are integers, which are either real numbers, IP addresses, or counters. For example, a result -CmdCount 74897 means that there have been 74,897 commands submitted.

A typical fragment of output from **stattree** looks like this:

+PerModule:		
+#1:		
+ModuleObjStats:		
-ObjectCount	5	
-ObjectsCreated	5	
-ObjectsDestroyed	0	
+ModuleEnvStats:		
-MemTotal	15327232	
-MemAllocKernel	126976	
-MemAllocUser	0	
+ModuleJobStats:		
-CmdCount	169780	
-ReplyCount	169778	
-CmdBytes	3538812	
-ReplyBytes	4492764	
-HostWriteCount	169772	
-HostWriteErrors	0	
-HostReadCount	437472	
-HostReadErrors	0	
-HostReadEmpty	100128	
-HostReadDeferred	167578	
-HostReadTerminated	0	
-PFNIssued	102578	
-PFNRejected	1	
-PFNCompleted	102577	
-ANIssued	1	
-CPULoadPercent	0	
+ModuleSerialStats:		
-HostReadCount	437476	
-HostReadDeferred	167580	
-HostReadReconnect	167579	
-HostReadErrors	0	
-HostWriteCount	169774	
-HostWriteErrors	0	

PerModule, ModuleObjStats, and ModuleEnvStats are node tags that identify classes of statis tics. 1 identifies an instance node.

**ObjectCount**, MemTotal, and the remaining items at the same level are statistics IDs. Each has a corresponding value.

If <node> is provided, stattree uses the value given as the starting point of the tree and displays only information at or below that node in the tree. Values for <node> can be numeric or textual. For example, to view the object counts for local HSM number 3:

```
$ stattree PerModule 3 ModuleObjStats
+#PerModule:
```

```
+#3:
+#ModuleObjStats:
-ObjectCount 6
-ObjectsCreated 334
-ObjectsDestroyed 328
```

The value of <node> must be a node tag; it must identify a node in the tree and not an individual statistic. Thus, the following command does not work:

```
$ stattree PerModule 3 ModuleObjStats ObjectCount
+#PerModule:
    +#3:
    +#ModuleObjStats:
Unable to convert 'ObjectCount' to number or tag name.
```

#### 7.8.2.1. Node tags

These hold statistics for each HSM:

Category	Contains
ModuleJobStats	This tag holds statistics for the Security World Software commands (jobs) processed by this HSM.
ModulePCIStats	This tag does not apply to nShield Edge HSMs. It holds statistics for the PCI con- nection between the HSM and the host computer.
ModuleSerialStats	This tag is for nShield Edge HSMs only. It holds statistics for the serial connection between the HSM and the host computer.
ServerGlobals	Aggregate statistics for all commands processed by the hardserver since it started.
	The standard statistics (as described below) apply to the commands sent from the hardserver to HSMs. Commands processed internally by the server are not included here. The Uptime statistic gives the total running time of the server so far.
Connections	Statistics for connections between clients and the hardserver. There is one node for each currently active connection. Each node has an instance number that matches the log message generated by the server when that client connected. For example, when the hardserver message is Information: New client #24 con- nected, the client's statistics appear under node #24 in the stattree output.
PerModule	Statistics kept by the HSMs. There is one instance node for each HSM, numbered using the standard HSM numbering. The statistics provided by each HSM depend on the HSM type and firmware version.
ModuleObjStats	Statistics for the HSM's Object Store, which contains keys and other resources. These statistics may be useful in debugging applications that leak key handles, for example.

Category	Contains
ModuleEnvStats	General statistics for the HSM's operating environment.
HostEnvStats	Environmental statistics for the HSM.
HostSysInfo	Further statistics for the HSM.

#### 7.8.2.2. Statistics IDs

ID	Value
Uptime	The length of time (in seconds) since an HSM was last reset, the hardserver was started, or a client connection was made.
CmdCount	The total number of commands sent for processing from a client to the server, or from the server to an HSM. Contains the number of commands currently being processed.
ReplyCount	The total number of replies returned from server to client, or from HSM to server.
CmdBytes	The total length of all the command blocks sent for processing.
ReplyBytes	The total length of all the reply blocks received after completion.
CmdMarshalErrors	The number of times a command block was not understood when it was received. A nonzero value indicates either that the parties at each end of a connection have mismatched version numbers (for example, a more recent hardserver has sent a command to a less recent HSM that the HSM does not understand), or that the data transfer mechanism is faulty.
ReplyMarshalErrors	The number of times a reply was not understood when it was received. A nonzero value indicates either that the parties at each end of a connection have mis- matched version numbers (for example, a more recent hardserver has sent a com- mand to a less recent HSM that the HSM does not understand), or that the data transfer mechanism is faulty.
ClientCount	The number of client connections currently made to the server. This appears in the hardserver statistics.
MaxClients	The maximum number of client connections ever in use simultaneously to the hard server. This gives an indication of the peak load experienced so far by the server.
DeviceFails	The number of times the hardserver has declared a device to have failed. The hard- server provides a diagnostic message when this occurs.
DeviceRestarts	The number of times the hardserver has attempted to restart an HSM after it has failed. The hardserver provides a Notice message when this occurs. The message does not indicate that the attempt was successful.

ID	Value
QOutstanding	The number of commands waiting for an HSM to become available on the speci- fied client connection. When an HSM accepts a command from a client, this num- ber decreases by 1 and DevOutstanding increases by 1. Commands that are processed purely by the server are never included in this count.
DevOutstanding	The number of commands sent by the specified client that are currently executing on one or more HSMs. When an HSM accepts a command from a client, this number decreases by 1 and QOutstanding increases by 1. Commands that are processed purely by the server are never included in this count.
LongOutstanding	The number of LongJobs sent by the specified client that are currently executing on one or more HSMs. When an HSM accepts a LongJobs command from a client, this number increases by 1 and QOutstanding decreases by 1. Commands that are processed purely by the server are never included in this count.
RemoteIPAddress	The remote IP address of a client who has this connection. A local client has the address 0.0.0.0.
HostWriteCount	The number of write operations (used to submit new commands) that have been received by the HSM from the host machine. One write operation may contain more than one command block. The operation is most efficient when this is the case.
HostWriteErrors	The number of times the HSM rejected the write data from the host. A nonzero value may indicate that data is being corrupted in transfer, or that the hard-server/device driver has got out of sync with the HSM's interface.
HostWriteBadData	Not currently reported by the HSM.
	Attempts to write bad data to the HSM are reflected in HostWriteErrors.
HostWriteOverruns	Not currently reported by the HSM. Write overruns are reflected in HostWriteEr- rors.
HostWriteNoMemory	Not currently reported by the HSM. Write failures due to a lack of memory are reflected in HostWriteErrors.
HostReadCount	The number of times a read operation to the HSM was attempted. The HSM can defer a read if it has no replies at the time, but expects some to be available later. Typically the HSM reports HostReadCount in two places: the number under Module-JobStats counts a deferred read twice, once when it is initially deferred, and once when it finally returns some data. The number under ModulePCIStats counts this as one operation.
HostReadErrors	The number of times a read to an HSM failed because the parameters supplied with the read were incorrect. A nonzero value here typically indicates some prob- lem with the host interface or device driver.
HostReadEmpty	The number of times a read from the HSM returned no data because there were no commands waiting for completion. In general, this only happens infrequently during HSM startup or reset. It can also happen if PauseForNotifications is disabled.

