Entrust Cryptographic Security Platform Key Management Vault

nShield® HSM Integration Guide

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Chapter 1. Introduction

This guide describes how to:

- Install and configure Entrust Cryptographic Security Platform Key Management Vault
- Integrate Entrust Cryptographic Security Platform Key Management Vault with the Entrust nShield HSM to establish a hardware root of trust for all encryption keys
- Protect the Cryptographic Security Platform Key Management Vault Admin Key in the HSM

When all of these procedures are performed, the combined solution facilitates regulatory compliance with a FIPS 140 Level 3 and Common Criteria EAL4+ root of trust.

- Entrust recommends that you allow only unprivileged connections unless you are performing administrative tasks.
- Until and including v13.4.5 firmware, all nShield HSMs require specific activation to utilize the elliptic curve features. See the nShield Security World documentation at nShield Product Documentation website.

1.1. Product configuration

Entrust has successfully tested nShield HSM integration with Key Management Vault in the following configurations:

Vendor	Product	Version
Entrust	Cryptographic Security Platform	1.6
Entrust	Key Management Vault	10.6.1
Entrust	Compliance Manager	10.6.1
Entrust	nShield Security World	13.9.0
Entrust	nShield HSM hardware	Connect XC, nShield 5c

1.1.1. Supported features

Entrust has successfully tested nShield HSM integration with the following features:

Feature	Support
Softcards	Yes
Module-only key	Not Supported
OCS cards	For FIPS Authorization Only
nSaaS	Not tested

1.1.2. Supported nShield hardware and software versions

Entrust has successfully tested the integration with the following nShield hardware and software versions:

HSM	Security World Software	Firmware	Image	Cryptographic Security Platform Version Tested
Connect XC	13.9.0	13.8.3 (Post-quantum Supported Firmware)	13.9.5	1.3, 1.4
nShield 5c	13.9.0	13.8.4 (Post-quantum Supported Firmware)	13.9.5	1.3, 1.4
Connect XC	13.9.0	12.72.4 (FIPS 140-3 certified)	13.6.14, 13.6.18	1.3, 1.6
nShield 5c	13.9.0	13.4.5 (FIPS 140-3 certified)	13.6.14, 13.6.18	1.3, 1.6
Connect XC	13.9.0	12.60.15 (Common Criteria certified)	13.6.14	1.3
nShield 5c	13.9.0	13.5.1 (Common Criteria certified)	13.6.14	1.3

Chapter 2. Install and configure the Entrust Key Management Vault server

2.1. Install the Key Management Vault server

The Entrust Key Management Vault server is a software solution deployed from an OVA or ISO image. Entrust recommends that you read the Entrust Key Management Vault Installation Overview online documentation to fully understand the Key Management Vault server deployment.

To configure a Key Management Vault cluster (active-active configuration is recommended), Entrust recommends the use of the OVA installation method, as described in the Entrust Cryptographic Security Platform Key Management Vault OVA Installation online documentation.

After the Key Management Vault server is deployed, configure the first Key Management Vault node as described in the Entrust Configuring the First Cryptographic Security Platform Key Management Vault Node (OVA Install) online documentation.

Deploy the Cryptographic Security Platform Compliance Manager server in order to enable Vault License authentication, and allow active-active cluster creation. For an overview of Compliance Manager, see the Entrust Cryptographic Security Platform documentation.

Configure the Compliance Manager to establish an initial Appliance Cluster connection with the first Key Management Vault node. For information about configuring a Key Management Vault cluster, see the Entrust CSP Vault Nodes and Clusters.

After completing this procedure, create a second node by following the previous steps of deploying and configuring a Key Management Vault server, and add the second node to the active-active cluster as described in the Entrust Adding a New Cryptographic Security Platform Key Management Vault Node to an Existing Cluster (OVA Install) online documentation to create the recommended active-active cluster.

After setting up the Cryptographic Security Platform Compliance Manager server and creating an Appliance Cluster, add a license for software authentication to the Compliance Manager. For information about Vault license status and synchronization, see the Entrust License Page.

> Although an active-active cluster is not a requirement, and a single Key Management Vault node can be deployed to perform its functions, Entrust strongly recommends deploying the solution with a minimum of four nodes in an active-active cluster solution.

Your Key Management Vault license determines how many Key Management Vault nodes you can have in a cluster. Key Management Vault requires the deployment of Cryptographic Security Platform Compliance Manager (CSPCM). CSPCM manages licenses for the various Key Management Vault(s) in the organization. For full information about the Key Management Vault licensing, see the Entrust https://api.managed.entrust.com/vault/1.6/License-Page.html

2.2. Configure the Key Management Vault Server

After the Entrust Key Management Vault server is deployed and the initial installation is complete, you can configure the network settings, e-mail server preferences and cluster. For these procedures, see the Cryptographic Security Platform Key Management Vault System Configuration in the Administration Guide.

Chapter 3. Integrate Entrust Key Management Vault server and Entrust nShield HSM

This chapter describes how to integrate the Entrust Key Management Vault server with the Entrust nShield HSM to establish a hardware root of trust for all encryption keys. It also explains how the HSM protects the Key Management Vault Admin Key.

These procedures are optional but the combined solution facilitates regulatory compliance with a FIPS 140 Level 3 and Common Criteria EAL4+ root of trust.

The guide covers FIPS 140 Level 2 compliance and will note when different instructions are needed for compliance with FIPS 140 Level 3.

> With Multi vault support, KMIP key wrapping is set at the vault level. Each KMIP vault will set up according to their requirements. Refer to Enable KMIP key wrapping (KMIP Vaults only) for details.

