



# Palo Alto Networks Firewall to Next Generation

nShield® HSM Integration Guide

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# Chapter 1. Introduction

This Integration Guide describes the deployment of a Palo Alto Networks Firewall with a nShield HSM. The HSM securely generates and stores digital keys. It provides both logical and physical protection from non-authorized use and potential adversaries. The HSM-Firewall integration provides security by protecting the master keys. The HSM can also provide protection for the private keys used in SSL/TLS decryption, both in SSL forward proxy and SSL inbound inspection.

This guide assumes that there is no existing nShield Security World. For instructions to create a Security World, see the *User Guide* for your HSM. In situations in which a Security World already exists, parts of this integration guide can still be used for the generation and subsequent storage of keys.

The benefits of using an nShield HSM with the Palo Alto Networks Firewall include:

- Secure encryption and storage of the firewall master key and private keys.
- FIPS 140 Level 3 validated hardware.

### 1.1. Product configurations

Entrust has successfully tested nShield HSM integration with the Palo Alto Networks Firewall in the following configurations:

PAN-OS v12.1.2 with Entrust Security World v13.6.3, the version integrated with PAN OS.

The usage of a strict FIPS 140 Level 3 enabled Security World is supported with local cards only. RA cards are not supported in this version of PAN-OS. When using local cards with a strict FIPS 140 Level 3 world, the customer must create an OCS with no passphrase using a local card and present the local card in the front slot of the HSM. This OCS card will be used to provide FIPS authorization.

Non-FIPS world files are fully supported and do not require cards, as module protection is currently the only type of protection supported.

nShield Model	PAN OS Security World Client	Connect Image	Firmware	Security World Version	Non - Strict FIPS 140 Level 3	Strict FIPS 140 Level 3
nShield 5c	13.6.3	13.6.11	13.4.5 (FIPS 140-3 certified)	v3	Supported	Supported
Connect XC	13.6.3	13.6.11	12.72.1 and 12.72.3 (FIPS 140-2 certified)	v3	Supported	Supported

- Supported use cases: (Module protection Only)
  - 1. Firewall Master Key Protection
  - 2. SSL/TLS encrypt/decrypt (Inbound Inspection)
  - 3. SSL/TLS Outbound encrypt/decrypt (Forward Proxy)

#### 1.2. Requirements

### 1.2.1. Before starting the integration process

Familiarize yourself with:

- Installation Guide and User Guide for your HSM.
- Palo Alto Next Generation Firewall

#### 1.2.2. Before using Entrust hardware and software

The following preparations must be made before starting to use Entrust products:

- Each HSM uses a remote file system (RFS). You can configure the RFS on any
  computer running nShield Security World software. A HSM estate utilizes an RFS to
  store key objects and hsm configuration files for resilience and can be deployed on
  either a Windows or Linux host.
- A correct quorum for the Administrator Card Set (ACS).
  - For creating the Security World, determine who within the organization will act as custodians of the ACS.

- Obtain enough blank smart cards to create the Administrator Card Set (ACS).
- Operator Card Set (OCS), Softcard, or Module-Only protection.
  - Module-Only protection is supported.
  - If OCS protection is utilized, it requires local cards with no passcode and a 1-of-N quorum.
- Firewall configuration with usable ports:
  - 9004 for the HSM nfast server (hardserver).
  - ° 8200 for the Firewall.

Furthermore, the Security World parameters must be defined. For a detail of security implications of choices, see the *nShield Security Manual*:

- Whether your Security World must comply with FIPS 140 standards.
  - $^{\circ}$  Currently FIPS 140-3 and 140-2 are supported with local cards, not remote cards.
  - If you are using FIPS 140-3 or 140-2, you must create a local OCS without a
    passphrase for FIPS authorization This card must be presented on the front slot of
    the HSM. For information about limitations on FIPS authorization, see the
    Installation Guide of the nShield HSM

Entrust recommends that you allow only unprivileged connections unless you are performing administrative tasks.