ID	Value
HostReadUnderruns	Not currently reported by the HSM.
HostReadDeferred	The number of times a read operation to the HSM was suspended because it was waiting for more replies to become available. When the HSM is working at full capacity, a sizeable proportion of the total reads are likely to be deferred.
HostReadTerminated	The number of times an HSM had to cancel a read operation which has been deferred. This normally happens only if the clear key is pressed while the HSM is executing commands. Otherwise it might indicate a device driver, interface, or firmware problem.
PFNIssued	The number of PauseForNotifications commands accepted by the HSM from the hardserver. This normally increases at a rate of roughly one every two seconds. If the hardserver has this facility disabled (or a very early version), this does not occur.
PFNRejected	The number of PauseForNotifications commands rejected by the HSM when received from the hardserver. This can happen during HSM startup or reset, but not in normal use. It indicates a hardserver bug or configuration problem.
PFNCompleted	The number of PauseForNotifications commands that have been completed by the HSM. Normally, this is one less than the PFNIssued figure because there is normally one such command outstanding.
ANIssued	The number of Asynchronous Notification messages issued by the HSM to the hardserver. These messages indicate such things as the clear key being pressed and the HSM being reset. In later firmware revisions inserting or removing the smartcard or changing the non-volatile memory also generate asynchronous notifications.
ChanJobsIssued	The number of fast channel jobs issued to the HSM. The fast channel facility is unsupported on current HSMs. This number should always be <b>0</b> .
ChanJobsCompleted	The number of fast channel jobs completed by the HSM. The fast channel facility is unsupported on current HSMs. This number should always be <b>0</b> .
CPULoadPercent	The current processing load on the HSM, represented as a number between 0 and 100. Because an HSM typically contains a number of different types of processing resources (for example, main CPU, and RSA acceleration), this figure is hard to interpret precisely. In general, HSMs report 100% CPU load when all RSA process- ing capacity is occupied; when performing non-RSA tasks the main CPU or another resource (such as the random number generator) can be saturated with- out this statistic reaching 100%.
HostIRQs	On PCI HSMs, the total number of interrupts received from the host. On current HSMs, approximately equal to the total of HostReadCount and HostWriteCount.
ChanJobErrors	The number of low-level (principally data transport) errors encountered while pro- cessing fast channel jobs. Should always be 0 on current HSMs.

ID	Value
HostDebugIRQs	On PCI HSMs, the number of debug interrupts received. This is used only for driver testing, and should be $\emptyset$ in any production environment.
HostUnhandledIRQs	On PCI HSMs, the number of unidentified interrupts from the host. If this is nonzero, a driver or PCI bus problem is likely.
HostReadReconnect	On PCI HSMs, the number of deferred reads that have now completed. This should be the same as HostReadDeferred, or one less if a read is currently deferred.
ObjectsCreated	The number of times a new object has been put into the object store. This appears under the HSM's ModuleObjStats node.
ObjectsDestroyed	The number of items in the HSM's object store that have been deleted and their corresponding memory released.
ObjectCount	The current number of objects (keys, logical tokens, buffers, SEE Worlds) in the object store. This is equal to <b>ObjectsCreated</b> minus <b>ObjectsDestroyed</b> . An empty HSM contains a small number of objects that are always present.
CurrentTempC	The current temperature (in degrees Celsius) of the HSM main circuit board. First- generation HSMs do not have a temperature sensor and do not return temperature statistics.
MaxTempC	The maximum temperature recorded by the HSM's temperature sensor. This is stored in non-volatile memory, which is cleared only when the HSM is initialized. First-generation HSMs do not have a temperature sensor and do not return temper ature statistics.
MinTempC	The minimum temperature recorded by the HSM's temperature sensor. This is stored in non-volatile memory, which is cleared only when the HSM is initialized. First-generation HSMs do not have a temperature sensor and do not return temper ature statistics.
MemTotal	The total amount of RAM (both allocated and free) available to the HSM. This is the installed RAM size minus various fixed overheads.
MemAllocKernel	The total amount of RAM allocated for kernel (that is, non-SEE) use in an HSM. This is principally used for the object store (keys, logical tokens, and similar) and for big-number buffers.
MemAllocUser	The total amount of RAM allocated for user-mode processes in the HSM (0 for non-SEE use). This includes the size of the SEE Machine image, and the total heap space available to it. The HSM's kernel does not know (and does not report in the statistics) how much of the user-mode's heap is currently free, and how much is in use.
SystemFans	The fan speed (RPM) for each fan in the HSM.

### 7.9. tct2

The tct2 command-line utility (also referred to as the Trusted Code Tool) enables users to sign, pack, and encrypt file archives so that they can be loaded onto an SEE-Ready nShield HSM. tct2 creates SAR files.

tct2 uses keys that are protected by a Security World or an OCS.



Examples of how tct2 can be used are provided in Example SEE machines.



Encrypted SEE machines are not currently supported for use with nShield Connect HSMs. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.



Check the documentation supplied by the application vendor to see if you need to use tct2 to set up and use the application.

#### 7.9.1. Usage

```
tct2 [[-S|--sign] | [-P|--pack] | [-E|--encrypt] | [--add-sig] | [--sign-and-pack] | [--print-sigs] | [--unpack-
skycert] | [--unpack-sar-payload]] [--sigfile=<NAME> ] [-k|--key=<IDENT>] [[--is-machine] |[--machine-
key=<HASH>]| [--machinekey-ident=<IDENT> ] [-T|--machine-type=<TYPE>]] [-m|--module=<MODULE> ] [-o|--
outfile=<OUTFILE>] [--non-interactive] [--show-metadata] [-v|--verbose] [-q|--quiet] [[-i|--infile=]<INFILE>]
```

#### 7.9.1.1. Help options

#### -h|--help

These options display help for tct2.