3.1. Prerequisites

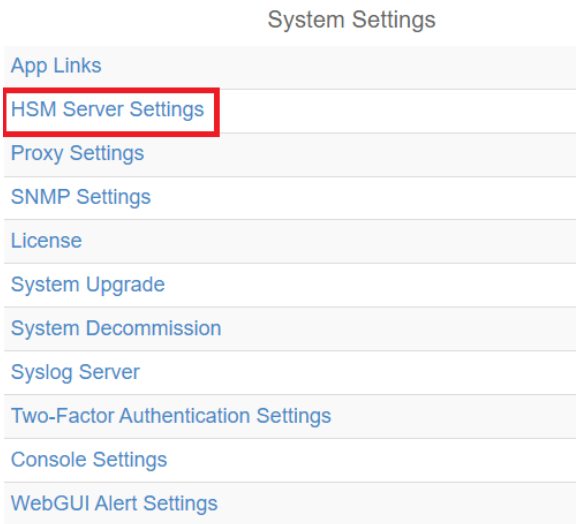
Before integrating the Entrust Key Management Vault server with the Entrust nShield HSM, complete the following tasks:

- The Entrust Key Management Vault server has been deployed and configured. For details, see Install and configure the Entrust Key Management Vault server.
- Entrust Cryptographic Security Platform Compliance Manager has been deployed and configured.
- The Entrust nShield HSM has been deployed and configured. For details, see the *Installation Guide* for your HSM.
- You have rights to add new clients to the HSM configuration.

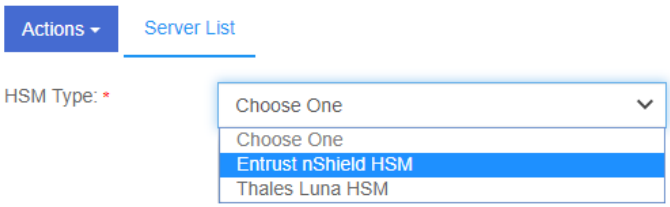
3.2. Initialize the HSM on Key Management Vault server

To initialize the HSM on Key Management Vault server:

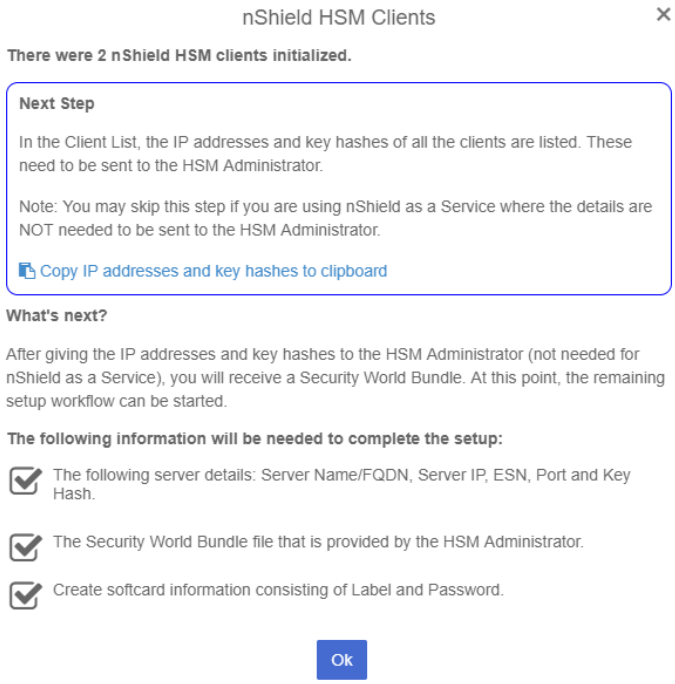
1. Log into the Key Management Vault Appliance Manager web user interface using an account with Security Admin privileges.
2. In the top menu bar, select **Settings** and then select **System Settings** > **HSM Server Settings**.



3. Select **Actions** > **HSM Type** > **Entrust nShield HSM**.



4. In the **nShield HSM Clients** dialog, select **Copy IP address and key hashes to clipboard**.



5. Paste the contents of the clipboard into a file.

Your HSM administrator will need the IP addresses and hash pairs to add the Key Management Vault nodes as HSM clients.

The following is an example of a data file for a 2-node Key Management Vault cluster:

```
172.16.124.100 32a28a759b2055cf3d2956eb295da931c205ae9c
172.16.124.101 56eb295da931c205ae9c32a28a759b2055cf3d29
```

6. Save the file.

3.3. Add one or more Key Management Vault nodes to the HSM

Send the IP address and hash pair for each Key Management Vault node in the cluster to the HSM administrator.

The HSM administrator adds each Key Management Vault node as a client to the HSM and sends back the following information:

- A zipped file that contains the nShield Security World and HSM module files.

  Zipped file content example:

  ```
  world
  module_5F08-02E0-D947
  ```

  When multiple HSMs are used there will be a `module_NNN` file for each HSM.

  > The zipped file should contain the Security World and HSM module files. For a level 3 world, FIPS authorization is required. Entrust recommends that an OCS card is used to provide FIPS authorization for the generation of keys. The card and cards files in this case should also be included in the zipped file and the OCS card to be left inserted in the HSM. If more than one HSM is used, have the OCS card inserted in each HSM. Keep in mind that the OCS is only used for FIPS authorization and does not protect any keys.