• Whether to instantiate the Security World as recoverable or not.

#### 1.2.3. Before using the Palo Alto Networks Firewall

The following preparations must be made before starting to use the Palo Alto Networks Firewall:

- Obtain a Palo Alto Networks customer support account. This account requires access to the latest software releases.
- Procure a Palo Alto Networks Firewall appliance, or set up the Firewall in a bare-metal computer. A virtual machine (VM) can also be used. This guide was tested using a VMWare ESXi virtual machine.
- Upgrade the Firewall installation software with the latest package to be tested.
- The nShield RFS version must be compatible with the Palo Alto Networks Firewall, see Product configurations.

# 1.3. Considerations for keys

Security Worlds that meet FIPS 140-3 standards require 2048-bit RSA keys.

# Chapter 2. Procedures

The high-level procedure to install and configure a Palo Alto Network Firewall with an nShield HSM is as follows:

- 1. Set up the HSM and the security world.
- 2. Configure the Firewall to authenticate with the HSM(s).
- 3. Encrypt the master key on a Firewall and store it in the HSM.
- 4. Store the keys used for SSL forward proxy or SSL inbound inspection decryption.
- 5. Perform attestation that:
  - ° The master key is encrypted on the HSM.
  - The certificate use in SSL/TLS forward proxy is successfully imported into the Firewall.

# 2.1. Prepare the RFS and the HSM(s)

Each nShield HSM must have a remote file system (RFS) configured. The RFS includes master copies of all the files that the HSM requires, see the *User Guide* for your HSM.

If more than one HSM is used, they must use the same security world and be of the same type.

#### 2.1.1. Upgrade the RFS software

To upgrade the RFS software:

- 1. Check the software version of the RFS by running the neversions command.
- 2. If the software is older than **v12.60.11**, upgrade it. For instructions, see the *User Guide* for your HSM.

#### 2.1.2. Create a security world on the RFS

At the RFS command prompt, run new-world.

For information on this command, see the *User Guide* for your HSM.

# 2.2. Set up connectivity between the Firewall, the HSM, and the RFS

#### 2.2.1. Define connection settings for each HSM

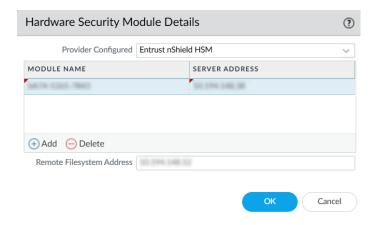
The HSM authenticates the Firewalls based on their IP addresses. Therefore, you must configure the Firewalls to use static IP addresses. Dynamic addresses, assigned through DHCP, cannot be used.

If you want to set up connectivity to more than one HSM for high-availability, do it at this point. If more than one HSM is being used, the HSMs must share the same security world and be of the same type. For steps on loading an existing security world onto an HSM, see the *nShield Connect User Guide*. Adding more HSMs after the master key has been encrypted and stored in an HSM (see Encrypt the master key using the HSM) is only possible by first removing the master key from the HSM. The master key is required to perform the removal. Then encrypt and store the master key again in the HSM after adding new HSM to the list above.

Sign in to the Palo Alto Networks Firewall web interface and select **Device > Setup >** HSM.



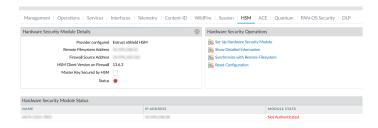
- 2. Edit the **Hardware Security Module Provider** settings and set the **Provider Configured** to **Entrust nShield HSM**.
- 3. Add each HSM as follows. A high-availability HSM configuration requires at least two HSMs.
  - a. Enter a module name for the HSM. This can be any ASCII string of up to 31 characters.
  - b. Enter an IPv4 address for the HSM.
  - c. Repeat the first two steps for all HSMs.
- 4. Enter an IPv4 address for the RFS.
- 5. Select **OK**.