#### -V|--version

These options display the version number of tct2.

#### -u|--usage

These options display a brief usage summary for tct2.

#### 7.9.1.2. Program options

#### -S|--sign

These options create a signature on the file specified by --infile=<INFILE>. You must specify --key=<IDENT> and one of --is-machine, --machine-key=<HASH>, --machine-key -ident=<IDENT>.

#### -P|--pack

These options pack the file specified by --infile=<INFILE> and any signatures specified by --sigfile=<NAME> into a SAR file specified by --outfile=<OUTFILE>. When creat ing an SEE machine image, the input file is a .SXF file produced by the elftool utility. When creating a SEE user data file, the input format is determined by the SEE machine type.

#### -E|--encrypt

These options encrypt the packed SAR file specified by --infile=<INFILE>. --key=<IDENT> must be specified.



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

#### --add-sig

This option creates a signed SAR file specified by --outfile=<0UTFILE> from the unsigned SAR file specified by --infile=<INFILE> and the key specified by --key=<IDENT>.

#### --sign-and-pack

This option creates a signature on the file specified by --infile=<INFILE> using --key=<IDENT> and one of --is-machine, --machine-key=<HASH>, or --machine-key -ident=<IDENT>, then to pack it in the file specified by --outfile=<OUTFILE>.

#### --print-sigs

This option displays the key hashes used to sign the SAR file specified by --infile=<INFILE>.

#### --unpack-sar-payload

This option retrieves the payload of the SAR file specified by --infile=<INFILE>.

#### 7.9.1.3. Packing and signing options

#### --sigfile=<NAME>

This option specifies the file that contains the signature. This option can be repeated to specify multiple signatures.

#### 7.9.1.4. Machine key specification options for signing operations

#### --is-machine

This option specifies SEE machine signing mode.

#### --machine-key=<HASH>

This option specifies the key hash of the SEE machine for which this signature is good.

#### --machine-key-ident=<IDENT>

This option retrieves the hash of key <**IDENT**> then behaves like --machine-key=<**HASH**>. Only one machine key specification option can be specified.

#### -T|--machine-type=<TYPE>

These options specify the SEE machine type. You can specify the appropriate machine type parameter (<TYPE>) for tct2 as a string or a number:

SEE Machine Type	tct2 machine type parameter
PowerPCSXF	PowerPCSXF or 2
PowerPCELF	PowerPCELF or 5

If you are not sure which SEE machine type is appropriate for your HSM, run the enquiry command-line utility, and check the SEE Machine Type output.

If you do not specify an SEE machine type with this option, tct2 tries to determine the appropriate type by reading the format of the code to be signed. If tct2 cannot determine the appropriate SEE machine type, it returns an error message. In such a case, run tct2 again, explicitly setting the SEE machine type with this option.

#### 7.9.1.5. Other options

#### -m --module=<MODULE>

These options specify the HSM to use (where *MODULE* is an HSM number). The default is **1**.

#### -i|--infile=<INFILE>.

These options specify the name of the input .sxf file. You can also specify the input file without the using --infile option by including the file name at the end of the command.

#### -o|--outfile=<OUTFILE>

These options specify the name of the output **.sar** file to create. This option is valid only with the program options that create an output file, as described in Program options.

#### --non-interactive

This option sets non-interactive mode. This means that, if you have not already loaded any required card sets, tct2 fails (instead of prompting you to load any required card sets).

#### --show-metadata

This option shows image metadata before signing.

#### -v|--verbose

These options increase the verbosity level. These options can be repeated.

#### -q|--quiet

These options decrease the verbosity level. These options can be repeated.

#### 7.9.2. Signing

Use one of the following methods to create a signing key:

- During the KeySafe key-generation process, ensure you select the SEE Code Integrity option.
- When generating the key with the generatekey command-line utility, ensure you select the application type seeinteg.

Signing keys can be DSA or RSA. You can sign a file any number of times using different signing keys.



For information about key application types, see Key application type (APPNAME).



For information about generating keys, see the User Guide.

To create a signature, give a command of the form:

```
tct2 -S|--sign [-m|--module=<MODULE>] -k|--key=<IDENT> [--machine-key=<HASH>| --machine-key-ident=<IDENT> | --is
-machine] -o|--outfile=<OUTFILE> [-i|--infile=<INFILE>]
```

If the signing key is protected by an OCS, tct2 prompts you for the passphrase for the inserted card.

#### 7.9.3. Packing

All files must be packed even if you are not adding signatures. The packing operation must be performed once and only once. Your application vendor may have supplied a pre-packed SAR file.

Packing a file creates a new SAR file. The packed file contains:

- The original file
- Specified signatures, if any.

To pack a file and any signatures, give a command of the form:

tct2 -P|--pack -o|--outfile=<OUTFILE> [-i|--infile=]<INFILE> [sigfile...]

#### 7.9.4. Encryption



Encrypted SEE machines are not currently supported for use with nShield Connects. When the SEEMachine binary is installed on the Connect itself for automated loading at boot, the SEE Confidentiality key is not available. However, when a client host loads a SEEMachine, it has access to the SEE Confidentiality key and can cause the binary to be decrypted. In this scenario, the Connect works fine with encrypted SEEMachine binaries.

Use one of the following methods to create an encryption key:

- During the KeySafe key-generation process, ensure you select the SEE Code Confidentiality option.
- When generating the key with the generatekey command-line utility, ensure you select the application type seeconf.

Encryption keys can be either Triple DES or AES keys. Encryption keys can be protected by the Security World or by a 1/N OCS.



For information about key application types, see Key application type

(APPNAME).

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For information about generating keys, see the User Guide.