  Zipped file content example with OCS card (FIPS Level 3 world file):

  ```
  world
  module_5F08-02E0-D947
  card_1296a68c901427d44bf68a029c0b72b8f4fb2e15_1
  cards_1296a68c901427d44bf68a029c0b72b8f4fb2e15
  ```

- The HSM server name. Use the FQDN if one is defined. Otherwise, use the HSM's ESN.
- The IP address of the HSM.
- The Electronic Serial Number (ESN) and the key hash of the HSM. This can be obtained by running the following command on the nShield RFS server:

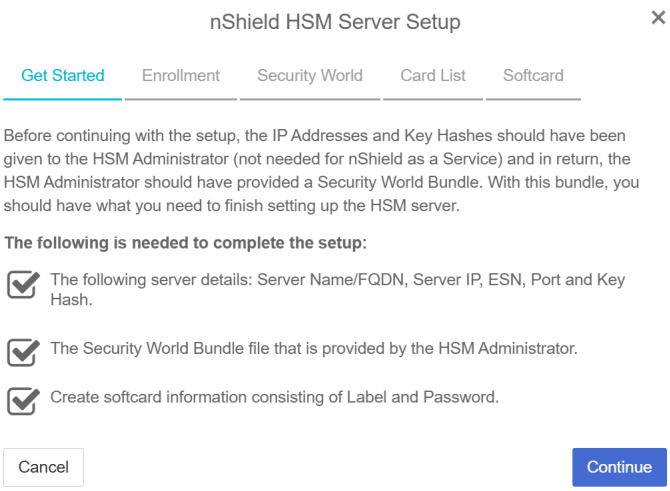
```
[anonkneti <hsm-ip-address>]
```

- The network port number that the HSM uses.

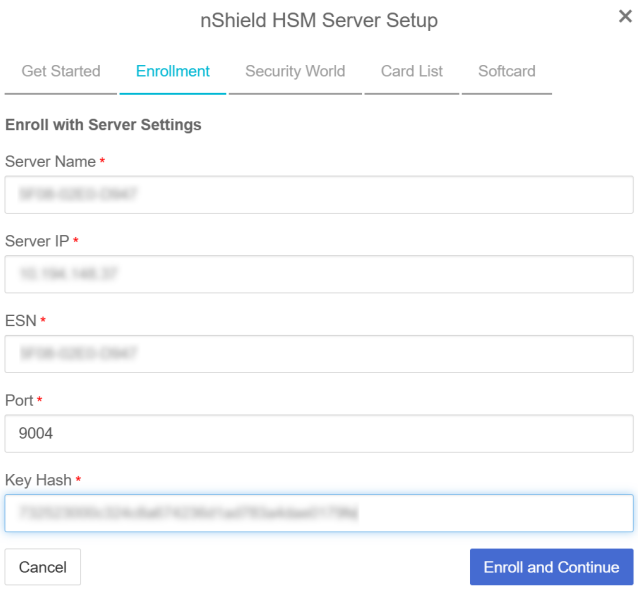
3.4. Set up the nShield HSM Server

To set up the nShield HSM Server:

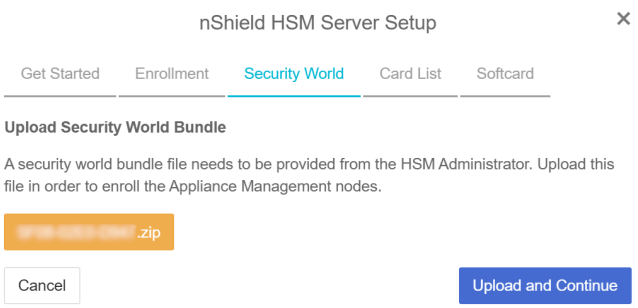
1. In the **Get Started** step of the **nShield HSM Server Setup** dialog, select **Continue**.



2. In the **Enrollment** step of the dialog:
   a. For **Server Name**, enter the HSM's FQDN (if defined) or its ESN.
   b. For **Server IP**, enter the IP address of the HSM.
   c. For **ESN**, enter the ESN of the HSM.
   d. For **Port**, enter the required port. The default is 9004.
   e. For **Key Hash**, enter the key hash of the HSM.
   f. Select **Enroll and Continue**.



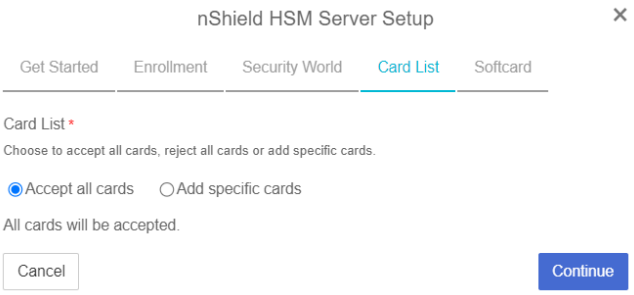
3. In the **Security World** step of the dialog:
   a. Select **Load File**.
   b. Browse to the zipped file that you received from the HSM administrator in Add one or more Key Management Vault nodes to the HSM.
   c. Select **Upload and Continue**.