6. Select the **Commit** icon, shown with a red arrow in the following picture.



7. Once committed, select **Close**. The HSM configuration should be displayed.



### 2.2.2. Configure a service route to the HSM

Perform these optional steps if you do not want the Firewall to connect through the default management interface. If you are connecting through the default management interface, go to Register the Firewall as an HSM client.

- 1. Select Device > Setup > Services > Service Route Configuration.
- 2. Select Customize a service route.

The IPv4 tab is active by default.

- 3. For **Service**, select **HSM**.
- 4. Select a **Source Interface** for the HSM.
- 5. Select OK.
- 6. Select the Commit icon.

#### 2.2.3. Register the Firewall as an HSM client

This can be done from the front panel of the HSM or from the RFS. These steps describe how to register the firewall as an HSM client from the RFS command line.

1. On the RFS, change to the HSM-specific directory to obtain the HSM configuration file and create a new configuration file:

```
cd /opt/nfast/kmdata/hsm-<HSM-ESN>/config/
touch config.new
cp config config.new
```

2. Edit config.new:

```
vi config.new
```

3. Add the following to the [hs\_clients] section:

4. Push config.new to the HSM:

```
cfg-pushnethsm --address=<HSM-IP> config.new
```

5. Check the config file for the changes made: (there should be no differences)

```
diff config.new config
```

6. Repeat these steps for each HSM in the high-availability configuration.

# 2.2.4. Configure the RFS to accept connections from the Firewall and the HSM

The assumption here is the RFS is running on Linux.

To configure the RFS to accept connections from the Firewall and the HSM:

- 1. Sign in to the RFS.
- 2. Assume root privileges by running the su command:

```
SU
```

3. Configure or disable the RFS firewall:

```
service firewalld stop
```



The RFS firewall is independent of the Palo Alto Networks Firewall. An RFS reboot re-enables the RFS firewall.

4. Verify that the RFS firewall stopped:

```
service firewalld status
```

5. Set up the RFS. The following command must be run for each HSM being added to your high-availability configuration:

```
rfs-setup --force <HSM_IP_address> $(anonkneti <HSM_IP_address>)
```

6. Run the following command to permit HSM client submissions on the RFS:

```
rfs-setup --gang-client --write-noauth <Firewall-IP-address>
```

You can use the following commands to configure the RFS to accept connections from the client Firewall. rfs-setup is run on the RFS. rfs-sync is run on the client.

```
RFS rfs-setup --gang-client --write-noauth --force <client_IP_address>
Client rfs-sync --setup --no-authenticate <RFS_IP_Address>
rfs-sync --update
rfs-sync --commit
```

For security reasons, the Firewall has a protected command-line interface that does not allow direct access to rfs-setup and rfs-sync in its built-in nfast server. Instead, equivalent commands are available in the protected Palo Alto Networks Firewall command-line interface and can be useful for debugging.

nShield Command	Palo Alto Networks Command
<pre>/opt/nfast/bin/rfs-syncsetupno -authenticate <rfs_ip_address></rfs_ip_address></pre>	request hsm rfs-setup

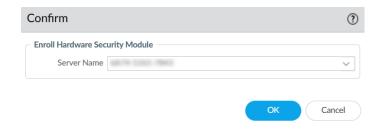
nShield Command	Palo Alto Networks Command
/opt/nfast/bin/rfs-syncupdate	request hsm rfs-sync
/opt/nfast/bin/rfs-synccommit	
/opt/nfast/bin/enquiry	show hsm info

#### 2.2.5. Authenticate the Firewall to the HSM

To authenticate the Firewall to the HSM:

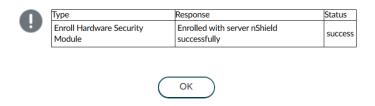
 In the Palo Alto Networks Firewall web interface, select Device > Setup > HSM > Setup Hardware Security Module.