A .sar file can be encrypted only once. To encrypt a .sar file, use the command:

tct2 -E|--encrypt -k|--key=<IDENT> [-m|--module=<MODULE>] -o|--outfile=<OUTFILE> [-i|--infile=]<INFILE>

# 8. Environment variables

This appendix describes the environmental variables used by Security World Software to control SEE functionality:

Variable	Description
NFAST_SEE_MACHINEENCKEY_DEFAULT	This variable is the name of the SEEConf key needed to decrypt SEE-machine images. Running the command loadmacheencryptionkey= <ident> (or loadmacheunencrypted) overrides any value set by this variable.</ident>
NFAST_SEE_MACHINEENCKEY_ <module></module>	This variable is the name of the SEEConf key needed to decrypt the SEE-machine image targeted for the spec ified HSM. It overrides NFAST_SEE_MACHINEENCKEY_DE- FAULT for the specified HSM. Running the command loadmacheencryptionkey= <ident> (or loadmache unencrypted) overrides any value set by this variable.</ident>
NFAST_SEE_MACHINEIMAGE_DEFAULT	This variable is the path of the SEE machine image to load on to any HSM for which a specific image is not defined. Supplying the <i>machine-filename</i> parameter when running the <b>loadmache</b> command-line utility over rides this variable. This variable is not affected when running the <b>loadsee-setup</b> or hsc_loadseemachine utili ties.
NFAST_SEE_MACHINEIMAGE_ <module></module>	This variable is the path of the SEE machine image to load on to the specified HSM. If set, this variable over- rides the use of NFAST_SEE_MACHINEIMAGE_DEFAULT for the specified HSM. Supplying the <i>machine-filename</i> parameter when running the loadmache command-line utility overrides the NFAST_SEE_MACHINEIMAGE_ <module> variable. This variable is not affected when running the loadsee-setup or hsc_loadseemachine utilities.</module>
NFAST_SEE_MACHINESIGHASH_DEFAULT	This variable is the default key hash of the vendor sign ing key (seeinteg) that signs SEE machine images. This variable is only required if you are using a dynamic SEE feature with an encrypted SEE machine. Running the command loadmachesighash= <hash> any value set in this variable.</hash>

#### Chapter 8. Environment variables

Variable	Description
NFAST_SEE_MACHINESIGHASH_ <module></module>	This variable is the key hash of the vendor signing key (seeinteg) that signs SEE machine images for the spec ified HSM. It overrides NFAST_SEE_MACHINESIGHASH_DE- FAULT for the specified HSM. This variable is only required if you are using a dynamic SEE feature with an encrypted SEE machine. Running the command Loadmachesighash= <hash> any value set in this vari- able.</hash>



When the hardserver is running normally as a service, these are System variables only; not the User Variables. The hardserver checks first for these variables, but if any given value is not set in the environment, the hardserver next searches for a string value in the Registry under HKEY\_LO CAL\_MACHINE\SYSTEM\CurrentControlSet\Services\nFast Server\Envirronment.

For information on additional (non-SEE) environment variables used by Security World Soft ware, see the *User Guide*.

# 9. Key generation options and parameters

This appendix describes the various options and parameters that you can set when running the **generatekey** utility to control the application type and other properties of a key being generated.



For information about generating keys with the **generatekey** utility, see the *User Guide*.

### 9.1. Key application type (<APPNAME>)

The *<APPNAME>* parameter specifies the name of the application for which generatekey can generate keys. Specifying an application can restrict your choice of key type. A value for *<APPNAME>* must follow any *<OPTIONS>* and must precede any parameters specified for the key:

Parameter	Description
simple	Specifying the simple application type generates an nShield-native key. No special action is taken after the key is generated.
custom	Specifying the <b>custom</b> application type generates a key for custom applications that require the key blob to be saved in a separate file.
	Specifying <b>custom</b> also causes the generation of a certificate request and self- signed certificate. However, we recommend that you specify the <b>simple</b> (instead of <b>custom</b> ) application type whenever possible.
pkcs11	Specifying the pkcs11 application type generates keys that are formatted for use with PKCS #11 applications and are given a suitable identifier. The set of possible supported key types is currently limited to:
	• DES3
	• DH
	• DSA
	• ECDH
	• ECDSA
	• HMACSHA1
	• RSA
	• Rijndael(AES)
	Some key types are only available if the features that support them have been
	enabled for the HSM, if the Security World is not compliant with FIPS 140 Level 3, or if you do not set theno-verify option.

Parameter	Description
embed	Specifying the embed application type generates a key for use with CHIL applica- tions that:
	<ul> <li>Do not support hwcrhk key storage</li> </ul>
	• Have a key importation facility capable of reading PEM-format RSA key files.
	Specify the hwcrhk application type for CHIL applications that support hwcrhk key storage.
	You can use a key of the embed application type like a PEM-format RSA/DSA key file, even though it is really a specially encoded reference to a key stored in <b>%NFAST_KMDATA%\local</b> . This allows you to use an <b>embed</b> key when integrating with applications that normally require software RSA keys. For example, you can supply an <b>embed</b> key to the patched version of OpenSSL we have provided so that it uses the HSM to access the key rather than using its own built-in RSA operations.
hwcrhk	Specifying the hwcrhk application type generates a key for Cryptographic Hard- ware Interface Library (CHIL) applications that do not require embed keys. Only RSA, DSA, and DH key types are supported
kpm	Specifying the kpm application type generates a key for delivery by an nForce Ultra key server. The generatekey utility automatically creates a special ACL entry that permits a kpm to be delivered to an nForce Ultra's enrolled internal hardware security module.
seeinteg	Specifying the seeinteg application type generates an SEE integrity key. The DSA, RSA, ECDSA and KCDSA algorithms are supported. SEE integrity keys are always protected by an OCS and cannot be imported. You cannot retarget an existing key as an SEE integrity key.
seeconf	Specifying the seeconf application type generates an SEE confidentiality key. Both the Triple DES and AES algorithms are supported for this key type. SEE confiden- tiality keys are HSM-protected by default and cannot be imported. You cannot retarget an existing key as an SEE confidentiality key.

### 9.2. Key properties (<NAME>=<VALUE>)

The *<NAME>=<VALUE>* syntax is used to specify the properties of the key being generated.



If a parameter's argument contains spaces, you must enclose the argument within quotation marks ("").