4. In the **Card List** step of the dialog:

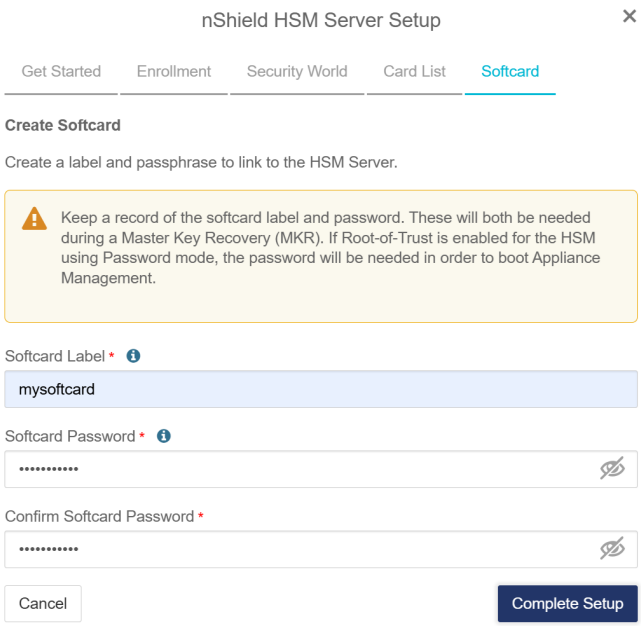
   Use this step only with a FIPS Level 3 world file and an OCS card.

   a. Select **Accept All Cards**

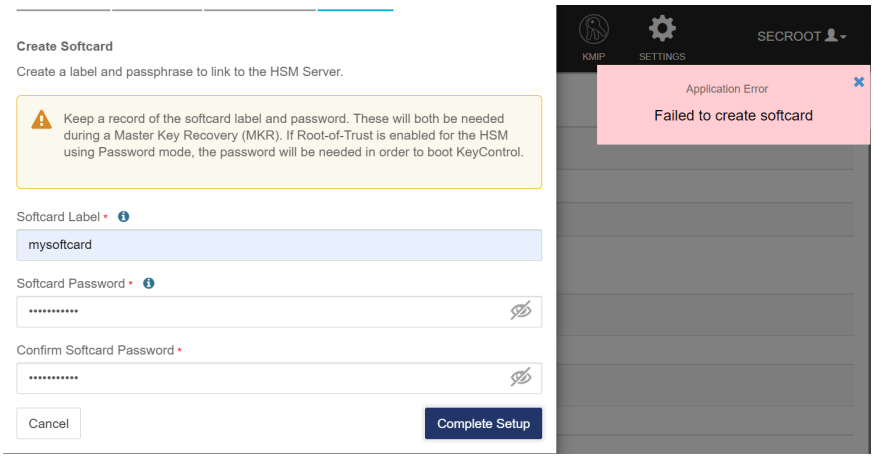


b. Select **Continue**

5. In the **Softcard** step of the dialog:
   a. For **Softcard Label**, enter a unique name. This value is user-defined.
   b. For **Softcard Password**, enter a password. This value is user-defined.
   c. For **Confirm Softcard Password**, re-enter the password. For example:



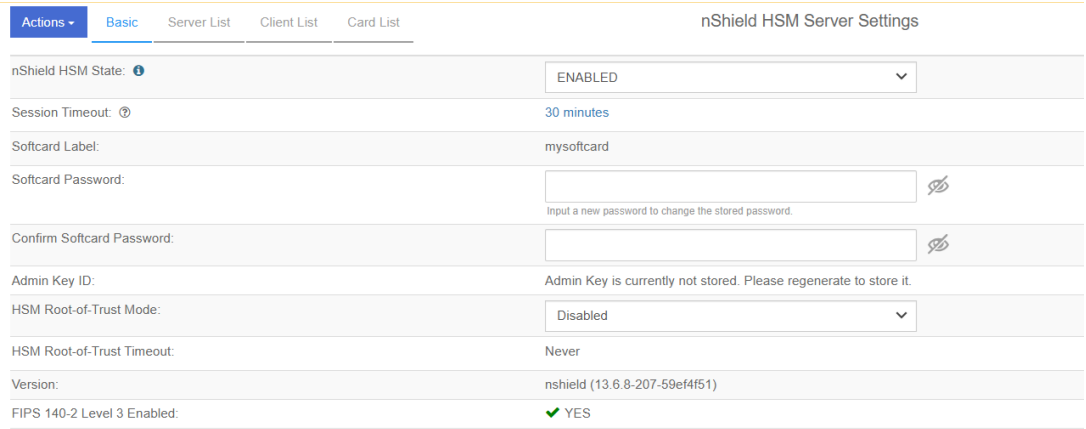
   d. Keep a record of the Softcard label and password. These will be needed during a Master Key Recovery (MKR). If Root-of-Trust is enabled for the HSM using Password mode, the password is also needed to boot Key Management Vault.
   e. If using a FIPS Level 3 world file, the OCS card must be inserted in the HSM for the setup to complete successfully. If not inserted, you will get an error message at this stage. For example:



   Insert the OCS card.

f. Select **Complete Setup**.

The nShield Connect HSM is now configured to work with the Entrust Key Management Vault. For example:



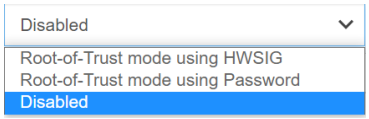
3.4.1. Enable HSM Root-of-Trust mode

> If you enable **Root-of-Trust**, you cannot reset the HSM configuration through the GUI unless you destroy the Root-of-Trust configuration using the console. If you need to reset the HSM configuration, contact Entrust support for instructions on how to destroy the Root-of-Trust configuration.

HSM Root-of-Trust (ROT) is disabled by default. HSM ROT provides enhanced protection for the contents of the object store. HSM ROT is gained when the HSM provides the cryptographic keys necessary to unlock the object store.

If the HSM cannot be contacted when Key Management Vault server boots, or if the correct keys cannot be located, trust cannot be established with the HSM and Key Management Vault is not allowed to begin servicing key requests.

If you remove the HSM from the Key Management Vault configuration, the HSM ROT configuration is also destroyed. Entrust strongly recommends enabling it by selecting one of the modes available. For example:



Once you **Enable** ROT, **Apply** the new configuration by selecting **Apply**.

- Root-of-Trust mode using HWSIG:

The hardware signature is used to wrap the HSM configuration file. Unless the Key Management Vault hardware configuration changes, the system can boot and begin servicing requests without user intervention.