A confirmation dialog appears. For the **Enroll Hardware Security Module**, select one of the HSMs.



#### 2. Select OK.

The Firewall authenticates to the HSM and displays a completion message:



3. Select OK.

# 2.2.6. Synchronize the Firewall with the RFS

To synchronize the Firewall with the RFS:

 In the Palo Alto Networks Firewall web interface, select Device > Setup > HSM > Synchronize with Remote Filesystem.

The Firewall synchronizes with the RFS and displays a completion message:

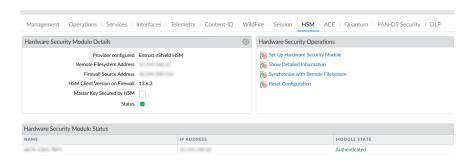


2. Select OK.

#### 2.2.7. Verify Firewall connectivity and authentication with the HSM

To verify Firewall connectivity and authentication with the HSM:

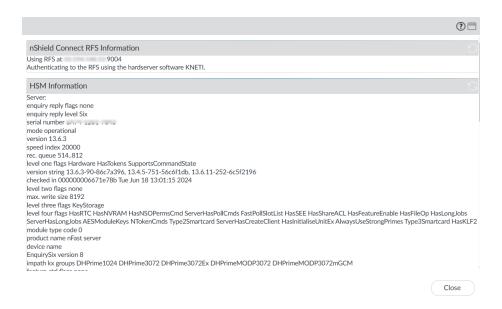
- 1. In the Palo Alto Networks Firewall web interface, select **Device > Setup > HSM**.
- 2. Check the Hardware Security Module Status. It should show Authenticated.
  - Name The name of the HSM.
  - IP address The IP address of the HSM.
  - Module State The current state of the HSM connection: Authenticated or NotAuthenticated.



- 3. Check the connection status:
  - ° Green The Firewall is successfully authenticated and connected to the HSM.
  - Red The Firewall failed to authenticate to the HSM, or network connectivity to the HSM is down.

A left-over rfs-sync lock from a failed attempt could cause red status. Launch a command-line interface on the RFS, remove the /opt/nfast/kmdata/local/.nft-lock file, then re-run the instructions in Synchronize the Firewall with the RFS.

4. Finally, select **Device > Setup > HSM > Show Detailed Information**. This is equivalent to running **enquiry** on the firewall.



# 2.3. Encrypt the master key using the HSM

A master key encrypts all private keys and passwords on the Palo Alto Networks Firewall. Every time the Firewall is required to decrypt a password or private key, it requests the HSM to decrypt the master key.

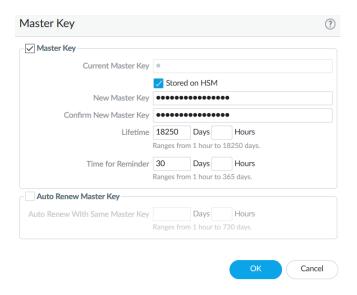
The HSM encrypts the master key using a wrapping key. To maintain security, you must occasionally change (refresh) this wrapping key.

#### 2.3.1. Encrypt the master key

Use this procedure for first time encryption of a key, or if you define a new master key and you want to encrypt it.

- In the Palo Alto Networks Firewall web interface, select Device > Master Key and Diagnostics.
- 2. Select the gear icon next to **Master Key**.
- 3. Select the **Master Key** check box.
- 4. For **Current Master Key**, enter the key that is currently used to encrypt all of the private keys and passwords on the Firewall (if applicable).
- 5. Select the **Stored on HSM** check box.
- 6. Enter the new master key and confirm.
- 7. Enter the following information:
  - Life Time The number of days and hours after which the master key expires (1-18250 days).

 Time for Reminder - The number of days and hours before expiration when the user is notified of the impending expiration (1-365 days).