You can supply an appropriate *<VALUE>* for the following *NAME* options:

Option	Description
alias	The <i><value< i=""> &gt; for alias specifies an alias to assign to the key.</value<></i>
blobsavefile	When using the custom application type, the <value> for blobsavefile specifies a file name of the form <filename>.ext to which the key blob is saved. Addition- ally, a text file containing information about the key is saved to a file whose name has the form <root>_inf.txt; for asymmetric key types, the public key blob is also saved to a file whose name has the form <root>_pub.ext.</root></root></filename></value>
cardset	The <i><value></value></i> for cardset specifies an OCS that is to protect the key (if protect is set to token). In interactive mode, if you do not specify an OCS, you are prompted to select one at card-loading time. The default is the OCS to which the card currently inserted in the slot belongs (or the first one returned by nfkminfo).
certreq	Setting <b>certreq</b> enables you to generate a certificate request when generating a PKCS #11 key (RSA keys only). The default behavior is to not generate a certificate request.
	To generate a certificate request you must set the <i><value></value></i> for certreq to yes, which makes generatekey prompt you to fill in the extra fields required to generate a key with a certificate request. The resultant certificate request is saved to the current working directory with a file name of the form <i>&lt;</i> FILENAME>_req.ext (where <i>FILENAME</i> is a name of your choice).
	An extra file with a name of the form < <b>FILENAME</b> >.ext is also generated for use as a pseudo-key-header. This file can be removed after the certificate request has been generated. You can use certreq with theretarget option to generate a self-signed certificate for an existing key.
checks	For RSA key generation only, this specifies the number of checks to be performed. Normally, you should leave <i><value></value></i> empty to let the HSM pick an appropriate default.
curve	For ECDH and ECDSA key generation only, the <i>VALUE</i> for <b>curve</b> specifies which curves from the supported range to use. Supported curves are: NISTP192, NIST- P224, NISTP256, NISTP384, NISTP521, NISTB163, NISTB233, NISTB283, NIST- B409, NISTB571, NISTK163, NISTK233, NISTK283, NISTK409, NISTK571, ANSI- B163v1, ANSIB191v1, SECP160r1 and SECP256k1.
embedconvfile	The <i><value< i=""> &gt; for <b>embedconvfile</b> specifies the name of the PEM file that contains the RSA key to be converted.</value<></i>
embedsavefile	When using the <b>embed</b> application type, the <i><value></value></i> for <b>embedsavefile</b> specifies the name for the file where the fake RSA private key is to be saved. The file has the same syntax as an RSA private key file, but actually contains the key identifier rather than the key itself, which remains protected.
	A certificate request and a self-signed certificate are also written. If the filename is < <u>ROOT</u> >.ext then the request is saved to < <u>ROOT</u> >_req.ext and the self-signed certificate is saved to < <u>ROOT</u> >_selfcert.ext.

Option	Description					
from-application	When retargeting a key, the <i><value></value></i> for <b>from-application</b> specifies the applica- tion name of the key to be retargeted. Only applications for which at least one key exists are acceptable.					
from-ident	When retargeting a key, the <i><value></value></i> for <b>from-ident</b> specifies the identifier of the key to be retargeted (as displayed by the <b>nfkminfo</b> command-line utility).					
hexdata	The <i><value></value></i> for hexdata specifies the hex value of DES or Triple DES key to import. The hex digits are echoed to the screen and can appear in process listings if this parameter is specified on the command line.					
ident	The <i><value></value></i> for <b>ident</b> specifies a unique identifier for the key in the Security World. For applications of types <b>simple</b> or <b>hwcrhk</b> , this is the key identifier to use (the exact identifier for <b>simple</b> , for <b>hwcrhk</b> the key type is implicitly included). For other application types, keys are assigned an automatically generated identifier and accessed by means of some application-specific name.					
keystore	The < <i>VALUE</i> > for keystore specifies the file name of the key store to use. This must be an nShield key store.					
keystorepass	The <value> for keystorepass specifies the password to the key store to use.</value>					
module	The <i><value></value></i> for module specifies an HSM to use when generating the key. If there is more than one usable HSM, you are prompted to supply a value for one of them. The default is the first usable HSM (one in the current Security World and in the operational state).					
	You can also specify an HSM by setting themodule option.					
paramsreadfile	The <i><value></value></i> for paramsreadfile specifies the name of the group parameters file that contains the discrete log group parameters for Diffie-Hellman keys only. This should be a PEM-formatted PKCS#3 file. If a <i><value></value></i> for paramsreadfile is not specified, the HSM uses a default file.					
pemreadfile	The <i><value></value></i> for pemreadfile specifies the name of the PEM file that contains the key to be imported. When importing an RSA key, this is the name of the PEM-encoded PKCS #1 file to read it from. Password-protected PEM files are not supported.					
plainname	The <i><value></value></i> for <b>plainname</b> specifies the key name within the Security World. For some applications, the key identifier is derived from the name, but for others the name is just recorded in <b>%NFAST_KMDATA%</b> and not used otherwise.					
protect	The <i><value< i=""> &gt; for protect specifies the protection method, which can be module for Security World protection, softcard for softcard protection or token for Opera tor Card Set protection. The default is token, except for seeconf keys, where the default is module. seeinteg keys are always token-protected. The softcard option is only available when your system has at least one softcard present.</value<></i>					

Option	Description
pubexp	For RSA key generation only, the <i><value< i=""> &gt; for pubexp specifies (in hexadecimal for mat) the public exponent to use when generating RSA keys. We recommend leaving this parameter blank unless advised to supply a particular value by Support.</value<></i>
recovery	The <i><value></value></i> for <b>recovery</b> enables recovery for this key and is only available for card-set protected keys in a recovery-enabled world. If set to <b>yes</b> , the key is recoverable. If set to <b>no</b> , key is not recoverable. The default is <b>yes</b> . Non-recoverable HSM-protected keys are not supported.
seeintegname	If present, the <i><value></value></i> for seeintegname identifies a seeinteg key. The ACL of the newly generated private key is modified to require a certificate from the seein teg key for its main operational permissions, such Decrypt and Sign (DuplicateHandle, ReduceACL, and GetACL are still permitted without certification.)
selfcert	The <i><value></value></i> for selfcert enables you to generate a self-signed certificate when generating a PKCS #11 key (RSA keys only). To generate a self-signed certificate request you must set selfcert to yes, which makes generatekey prompt you to fill in the extra fields required to generate a key with a self-signed certificate. The resultant certificate is saved to the current working directory with a file name of the form <i>&lt;</i> FILENAME>.ext. You can use this parameter with theretarget option to generated a self-signed certificate for an existing key.
size	For key types with variable-sized keys, the <i>VALUE</i> for <b>size</b> specifies the key size in bits. The range of allowable sizes depends on the key type and whether the <b>no-verify</b> option is used. The default depends on the key type; for information on available key types and sizes, see the <i>User Guide</i> . This parameter does not exist for fixed-size keys, nor for ECDH and ECDSA keys which are specified using <b>curve</b> .
strict	For DSA key generation only, setting the <i><value></value></i> for strict to yes enables strict verification, which also limits the size to exactly 1024 bits. The default is no.
type	The <i><value< i=""> &gt; for type specifies the type of key. You must usually specify the key type for generation and import (though some applications only support one key type, in which case you are not asked to choose). Sometimes the type must also be specified for retargeting; for information on available key types and sizes, see the <i>User Guide</i>. Theverify option limits the available key types.</value<></i>
x509country	The <i><value< i=""> &gt; for x509country specifies a country code, which must be a valid 2-letter code, for the certificate request.</value<></i>
x509dnscommon	The <i><value></value></i> for x509dnscommon specifies a site domain name, which can be any valid domain name, for the certificate request.
x509email	The <value> for x509email specifies an email address for the certificate request.</value>
x509locality	The <i><value< i=""> &gt; for x509locality specifies a city or locality for the certificate request.</value<></i>
x509org	The <value> for x509org specifies an organization for the certificate request.</value>