Virtual machine configuration changes may require you to recover the HSM configuration. In that case, use the standard Key Management Vault Masterkey Recovery procedure, which needs the Admin Key that was downloaded during installation.

- Root-of-Trust mode using Password:

  The HSM's softcard password is used to wrap the HSM configuration file. When Key Management Vault boots, the UI prompts for the HSM password. Key Management Vault can begin booting only after you enter the correct password.

  Enter the HSM password on each node in the cluster. For example, if you restart the entire cluster, it will begin servicing requests only after you enter the password on every node.

3.4.2. Test HSM connectivity

To test HSM connectivity:

1. Access the **nShield HSM Server Settings** screen.
2. Select the **Actions** menu.
3. In the **Basic** tab, select **Test Connection** to ensure that the HSM is fully connected to Key Management Vault.

3.4.3. Generate new Admin Key

To make proper use of the HSM integration, regenerate the Admin Key in the HSM. Follow the instructions in the Generating the Admin Key section of the Key Management Vault Administration guide.

3.5. Enable KMIP key wrapping (KMIP Vaults only)

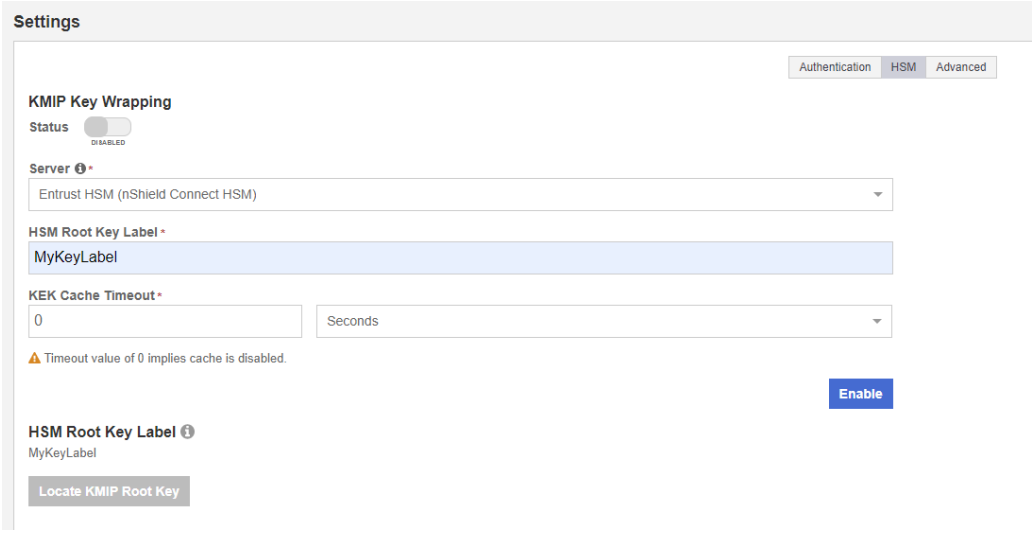
KMIP key wrapping is set at the vault level. Each vault will be configured according to its requirements.

1. Log into the KMIP Vault web user interface using the **Login** URL.

   The KMIP Vault **Login** URL is available by clicking the Vault **View**

> **Details** link available in the Cryptographic Security Platform **Vault Management** interface. This URL is different from the standard Key Management Vault web user interface URL.

2. In the top menu bar, select the **Settings** icon.
3. Select the **Settings** tab and then the **HSM** tab. For example:



4. For **KMIP Key Wrapping**, enable the **Status**. If this is the first time doing this, you will not be able to set **Status** to **Enabled**. This will happen when you select the **Enable** action at the bottom of the dialog.
5. For **Server**, select **System HSM (nShield Connect HSM)**.
6. In the **HSM Root Key Label** field, enter a unique name for the **HSM Root Key**.
7. For **KEK Cache Timeout**, enter how long you want Key Management Vault to cache the HSM-derived Key Encryption Keys (KEKs). The maximum length is 24 hours. This guide uses **0** for the value so that no cache is used, which forces Key Management Vault to use the HSM every time.
8. If a FIPS level 3 world file is used, insert the OCS card in the HSM. If the OCS card is not inserted, an error appears when you select **Enable**. To resolve this, select **OK** and insert the OCS card in the HSM.
9. Select **Enable**.

Applying the changes rekeys the KMIP objects. You can check the audit logs for this action record.

3.6. FIPS Level 3 remarks and recommendations

Recommendations for when a FIPS Level 3 world file is used for the HSM configuration:

- Create an OCS card 1/N where N is at least the number of HSMs being used in the configuration.
- All nShield 5 HSMs in the configuration must use the same world file.
- Leave the OCS card inserted on each HSM used in the configuration. This will prevent issues in case of a failure of one of the HSMs configured.
- The zipped bundle file used in the configuration must have the world, module, card and cards files in the bundle.
- The OCS card is only used for FIPS authorization and not to protect the keys.
- The OCS card must be present any time new key material is created (FIPS authorization).
- Regenerate the Admin Key.
- Enable HSM Root of Trust.
- Enable KMIP key wrapping at the KMIP Vault.

3.7. TLS Configuration

Key Management Vault uses Transport Layer Security (TLS). Support has also been added for Extended Master Secret (EMS).

The online documentation for this can be found here:

TLS Configuration section of the Key Management Vault Administration Guide.

By default, Key Management Vault is set up with **TLS 1.3** and **EMS enforced**. These settings may cause problems during the integration where the client software fails to communicate with Key Management Vault because either it does not support **TLS 1.3** or **EMS**.