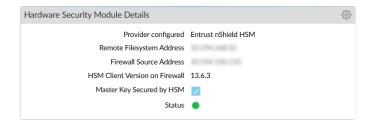


8. Select **OK** and then select **Commit**.

The Master Key information is updated.



The new key is also visible in **Device > Setup > HSM > Hardware Security Module Details**.



9. Sync the newly created Master Key to the RFS.

Select Device > Setup > HSM > Synchronize with Remote Filesystem.

A pop-up should appear saying **HSM rfs sync done**.

You should see the newly created in key in /opt/nfast/kmdata/local in the RFS.

```
% cd /opt/nfast/kmdata/local
% ls -al key_*
-rw-r--r-. 1 nfast nfast 7040 Aug 26 14:19 key_pkcs11_ua210478667b71fd9d55ef10a2d3d2f21b681a03dd
```

#### 2.3.2. Refresh the master key encryption

Refresh the master key encryption by rotating the wrapping key that encrypts it. The wrapping key resides on the HSM.

- 1. Sign in to the Palo Alto Networks Firewall command-line interface.
- 2. Use the following command to rotate the wrapping key for the master key on an HSM:

```
request hsm mkey-wrapping-key-rotation
```

#### For example:

```
admin@PA-VM> request hsm mkey-wrapping-key-rotation
Mkey wrapping key rotation succeeded. New key handle 1119.
admin@PA-VM>
```

The mkey-wrapping-key-rotation command does not delete the old wrapping key.

- If the master key is encrypted on the HSM, the command generates a new wrapping key on the HSM and encrypts the master key with the new wrapping key.
- If the master key is not encrypted on the HSM, the command generates a new wrapping key on the HSM for future use.
- 3. Sync the newly created Key to the RFS.

Select Device > Setup > HSM > Synchronize with Remote Filesystem.

A pop-up should appear saying **HSM rfs sync done**.

You should see the newly created in key in /opt/nfast/kmdata/local in the RFS.

```
% cd /opt/nfast/kmdata/local
% ls -al key_*
-rw-r--r--. 1 nfast nfast 7040 Aug 26 14:19 key_pkcs11_ua210478667b71fd9d55ef10a2d3d2f21b681a03dd
-rw-r--r--. 1 nfast nfast 7040 Aug 26 14:20 key_pkcs11_uaac73f6835c0efe822f6add4ad1cffc0fec60963b
```

# 2.4. Store the key used in SSL/TLS decryption

The HSM can be used to securely store the private keys used in SSL/TLS decryption for:

SSL forward proxy - Store the private key of the Forward Trust certificate that signs
certificates in SSL/TLS forward proxy operations. The Firewall will then send the
certificates that it generates during such operations to the HSM for signing before
forwarding these to the clients.

• **SSL inbound inspection** - Store the private keys for the internal servers for which it is performing SSL/TLS inbound inspection.

#### 2.4.1. Generate a self-signed certificate and key

This section describes a method to generate a self-signed certificate and key for purposes of this guide using the HSM. This is the preferred method to generate such key and certificate. For information about importing existing keys and certificates, see the *User Guide* for your HSM.

The HSM generatekey command generates a key file with the same syntax as an RSA private key file, but contains the key identifier rather than the key itself, which remains protected in the HSM.

- 1. Sign in to the RFS.
- 2. Assume root privileges by running the su command:

```
su
```