Option	Description
x509orgunit	The < <i>VALUE&gt;</i> for x509orgunit specifies an organizational unit for the certificate request.
x509province	The <i><value< i=""> &gt; for x509province specifies a province for the certificate request.</value<></i>
xsize	The <i><value></value></i> for xsize specifies the private key size in bits when generating Diffie-Hellman keys. The defaults are 256 bits for a key size of 1500 bits or more or 160 bits for other key sizes.

### 9.3. Available key properties by action

The following table shows which actions are applicable to the different NAME options:

Property	generate	import	retarget
alias	Х	Х	Х
blobsavefile	Х	Х	Х
cardset	Х	Х	
certreq			
checks	Х		
curve	Х		
embedconvfile		Х	
embedsavefile	Х	Х	Х
from-application			Х
from-ident			Х
hexdata		Х	
ident	Х	Х	
keysavefile			
keystore	Х	Х	Х
keystorepass	Х	Х	Х
module	Х	Х	
nvram	Х	Х	
paramsreadfile	Х		
pemreadfile		Х	

Property	generate	import	retarget
plainname	Х	Х	Х
protect	Х	Х	
pubexp	Х		
qsize	Х		
recovery	Х	Х	
seeintegname			
selfcert			
size	Х		
strict	Х		
type	Х		
x509country	Х	Х	Х
x509dnscommon	Х	Х	Х
x509email	Х	Х	Х
x509locality	Х	Х	Х
x509org	х	х	Х
x509orgunit	Х	х	Х
x509province	х	х	Х
xsize	Х		

### 9.4. Available key properties by application

The following table shows which applications are applicable to the different NAME options:

Property	custom	embed	hwcrhk	pkcs 11	seeconf	seeinteg	seessl	simple	kpm
alias									
blobsavefile	Х								
cardset	Х	Х	Х	Х				Х	Х
certreq				Х					
checks	Х	Х	Х	Х				Х	Х
curve	Х	Х	Х	Х	Х	Х		Х	

Property	custom	embed	hwcrhk	pkcs 11	seeconf	seeinteg	seessl	simple	kpm
embedconvfile		Х							
embedsavefile		Х		Х					
from-application	Х	Х	Х	Х				Х	Х
from-ident	Х	Х	Х	Х				Х	Х
hexdata	Х	Х	Х	Х				Х	
ident			Х					Х	х
keysavefile		Х					Х		
keystore									
keystorepass									
module	Х	Х	Х	Х			Х	Х	Х
nvram	Х	Х	Х	Х				Х	
paramsreadfile	Х	Х	Х	Х	Х	Х		Х	
pemreadfile	Х		Х					Х	Х
plainname	Х	Х		Х	Х	Х	Х	Х	Х
protect	Х	Х	Х	Х	Х	Х	Х	Х	Х
pubexp	Х	Х	Х	Х				Х	Х
qsize	Х	Х	Х	Х				Х	Х
recovery	Х	Х	Х	Х	Х	Х		Х	х
seeintegname	Х							Х	
selfcert				Х					
size	Х	Х	Х	Х	Х	Х	Х	Х	Х
strict	Х	Х	Х	Х				Х	
type	Х	Х	Х	Х	Х	Х	Х	Х	Х
x509country		Х							х
x509dnscommon		Х							х
x509email		Х							х
x509locality		Х							Х
x509org		Х							х
x509orgunit		Х							Х

Property	custom	embed	hwcrhk	pkcs 11	seeconf	seeinteg	seessl	simple	kpm
x509province		Х							х
xsize	Х	Х	Х	Х				Х	

# 10. SEElib functions

The file seelib.h contains wrapper functions for the software interrupts.

### 10.1. SEElib\_init

extern void SEElib\_init(void);

This function initializes the **SEElib** library.

It also checks that the SWI interface that was implemented by the nShield core matches the version that the SEE machine implements.



This function does not return on error.

### 10.2. SEElib\_RecProcessThreads

```
int
SEElib_RecProcessThreads(void);
```

This function returns the recommended number of processing threads on this system.

### 10.3. SEElib\_StartProcessorThreads

```
struct ProcessThreadCtx; /* User-defined */
typedef struct SEElib_ProcessContext
{
  struct ProcessThreadCtx *uc;
 unsigned char *iobuf;
  int iobuf_maxlen;
}
 SEElib_ProcessContext;
typedef struct ProcessThreadCtx * (*SEEJobInitFn) (SEElib_ProcessContext *pC);
/* Function called during thread initialisation */
typedef int (*SEEJobFn) ( SEElib_ProcessContext *pC, M_Word tag, int in_len );
/* Function to process an SEEJob; data is sent in & out via pC->iobuf.
Returns length being returned.
*/
extern int SEElib_StartProcessorThreads(int nthreads, int stacksize, SEEJobInitFn
pfnInit, SEEJobFn pfnProcess);
```

This function causes the SEE library to start a number of processing threads. Each thread has its own SEElib\_ProcessContext allocated, which remains constant throughout the life

of the thread.

A working buffer for a given thread is allocated; the **iobuf** member points to this buffer and **iobuf\_maxlen** is set to the size. Data for the **SEEJob** is passed in and out through this buffer.

For each thread, the supplied SEEJobInitFn is called first, and the ProcessThreadCtx pointer it returns is stored in the SEELib\_ProcessContext structure. This structure is typically some convenient thread-local storage. The pointer may be NULL if it is not required.

When a job arrives for the given thread, the supplied SEEJobFn is called. It is passed the SEE1 ib\_ProcessContext pointer pC, a tag, and a length (in\_len). The SEEJob data is at  $pC \rightarrow iobuf$ , length in\_len. The tag is merely for information. The function should process the data and leave a reply at  $pC \rightarrow iobuf$ . The return value from the function indicates the number of bytes to be returned from this buffer.