To change these settings:

1. Log into the Key Management Vault Appliance Manager web user interface.
2. Select **Settings** in the top-level menu.
3. Under **General Settings**, select **TLS Configuration**.
4. To change the protocol version use the **Protocol Tab**. Supported options are:
   a. TLSv1.2, TLSv1.3
   b. TLSv1.3 only (default)
5. Adjust the protocol according to what the client software supports.
6. Under the **TLS Extended Master Secret** tab, you can change the **EMS** setting. The options are:
   a. Enforce EMS (default)

b. Do not enforce EMS (Not Recommended - has known vulnerabilities)

7. Adjust the EMS settings according to what the client software supports.

When you change the **EMS** settings, the Key Management Vault nodes in the cluster will reboot and you will have to log back in.

Chapter 4. Post-Quantum Support for Key Generation

The Cryptographic API (CAPI) and KMIP Vaults now support creating quantum-safe cryptographic objects and using quantum operations for signing and verifying. This chapter provides an overview of how to provision a CAPI vault, enable HSM protection, and generate post-quantum keys using the Cryptographic Security Platform Key Management Vault interface.

For more information about CAPI Vaults and their functions, see the Entrust Cryptographic Security Platform Key Management Vault for Cryptographic APIs

For more information about KMIP Vaults and their functions, including encrypting servers with vSphere and the post-quantum support they offer, see the Entrust Cryptographic Security Platform Key Management Vault for KMIP

4.1. Prerequisites

Before setting up a CAPI vault and provisioning a key, ensure the following tasks are completed:

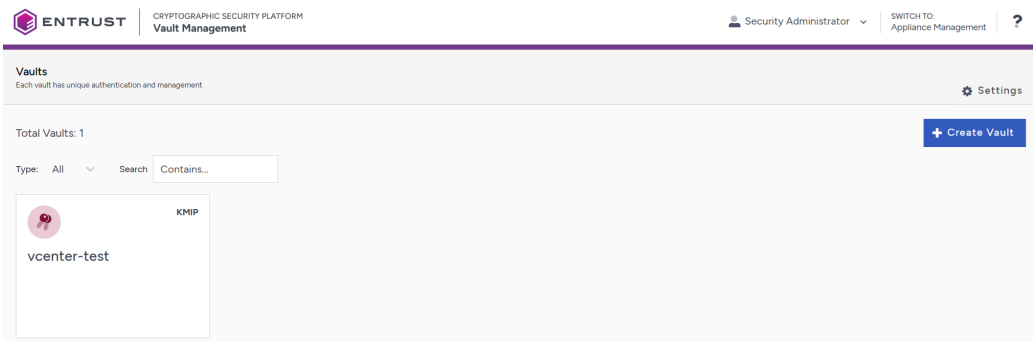
- The Entrust Key Management Vault server has been deployed and configured. For details, see Install and configure the Entrust Key Management Vault server.
- Entrust Cryptographic Security Platform Compliance Manager has been deployed and configured.
- The Entrust nShield HSM has been deployed and configured.

The following steps use Entrust HSM firmware that supports post-quantum operations.

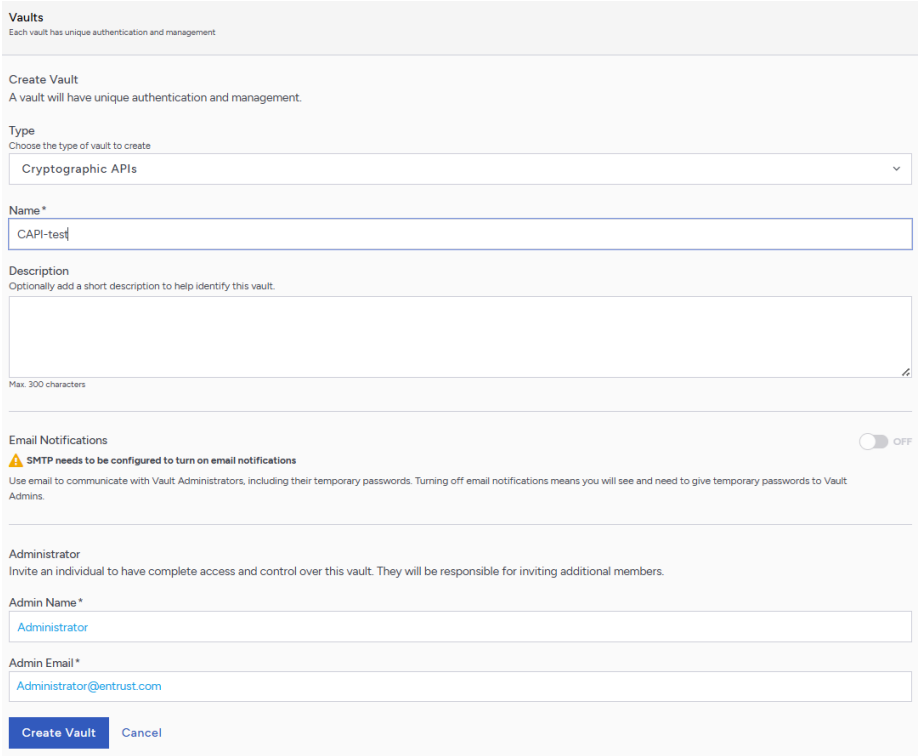
4.2. CAPI Vault creation and setup

To create a new CAPI vault:

1. Log into the Vault Manager web user interface of the Key Management Vault using an account with Security Admin privileges.
2. Select **Create Vault**.