3. Run the generatekey command:

```
cd /opt/nfast/kmdata/local
generatekey pkcs11 selfcert=yes
```

Currently, only module protected keys are supported. For example:

```
[root@red_hat_8_rfs local]# generatekey pkcs11 selfcert=yes
module: Module to use? (1, 2) [1] >
protect: Protected by? (token, softcard, module) [token] > module
recovery: Key recovery? (yes/no) [yes] >
type: Key type? (DES3, DH, DHEx, DSA, HMACSHA1, HMACSHA256, HMACSHA384,
HMACSHA512, RSA, DES2, AES, Rijndael, Ed25519, X25519) [RSA]
size: Key size? (bits, minimum 1024) [2048] >
OPTIONAL: pubexp: Public exponent for RSA key (hex)? []
plainname: Key name? [] > paloaltossl
x509country: Country code? [] > US
x509province: State or province? [] > FL
x509locality: City or locality? [] > Sunrise
x509org: Organization? [] > SWTesting
x509orgunit: Organization unit? [] > InterOp
x509dnscommon: Domain name? [] > paloaltofirewall
x509email: Email address? [] > test@test.com
nvram: Blob in NVRAM (needs ACS)? (yes/no) [no] > no
digest: Digest to sign cert reg with? (md5, sha1, sha256, sha384, sha512)
[default sha256] >
key generation parameters:
operation Operation to perform
                                               generate
application Application
                                               pkcs11
module
              Module to use
```

```
protect
              Protected by
                                             module
recovery
              Key recovery
                                             Ves
              Verify security of key
verify
                                              yes
type
              Key type
                                              RSA
                                              2048
size
              Key size
              Public exponent for RSA key (hex)
pubexp
plainname
              Key name
                                             HSMKey
x509country
              Country code
                                             US
x509province State or province
x509locality City or locality
                                             Sunrise
x509org
              Organization
                                             SWTesting
x509orgunit
              Organization unit
                                             InterOp
                                             paloaltofirewall
x509dnscommon Domain name
x509email
              Fmail address
                                             test@test.com
nvram
              Blob in NVRAM (needs ACS)
digest
              Digest to sign cert req with
                                             sha256
Please wait.....
Key successfully generated.
Path to key: /opt/nfast/kmdata/local/key_pkcs11_ua5efdb72cb623c41d6ec9baeacc1eac95be8ada2b
Path to self-cert: /opt/nfast/kmdata/local/pkcs11_ua5efdb72cb623c41d6ec9baeacc1eac95be8ada2b_selfcert
[root@red_hat_8_rfs local]#
```

a. If you selected token for OCS protection, you must provide the OCS 1/N quorum for fips-auth when a strict FIPS 140 Level 3 world is used. If you provide the ACS quorum, the generatekey command will fail.

Token (OCS) protection is not currently supported.

- b. If you selected module for module protection, you must provide the OCS 1/N quorum to provide fips-auth for this HSM operation when a strict FIPS 140 Level 3 world is used.
- 4. Two files are created. The key file has the same syntax as an RSA private key file, but actually contains the key identifier rather than the key itself, which remains protected. The file type and naming are:

File Type	Naming
Key file (key identifier rather than the key itself)	key_pkcs11_···
Self-signed certificate	pkcs11selfcert

5. You can view the content of the certificate created above by viewing the self-signed certificate (.crt):

```
openssl x509 -text -noout -in /opt/nfast/kmdata/local/pkcs11_ua5efdb72cb623c41d6ec9baeacc1eac95be8ada2b_selfcert
```

#### 2.4.2. Synchronize the key data from the RFS to the Firewall

To synchronize the key data from the RFS to the Firewall:

- 1. In the Palo Alto Networks Firewall web interface and select **Device > Setup > HSM**.
- 2. In the **Hardware Security Operations** settings, select **Synchronize with Remote Filesystem**.

The Firewall confirms when the synchronization is complete.

# 2.4.3. Import the certificate that corresponds to the HSM-stored key into the Firewall

To import the certificate that corresponds to the HSM-stored key into the Firewall:

- 1. Sign in to the Palo Alto Networks Firewall web interface from the RFS.
- 2. Launch the browser from the RFS to be able to upload files from the RFS files system to the Palo Alto Networks Firewall.
- 3. Select Device > Certificate Management > Certificates > Device Certificates
- 4. Select Import.
- 5. For **Certificate Type**, select the **Local** option.
- 6. Enter the Certificate Name.
- 7. Browse to the Certificate File on the RFS. This is the file ending in \_selfcert from the certificate generated in the previous step.