### 10.4. SEElib\_GetUserDataLen

extern M\_Word SEElib\_GetUserDataLen (void);

This function gets the length in bytes of the UserData block that was passed in to create this SEE World. The function returns 0 if the UserData block has been freed with SEElib\_Re-leaseUserData().

### 10.5. SEElib\_ReadUserData

extern int SEElib\_ReadUserData ( M\_Word offset, unsigned char \*buf, M\_Word len );

This function reads selected bytes from the UserData block, starting at *offset* bytes in and continuing for *len* bytes. It returns an M\_Status value.

### 10.6. SEElib\_ReleaseUserData

extern void SEElib\_ReleaseUserData(void);

This function frees the resources associated with the UserData block. Typically, if an SEE machine copies the UserData block into some internal format on initialization, it should call this function on completion to avoid having two copies of the data in memory.

### 10.7. SEElib\_InitComplete

extern void SEElib\_InitComplete( M\_Word status );

This function must be called as soon as the SEE World has been initialized. This call must be made as soon as the SEE World is ready to accept jobs or has decided that it cannot accept jobs.

The status value forms the initstatus value in the reply to the CreateSEEWorld nCore API command.

### 10.8. SEElib\_AwaitJob

extern int SEElib\_AwaitJob( M\_Word \*tag\_out, , unsigned char \*buf, M\_Word \*len\_io );

This function blocks and waits for the next SEEJob in from the nShield core. On entry, \*buf and \*len\_io give the base and length of a buffer area to receive the job. On return, \*len\_io is set to the length delivered (if the job is received successfully). This buffer is a copy of the seeargs field that was sent in to the SEEJob command.

The \*tag\_out value is the tag for this command. It must be returned in the SEElib\_Return-Job so that the nShield core associates the reply with this command.

The SEElib\_AwaitJob function returns an M\_Status, which is only likely to be OK or Buffer-Full.



If you use SEElib\_StartProcessorThreads(), it calls this function automatically, and you should not call this function yourself.

### 10.9. SEElib\_StartTransactListener

extern void SEElib\_StartTransactListener(void);

This function starts the thread that listens for SEElib\_Transact calls and dispatches them. This function must be called before any use is made of SEElib\_Transact.

### 10.10. SEElib\_Transact

extern int SEElib\_Transact(struct M\_Command \*cmd, struct M\_Reply \*buf);

This function marshals a command, submits it, waits for the response, and unmarshals it into a reply structure.

### 10.11. SEElib\_MarshalSendCommand

extern int SEElib\_MarshalSendCommand(M\_Command \*cmd);

This function marshals a command and places it on the input queue for processing by the nShield core.

The command takes a reference to an M\_Command structure, as described in the *nCore Code-Safe API Documentation*.

The SEE machine can submit any of the nCore API commands listed in the Basic commands and Key-Management commands sections of the *nCore CodeSafe API Documentation* except:

- RetryFailedModule
- GetWhichModule
- MergeKeyIDs.

If the SEE machine attempts to submit one of these commands, the nShield core returns a response with the status code NotAvailable.

The SEElib\_MarshalSendCommand function returns an M\_Status value. This value is OK if the command was marshalled and transferred to the nShield core correctly.



Do not mix calls to SEE\_Transact() and SEElib\_MarshalSendCommand() and SEElib\_GetUnmarshalResponse(), because the replies may be misdirected.

### 10.12. SEElib\_GetUnmarshalResponse

extern int SEElib\_GetUnmarshalResponse(M\_Reply \*buf);

If there is a reply in the input queue for this SEE World, this function returns the first job in the queue. Otherwise, it blocks and waits for the nShield core to return a job.

On return, M\_Reply contains the unmarshalled reply.

The SEElib\_GetUnmarshalResponse function returns an M\_Status value. This value is OK if the

reply was unmarshalled successfully. The return of this value does not necessarily mean that the command was completed successfully, only that the reply was unmarshalled. You must also check the M\_Status within the reply.

### 10.13. SEElib\_FreeCommand

extern int SEElib\_FreeCommand(struct M\_Command \*cmd);

This function frees a command structure and is equivalent to the generic stub function NFastApp\_FreeCommand (described in the *nCore CodeSafe API Documentation*).

### 10.14. SEElib\_FreeReply

extern int
SEElib\_FreeReply(struct M\_Reply \*reply);

This function frees a reply structure and is equivalent to the generic stub function NFastApp\_FreeReply (described in the *nCore CodeSafe API Documentation*).

### 10.15. SEElib\_ReturnJob

extern void SEElib\_ReturnJob( M\_Word tag, const unsigned char \*data, unsigned int len );

This function returns an SEEJob reply to the nShield core so that the core can pass it to the calling application.



If you use the SEElib\_StartProcessorThreads() function, it calls SEElib\_ReturnJob() for you.

The tag field must match the tag supplied in the SEElib\_AwaitJob() call that created the job.

The given data is copied away and forms the secreply field of the SEEJob reply (see the description of the SEEJob command in the *nCore CodeSafe API Documentation*).

### 10.16. SEElib\_SubmitCoreJob

extern int SEElib\_SubmitCoreJob( const unsigned char \*data, unsigned int len );

This function puts a job on the input queue for processing by the core. The byte block is passed in data and len. It should be a full marshalled M\_Command with a valid tag at the start.

This function returns an M\_Status, which is typically OK or BufferFull (if len is too big).

### 10.17. SEElib\_GetCoreJob

extern int SEElib\_GetCoreJob ( unsigned char \*buf, M\_Word \*len\_io );

This function blocks and waits for a job submitted to the core to be returned. On entry, **buf** points to a buffer of length (**\*len\_io**) max. On exit, if successful, **\*len\_io** is the length of bytes returned.

This function returns an M\_Status, which is typically OK or BufferFull (if len\_io is too big).

### 10.18. SEElib\_GetUserDataLen

```
extern M_Word SEElib_GetUserDataLen ( void );
```

This function gets the length in bytes of the UserData block passed in to create this SEE World.

If this data has been discarded because SEElib\_ReleaseUserData() has been called, this function returns 0.

### 10.19. SEElib\_Submit

```
extern int SEElib_Submit(M_Command *cmd, M_Reply *reply, PEVENT ev, SEElib_ContextHandle tctx);
```

This function submits the command specified in cmd. The transaction listener thread calls EventSet ev, if ev is non-NULL, when the reply returns for this command. The reply is unmar shalled into reply and tctx is returned to the caller in SEElib\_Query.