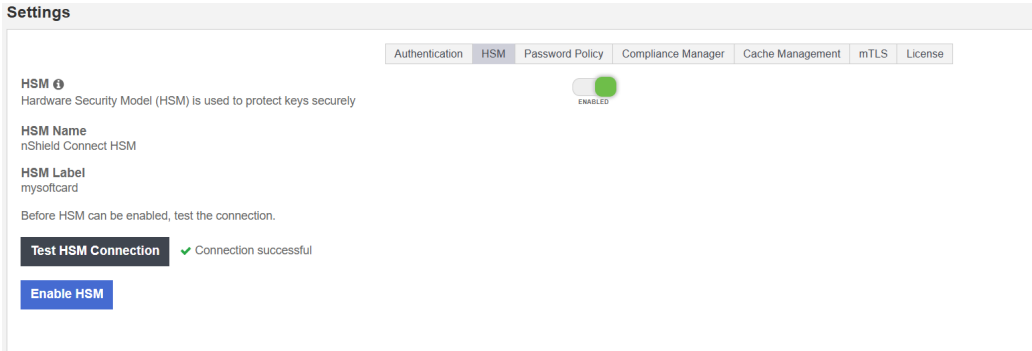
3. Complete the vault creation form as follows:
    - Set **Type** to Cryptographic APIs.
    - Provide a Vault Name in the **Name** field, and add a **Description** if required.
    - Enter an **Admin Name** and **Admin Email**. The Admin Email is the login username.
    - If required, enable **Email Notifications**.



4. After creation, navigate to the vault URL. Log in using the Administrator Email and temporary password, and set a new password when prompted.
5. When prompted, select **Continue** to enable HSM support. This redirects you to CAPI Vault Settings. You only need to do this the first time you log in.
6. Navigate to **Settings** > **HSM** and complete the following steps:
    - Click the **HSM slider** to enable the use of a HSM by the vault.

- Select **Test HSM Connection** and confirm the connection is successful.
- Click **Enable HSM** to finalize configuration.

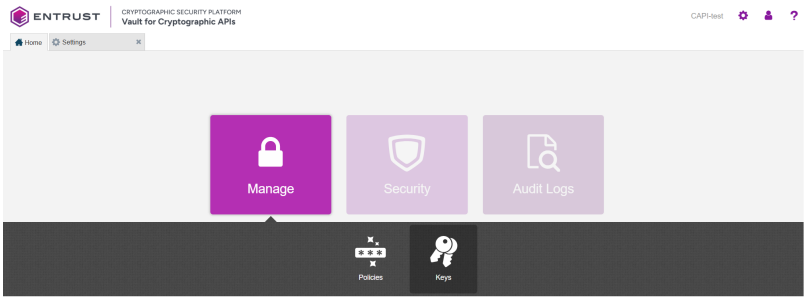
  Once enabled, the vault UI updates to reflect active HSM status.



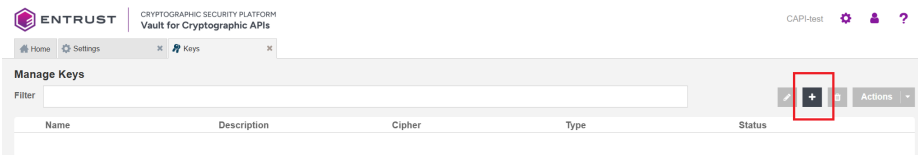
To validate the CAPI vault, you must upload a license through the Cryptographic Security Platform Compliance Manager. For information about Key Management Vault license status and synchronization, see the Entrust License Page online documentation.

4.3. Creating a Post-Quantum Key

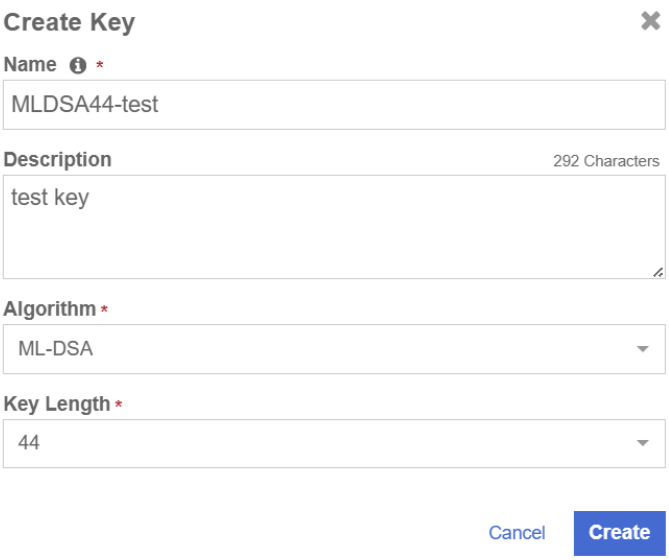
1. From the CAPI vault home page, go to **Manage** > **Keys**.



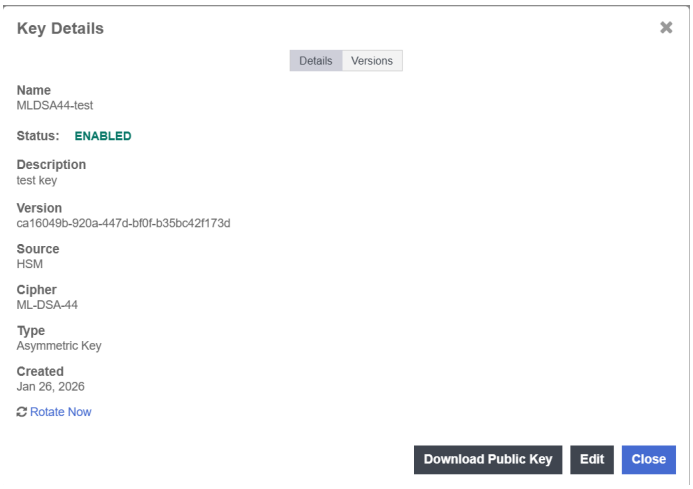
2. On the Keys tab, select the **+** icon to create a new key.