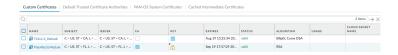
/opt/nfast/kmdata/local/pkcs11\_ua5efdb72cb623c41d6ec9baeacc1eac95be8ada2b\_selfcert

- 8. From the File Format list, select Base64 Encoded Certificate (PEM).
- 9. Select the Private key resides on Hardware Security Module check box.



- 10. Select OK.
- 11. Select the **Commit** icon and close the dialog.

A new certificate has been imported:



Under the **KEY** column, you should see a lock symbol, indicating the private key for the certificate is on the HSM. If you see an error symbol, either the private key is not on the HSM or the HSM is not properly authenticated or connected.

#### 2.4.4. Enable the certificate for use in SSL/TLS forward proxy

To enable the certificate for use in SSL/TLS forward proxy:

- In the Firewall web interface, open the certificate that you have imported: select
   Device > Certificate Management > Certificates > Custom Certificates.
- 2. Select the certificate to open it.
- 3. Select the Forward Trust Certificate check box.



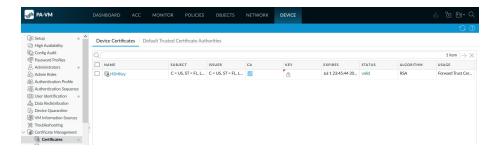
- 4. Select OK.
- 5. Commit your changes.

The **USAGE** column now shows **Forward Trust Certificate**.

## 2.4.5. Verify the certificate import into the Firewall

To verify the certificate import into the Firewall:

1. Locate the certificate that you imported.

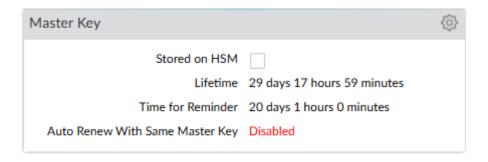


- 2. Check the icon in the **KEY** column:
  - Lock icon The private key for the certificate is on the HSM.
  - Error icon The private key is not on the HSM or the HSM is not properly authenticated or connected.
- 3. Check the **USAGE** column. It should show **Forward Trust Certificate**.

### 2.5. Adding more HSMs

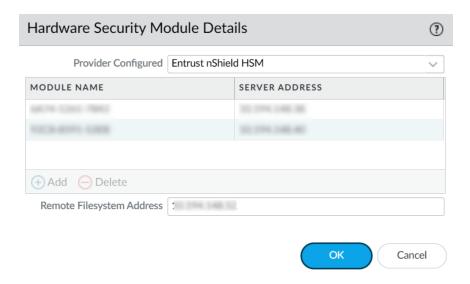
Adding more HSMs after the master key has been encrypted and stored in an HSM (see Encrypt the master key using the HSM) is only possible by first removing the master key from the HSM. The master key is required to perform the removal. Then encrypt and store the master key again in the HSM after adding a new HSM. Any new HSMs that are added must share the same security world being used.

- 1. On the Firewall GUI, select **Device > Master Key and Diagnostics**.
- 2. Select the gear icon next to Master Key.
- 3. Enter the current master key and uncheck the box for Stored on HSM.
- 4. You can keep the same master key or make a new one temporarily. This is just the intermediate step to remove the key from the HSM so more HSMs can be added.
- 5. Select **OK** and select **Commit**.