Unlike SEElib\_SubmitCoreJob this function can be called at the same time as another thread is blocking in SEElib\_Transact.

SEE1ib\_StartTransactListener must have been called before this function is called.

### 10.20. SEElib\_Query

extern int SEElib\_Query(M\_Reply \*\*replyp, SEElib\_ContextHandle \*tctx\_r);

This function is called to receive a reply that is being held by the transaction listener thread. It is typically called after having been woken from EventWait as a result of the transaction lis tener thread posting to the event passed in to SEElib\_Submit.

If **\*replyp** is NULL, **SEElib\_Query** accepts any returned reply, and **\*replyp** is changed to point to that reply. If **\*replyp** is not NULL, the function accepts the reply specified; other replies are queued internally.

tctx\_r may be NULL. If it is not, the tctx used when submitting the reply is stored in \*tctx\_r. SEElib\_Query can return, in addition to the usual return values, TransactionNotYet-Complete if the reply (or any reply if \*replyp was NULL) has not come back from the core yet.

SEE1ib\_StartTransactListener must have been called before this function is called.

### 10.21. SEElib\_StartSEEJobListener

extern int SEElib\_StartSEEJobListener(PEVENT ev);

This function starts the SEEJob listener thread which blocks calling SEElib\_AwaitJob, caches the new job and then sets the event ev if ev is non-NULL.

Use SEElib\_QuerySEEJob to receive any SEEJobs that have been cached by this listener thread, followed by SEElib\_ReturnJob to reply to the SEEJob, then followed by SEElib\_Re-leaseSEEJob to free the buffer.

It is safe to call this function multiple times. Calls after the first call will have no effect.

### 10.22. SEElib\_QuerySEEJob

extern M\_Status SEElib\_QuerySEEJob( M\_Word \*tag\_out, unsigned char \*\*buf, M\_Word \*len );

This function is called to receive a SEEJob that is being held by the SEEJob listener thread. It is typically called after having been woken from EventWait as a result of the SEEJob listener thread setting the event passed in to SEELib\_StartSEEJobListener.

buf is set to the buffer containing the SEEJob, len is set to the length of the data contained in buf.

This function returns TransactionNotYetComplete if there were no outstanding SEEJobs.

### 10.23. SEElib\_ReleaseSEEJob

extern void SEElib\_ReleaseSEEJob( unsigned char \*\*buf );

This function is called to release a buffer which was returned from SEElib\_QuerySEEJob. This function must be called after the buffer specified by buf in a call to SEElib\_QuerySEEJob has been finished with. This function is safe to call even if \*buf is NULL. In addition, this function sets \*buf to NULL on completion.

# 11. Differences between glibc and bsdlib (SoloXC only)

In order to provide CodeSafe developers the ability to write standard POSIX calls and be able to run in the SEE environments, gcc wrappers have been used to override certain standard functions. For example, both CodeSafe and Libc, have a definition for the function socket:

```
socket(int __domain, int __type, int __protocol)
```

At link time, the function call is overridden and resolved to the CodeSafe implementation. A linker options is used to accomplish that.

-Wl,-wrap=socket

The standard POSIX socket() function can still be used calling real\_socket(). The applica bility of the standard (real\_\*) familiarity of functions is limited in the SEE environment due to embedded system constraints.

All the wrapped functions were replaced by equivalent ones with the underlying IPC support to communicate with nShield core and provide the same functionality as in legacy systems.

List functions that were wrapped and redefined:

- socket()
- bind()
- listen()
- accept()
- connect()
- read()
- write()
- send()
- setsockopt()
- poll()
- select()

### 11.1. glibc Compatibility exceptions

As a consequence of some function redefinitions and the underlying differences, some stan dard C functions may not work as expected in Codesafe.

FILE \*fdopen( int fd, const char \*mode): associates a stream with an existing file descriptor, fd. In the case of a socket fd (returned by Codesafe socket() implementation) the association result may fail or cause unexpected errors in subsequent calls. Developers should avoid using fdopen with non-standard Unix file descriptors.

# 12. SEE Machines Whitelist

Classic and GLIBC SEE machines are restricted to a subset of Linux system calls they can execute.

An SEE machine that attempts to execute a system call that is not allowed will be immediately terminated by a safeguarding process.

The whitelisted system calls are given in the following table, with their number and name.

Whitelisted System Calls					
1NR_exit	2NR_fork				
3NR_read	4NR_write				
5NR_open	6NR_close				
7NR_waitpid	8NR_creat				
9NR_link	10NR_unlink				
11NR_execve	12NR_chdir				
13NR_time	15NR_chmod				
19NR_lseek	21NR_mount				
22NR_umount	24NR_getuid				
29NR_pause	33NR_access				
37NR_kill	38NR_rename				
39NR_mkdir	40NR_rmdir				
41NR_dup	42NR_pipe				
45NR_brk	49NR_geteuid				
54NR_ioctl	60NR_umask				
63NR_dup2	64NR_getppid				
65NR_getpgrp	78NR_gettimeofday				
83NR_symlink	85NR_readlink				
90NR_mmap	91NR_munmap				
94NR_fchmod	99NR_statfs				
102NR_socketcall	106NR_stat				
107NR_lstat	108NR_fstat				

#### Chapter 12. SEE Machines Whitelist

Whitelisted System Calls						
114NR_wait4	119NR_sigreturn					
120NR_clone	125NR_mprotect					
140NR_llseek	141NR_getdents					
145NR_readv	146NR_writev					
160NR_sched_get_priority_min	162NR_nanosleep					
163NR_mremap	172NR_rt_sigreturn					
173NR_rt_sigaction	174NR_rt_sigprocmask					
175NR_rt_sigpending	176NR_rt_sigtimedwait					
177NR_rt_sigqueueinfo	178NR_rt_sigsuspend					
179NR_pread64	181NR_chown					
182NR_getcwd	190NR_ugetrlimit					
195NR_stat64	196NR_lstat64					
197NR_fstat64	202NR_getdents64					
204NR_fcntl64	205NR_madvise					
207NR_gettid	221NR_futex					
232NR_set_tid_address	234NR_exit_group					
250NR_tgkill	252NR_statfs64					
286NR_openat	300NR_set_robust_list					
326NR_socket	327NR_bind					
328NR_connect	329NR_listen					
330NR_accept	331NR_getsockname					
332NR_getpeername	333NR_socketpair					
334NR_send	335NR_sendto					
336NR_recv	337NR_recvfrom					
338NR_shutdown	339NR_setsockopt					
340NR_getsockopt						