   - Provide a Name and Description.
   - In the Algorithm menu, choose a post-quantum algorithm:
     - ML-DSA or SLH-DSA (Note: ML-KEM is not supported at the time of authoring this document.)
     - Choose a Key Length of 44, 65, or 87.



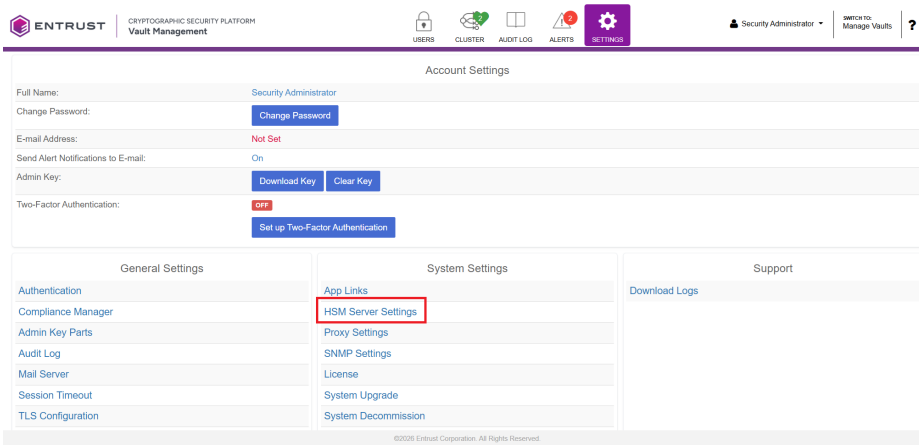
3. After creation, open the key details and note the Version string. This is part of the verification process.



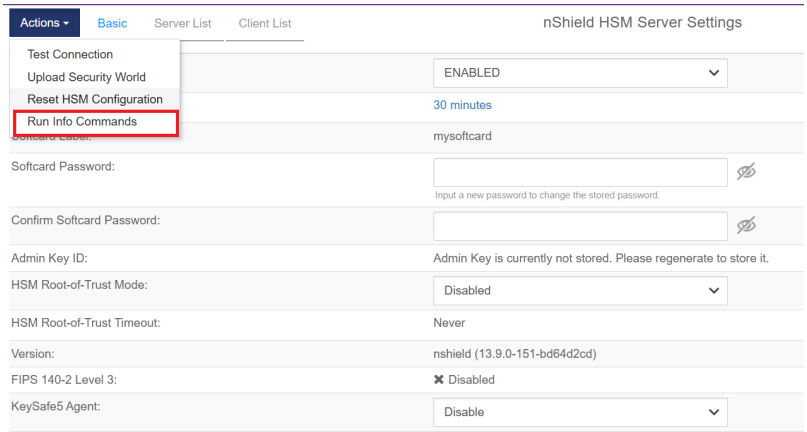
4.4. Verifying Post-Quantum Key HSM Protection

To confirm the key is stored and protected by the HSM:

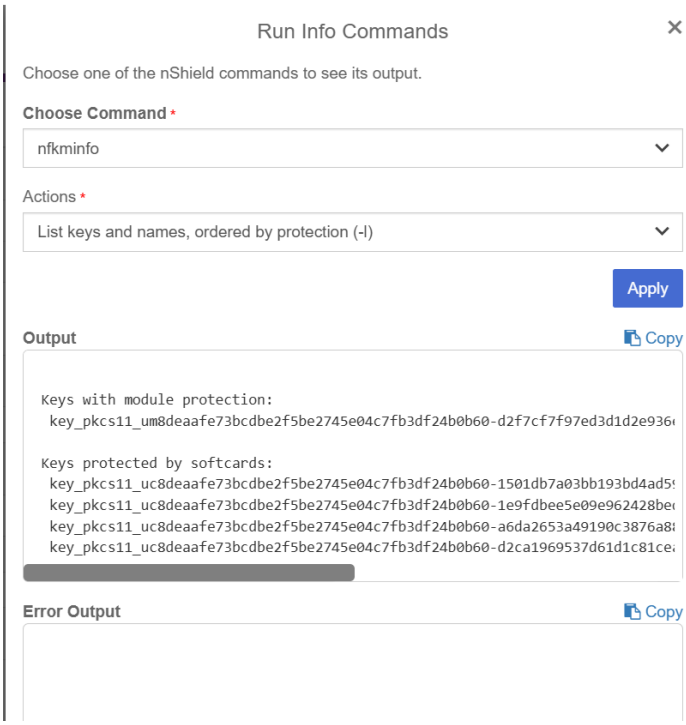
1. Log into the Key Management Vault Appliance Manager web user interface using an account with Security Admin privileges.
2. Navigate to **Settings** > **HSM Server** Settings.



3. Open **Actions** > **Run Info Commands**.



- Select the following parameters:
  - Command: nfkminfo
  - Action: List keys and names, ordered by protection (-l)



4. Apply the command and review the output.

   You should see your post-quantum key listed under the appropriate protection category (for example: softcard-protected).

5. Verify that the key's **Version** matches the version shown in the CAPI vault.

Chapter 5. Additional resources and related products

5.1. nShield as a Service

5.2. Entrust CSP Key Manager

5.3. Entrust products

5.4. nShield product documentation