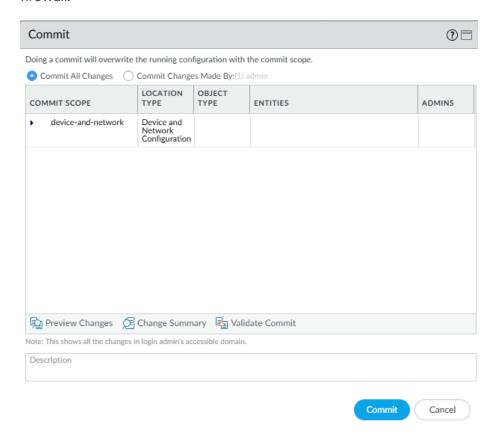


- 6. Go to Device > Setup > HSM and select the gear icon next to \*Hardware Security Module Details.
- 7. In the Hardware Security Module Details Dialog:

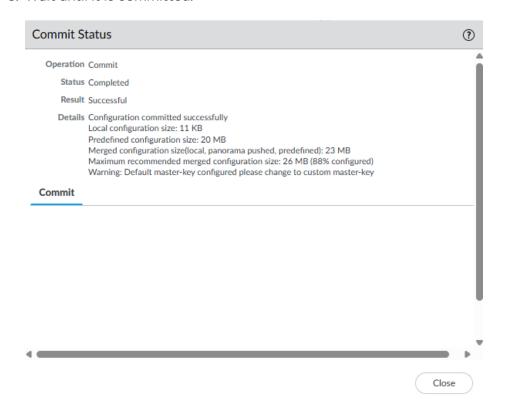
- a. In the Provider Configured dropdown, select Entrust nShield HSM.
- b. Select **Add** and enter a name for the module, like **nShield XC**.
- c. Under **Server Address**, enter the IP address of the HSM.
- d. In the **Remote Filesystem Address** field, enter the IP address of the RFS, then select **OK**.



8. Select the **Commit** button in the top right of the GUI to apply the configuration to the firewall.

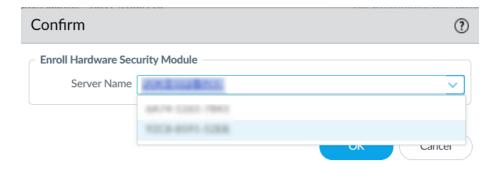


9. Wait until it is committed.

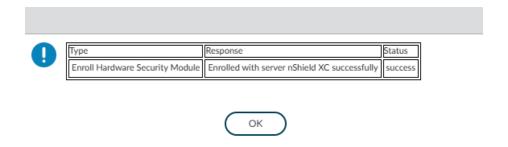


- 10. Select Close.
- 11. You should be sharing the same security world across all of the HSMs.
- In the PanOS WebGUI, select Device > Setup > HSM > Set Up Hardware Security
   Module.

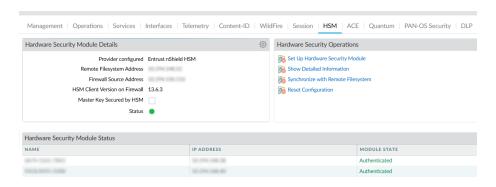
A confirmation dialog appears. For the **Enroll Hardware Security Module**, select one of the HSMs.



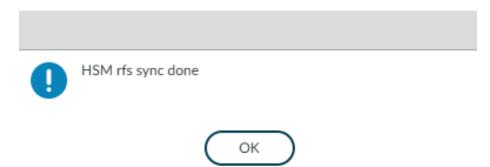
Select **OK**. The firewall attempts to authenticate to the HSM. Make sure the status message that is displayed shows the authentication was successful.



You should see both HSMs where the MODULE STATE is Authenticated.



13. Synchronize the firewall with the RFS. Select **Device > Setup > HSM > Synchronize** with **Remote Filesystem**. A pop-up should appear saying **HSM rfs sync done**.



14. When you have finished adding HSMs, re-create the master key, selecting the checkbox for **Stored on HSM**. This will encrypt the new master key and store it with multiple HSMs configured.

# Chapter 3. Additional resources and related products

- 3.1. nShield Connect
- 3.2. nShield as a Service
- 3.3. Entrust products
- 3.4. nShield product documentation